

# Math 254 – Number Theory 2

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Brown University, Spring 2011

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## Introduction

Math 254 is one of the courses offered for mathematics graduate students at Brown University. It is the second of two courses in the year-long number theory sequence. I took these notes while taking the course as a junior.

The notes are handwritten because this was before I started live-T<sub>E</sub>Xing. I may eventually get around to typing these notes properly.

I am responsible for all faults in this document, mathematical or otherwise; any merits of the material here should be credited to the lecturer, not to me.

Please email any corrections or suggestions to [chonoles@math.uchicago.edu](mailto:chonoles@math.uchicago.edu).

## Lecture 1 (2011-01-26)

Topics

2011-1-26

Class field theory  $\begin{cases} \text{Global} \\ \text{Local} \end{cases}$

①

Group Cohomology (especially Galois cohomology)

Elliptic Curves  $\begin{cases} \text{Mordell-Weil theorem} \\ \text{CM} \end{cases}$

References: see web page

in particular Lang Algebraic Number Theory  
Serre Local Fields

No class April 27, 29, May 2, so make-up class  
during reading period, May 4, 6, 9

### Class Field Theory

Study of abelian extensions  $L/K$  - describe them  
in terms of the arithmetic of  $K$

Example: Kronecker-Weber theorem

Let  $L/\mathbb{Q}$  be an abelian extension. Then  
 $L \subset \mathbb{Q}(\mu_N)$  for some  $N$  ( $\mu_N = \{\text{roots of } x^N - 1\}$ )

### Review of Algebraic Number Theory (global)

$K/\mathbb{Q}$  a number field,  $\mathcal{O}_K$  its ring of integers

Prop.  $\mathcal{O}_K$  is a Dedekind domain

hence ideals have unique factorization

Given an ideal  $\mathfrak{a} \subset \mathcal{O}_K$ ,  $\mathfrak{a} = \prod \mathfrak{p}^{e_p}$

$$e_p = \text{ord}_p(\mathfrak{a}) = v_p(\mathfrak{a})$$

$\mathcal{I}_K = \{ \text{fractional ideals} \} = \{ \text{finitely generated } \mathcal{O}_K\text{-modules} \}$   
implicitly non-zero (whose  $K$ -span is  $K$ ) of  $K$

$$\mathfrak{a}^{-1} = \{ x \in K^\times \mid x\mathfrak{a} \subset \mathcal{O}_K \}$$

$\mathcal{I}_K$  is a group

$$\mathcal{P}_K = \{ \text{principal fractional ideals} \} = \{ a\mathcal{O}_K \mid a \in K^\times \}$$

$$\mathcal{C}_K = \mathcal{I}_K / \mathcal{P}_K = \text{ideal class group of } K$$

Thm.  $\mathcal{C}_K$  is finite.  $h_K = |\mathcal{C}_K| = \text{class number of } K$

Thm (Dirichlet).  $\mathcal{O}_K^\times$  is finitely generated.

In particular,  $\mathcal{O}_K^\times \cong \mu_K \times \mathbb{Z}^{r_1+r_2-1}$   
roots of unity in  $K$

$r_1 = \#$  of real embeddings of  $K$

$r_2 = \frac{1}{2} (\# \text{ of complex embeddings of } K)$

Galois group acts on  $\mathcal{O}_K^\times$ , and in particular  $\mathbb{Z}^{r_1+r_2-1}$ .  
choosing a basis, for each  $\sigma \in G_{K/\mathbb{Q}}$  we get a matrix, hence a representation

$$1 \rightarrow \mathcal{O}_K^\times \rightarrow K^\times \rightarrow \mathcal{P}_K \rightarrow 1$$

$$1 \rightarrow \mathcal{P}_K \rightarrow \mathcal{I}_K \rightarrow \mathcal{C}_K \rightarrow 1$$

$$\text{or } 1 \rightarrow \mathcal{O}_K^\times \rightarrow K^\times \rightarrow \mathcal{I}_K \rightarrow \mathcal{C}_K \rightarrow 1$$

$\alpha \mapsto \alpha \mathcal{O}_K$

Let  $S = \{p_1, \dots, p_r\}$  be a finite set of primes

(Silverman will occasionally abuse notation and use)  
 $\text{Spec}(\mathcal{O}_K) = \{\text{non-zero prime ideals}\}$

$$\mathcal{O}_S = \mathcal{O}_{K,S} = \text{ring of } S\text{-integers} = \{\alpha \in K^\times \mid \text{ord}_p(\alpha) \geq 0 \text{ for all } p \notin S\} \cup \{0\}$$

Thm,  $\mathcal{O}_{K,S}^\times \cong \mu_K \times \mathbb{Z}^{r_1+r_2+|S|-1}$

Example,  $K = \mathbb{Q}$ ,  $\mathbb{Z}^\times = \mu_{\mathbb{Q}} = \{\pm 1\}$ ,  $\mathbb{Z}_{\{2,3\}}^\times = \mathbb{Z}[\frac{1}{2}, \frac{1}{3}]^\times = \{\pm 2^i 3^j \mid i, j \in \mathbb{Z}\}$

### Extension fields

$$L/K, \mathfrak{p} \in \text{Spec}(\mathcal{O}_K), \mathfrak{p}\mathcal{O}_L = \mathfrak{P}_1^{e_1} \mathfrak{P}_2^{e_2} \dots \mathfrak{P}_r^{e_r}, e_i \geq 1$$

$n = [L:K]$

we write  $\mathfrak{P}_i \mid \mathfrak{p}$ . Also,  $e_{\mathfrak{p}} = e(\mathfrak{P}/\mathfrak{p}) = \text{ord}_{\mathfrak{p}}(\mathfrak{p}\mathcal{O}_L)$ .  
 $(\Leftrightarrow \mathfrak{p}\mathcal{O}_L \subset \mathfrak{P}_i)$

Let  $\mathfrak{P} \mid \mathfrak{p}$ ,  $\mathcal{O}_K \hookrightarrow \mathcal{O}_L$  induces  $\mathcal{O}_K/\mathfrak{p} \hookrightarrow \mathcal{O}_L/\mathfrak{P}$ .

Silverman's notation:  $\mathbb{F}_{\mathfrak{p}} = \mathcal{O}_K/\mathfrak{p}$ ,  $\mathbb{F}_{\mathfrak{P}} = \mathcal{O}_L/\mathfrak{P}$

$f(\mathcal{P}/\mathfrak{p}) = [\mathbb{F}_{\mathcal{P}} : \mathbb{F}_{\mathfrak{p}}]$  is the residue field degree.

Thm. Given  $\mathfrak{p}\mathcal{O}_L = \mathcal{P}_1^{e_1} \cdots \mathcal{P}_r^{e_r}$ ,  $\sum_{i=1}^r e_i f_i = n$ .

If  $L/K$  is Galois,  $e(\mathcal{P}_i/\mathfrak{p})$  all the same,  
 $f(\mathcal{P}_i/\mathfrak{p})$  all the same,  
hence  $\mathfrak{p}\mathcal{O}_L = (\mathcal{P}_1 \cdots \mathcal{P}_r)^e$ ,  $ref = n$

$\mathfrak{p}$  is unramified if all  $e(\mathcal{P}_i/\mathfrak{p}) = 1$ , ramified otherwise

$\mathfrak{p}$  is totally ramified if  $\mathfrak{p}\mathcal{O}_L = \mathcal{P}^n$ , i.e. there is a prime  $\mathcal{P}|\mathfrak{p}$  with  $e(\mathcal{P}/\mathfrak{p}) = n$  (hence  $r=1, f=1$ )

$\mathfrak{p}$  is inert if  $\mathfrak{p}\mathcal{O}_L$  is prime, i.e.  $e(\mathcal{P}/\mathfrak{p})=1, f(\mathcal{P}/\mathfrak{p})=n$

$\mathfrak{p}$  is totally split if  $e(\mathcal{P}_i/\mathfrak{p})=1, f(\mathcal{P}_i/\mathfrak{p})=1$ ,  
 $\mathfrak{p}\mathcal{O}_L = \mathcal{P}_1 \cdots \mathcal{P}_r$

Thm.  $\{\mathfrak{p} \in \text{Spec}(\mathcal{O}_K) \mid \mathfrak{p} \text{ ramified in } L\}$  finite

Given  $x_1, \dots, x_n \in L$ ,  $\text{disc}(x_1, \dots, x_n) = \det(\text{Tr}_{L/K}(x_i x_j))$   
 $= \det(\sigma_i(x_j))^2$

$$\text{Tr}_{L/K} = \sum_{\substack{\sigma: L \rightarrow \bar{K} \\ \sigma|_K = \text{id}}} \sigma(x)$$

$\mathfrak{p}$  ramified  $\Leftrightarrow \mathfrak{p} \mid \text{Disc}$

## Lecture 2 (2011-01-28)

$$\alpha \in \mathcal{O}_L, \text{ or } \alpha \in \mathbb{I}_L,$$

2011-1-28

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$$\text{Disc}(\alpha) = \langle \text{Disc}(x_1, \dots, x_n) \rangle_{\alpha = (x_1, \dots, x_n)} \in \mathbb{I}_L$$

$$\text{Thm. } \mathfrak{p} \text{ ramifies} \Leftrightarrow \mathfrak{p} \mid \underbrace{\text{Disc}_{L/K}(\mathcal{O}_L)}_{d_{L/K}}$$

$$d_K = \text{Disc}_{K/\mathbb{Q}}(\mathcal{O}_K)$$

$$\text{The Norm } N_{L/K}(\mathfrak{P}) = \mathfrak{p}^{f(\mathfrak{P}|\mathfrak{p})}$$

$$\text{Extend to } \mathbb{I}_L, \quad N_{L/K}(\prod \mathfrak{P}_i^{a_i}) = \prod N_{L/K}(\mathfrak{P}_i)^{a_i}$$

$$\text{If } L/K \text{ Galois, } N_{L/K}(\alpha) = \prod_{\sigma \in \text{Gal}(L/K)} \sigma(\alpha)$$

$$\text{If } \alpha \in L, \quad N_{L/K}(\alpha) = \prod_{\sigma \in \text{Gal}(L/K)} \sigma(\alpha), \quad N_{L/K}((\alpha)) = (N_{L/K}(\alpha))$$

$$N_{E/K} = N_{L/K} \circ N_{E/L}$$

Galois extensions

$$L/K, \quad G_{L/K} = \text{Gal}(L/K), \quad \mathfrak{P}|\mathfrak{p}$$

$$\text{Decomposition group: } D(\mathfrak{P}|\mathfrak{p}) = \{ \sigma \in G_{L/K} \mid \sigma(\mathfrak{P}) = \mathfrak{P} \}$$

$\sigma \in D(\mathfrak{P}|\mathfrak{p})$  induces an automorphism of  $\mathbb{F}_{\mathfrak{P}}/\mathbb{F}_{\mathfrak{p}}$

So we get a homomorphism  $D(\mathfrak{P}|\mathfrak{p}) \rightarrow \underbrace{\text{Gal}(\mathbb{F}_{\mathfrak{P}}/\mathbb{F}_{\mathfrak{p}})}_{\text{cyclic}}$

The kernel of this map is the inertia group

$$I(\mathcal{P}/\mathfrak{p}) = \left\{ \sigma \in D(\mathcal{P}/\mathfrak{p}) \mid \sigma(\alpha) \equiv \alpha \pmod{\mathcal{P}} \right. \\ \left. \text{for all } \alpha \in \mathcal{O}_L \right\}$$

$\mathcal{P}/\mathfrak{p}$  unramified iff  $I(\mathcal{P}/\mathfrak{p}) = 1$ , and

$$\text{furthermore, } |I(\mathcal{P}/\mathfrak{p})| = e(\mathcal{P}/\mathfrak{p})$$

Thm.  $G_{L/K}$  acts transitively on the  $\mathcal{P}_i/\mathfrak{p}$ .

$D(\mathcal{P}_i/\mathfrak{p})$  and  $D(\mathcal{P}_j/\mathfrak{p})$  are all conjugate.

$$N_{\mathfrak{p}} = |\mathbb{F}_{\mathfrak{p}}|, \quad N_{\mathcal{P}} = |\mathbb{F}_{\mathcal{P}}|$$

$N$  means norm down to  $\mathbb{Q}$

$\text{Gal}(\mathbb{F}_{\mathcal{P}}/\mathbb{F}_{\mathfrak{p}})$  is cyclic, generated by Frobenius  
 $\alpha \mapsto \alpha^{N_{\mathfrak{p}}}$   
 $\phi_{\mathfrak{p}}$  or  $\text{Frob}_{\mathfrak{p}}$

$$|\text{Gal}(\mathbb{F}_{\mathcal{P}}/\mathbb{F}_{\mathfrak{p}})| = f(\mathcal{P}/\mathfrak{p})$$

$D(\mathcal{P}/\mathfrak{p})/I(\mathcal{P}/\mathfrak{p}) \xrightarrow{\sim} \text{Gal}(\mathbb{F}_{\mathcal{P}}/\mathbb{F}_{\mathfrak{p}})$ , so that

if  $\mathfrak{p}$  is unramified,  $I(\mathcal{P}/\mathfrak{p}) = 1$ , hence there is a unique element of  $D(\mathcal{P}/\mathfrak{p})$  that induces  $\phi_{\mathfrak{p}}$  on  $\mathbb{F}_{\mathcal{P}}$ . This is denoted  $(\mathcal{P}/\mathfrak{p}, L/K) \in D(\mathcal{P}/\mathfrak{p})$

Called the Artin symbol.



Lemma.  $\mathfrak{P}_1, \mathfrak{P}_2 / \mathfrak{P} \Rightarrow (\mathfrak{P}_1 / \mathfrak{P}, L/K)$  and  $(\mathfrak{P}_2 / \mathfrak{P}, L/K)$   
are conjugate

So  $\mathfrak{P}$  determines a conjugacy class  $(\mathfrak{P}, L/K) \subset G_{L/K}$

If  $L/K$  abelian,  $(\mathfrak{P}, L/K) \in G_{L/K}$

"Box that and put stars around it"

Action Map ( $L/K$  abelian)

$$(\cdot, L/K): I_K^{\text{ur}} \rightarrow G_{L/K}$$

$$(\prod \mathfrak{P}_i, L/K) \rightarrow \prod (\mathfrak{P}_i, L/K)$$

Thm. (part of class field theory)

$L/K$  abelian (and  $K$  is totally imaginary), then

$\exists$  an ideal  $\mathfrak{C}$  (conductor)  $\subset \mathcal{O}_K$  such that

let  $\alpha \in \mathcal{O}_K$ ,  $\alpha \equiv 1 \pmod{\mathfrak{C}}$ . Then  $(\alpha \mathcal{O}_K, L/K) = 1$ .

(still not quite true.)

Example.  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ ,  $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$

$$(\alpha \mathbb{Z}, K/\mathbb{Q}) = \prod (\mathfrak{P}_i \mathbb{Z}, K/\mathbb{Q})$$

but  $(\mathfrak{P} \mathbb{Z}, K/\mathbb{Q})$  needs to raise things to  $p$ th powers, so

$$(\mathfrak{P} \mathbb{Z}, K/\mathbb{Q})(\zeta_n) \equiv \zeta_n^{\mathfrak{P}} \pmod{\mathfrak{P}}$$

Prop.  $\mu_n \rightarrow \mathcal{O}_K/\mathfrak{p} = \mathbb{Z}[\zeta_n]/\mathfrak{p}$  is injective  
iff  $\mathfrak{p} \nmid n$ .  
(HW #1)  $\rightarrow$

Thus we actually have  $(\mathbb{p}\mathbb{Z}, K/\mathbb{Q})(\zeta_n) = \zeta_n^{\mathfrak{p}}$

so  $(a\mathbb{Z}, K/\mathbb{Q})(\zeta_n) = \zeta_n^a$ , so  $(a\mathbb{Z}, K/\mathbb{Q}) = 1$   
 $\Leftrightarrow a \equiv 1 \pmod{n}$

So  $n$  is the conductor of the cyclotomic extension

The roots of unity were helping us keep track of things

### Dirichlet's Theorem

$$\{\text{primes } p \equiv 1 \pmod{n}\} = \{\text{primes } p \mid (\mathbb{p}\mathbb{Z}, \mathbb{Q}(\zeta_n)/\mathbb{Q}) = 1\}$$

## Lecture 3 (2009-01-31)

Office Hours (email in advance)  
Mon 2-3 Fri 9:30-10:30

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$$(\mathbb{Z}/n\mathbb{Z})^\times \xrightarrow{\sim} \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$$

$$a \mapsto (\sigma_a: \zeta_n \rightarrow \zeta_n^a) \quad \text{and } (\rho, \mathbb{Q}(\zeta_n)/\mathbb{Q}) = \sigma_\rho$$

$$\text{so } \{ \rho \mid \rho \equiv a \pmod{n} \} = \{ \rho \mid (\rho, \mathbb{Q}(\zeta_n)/\mathbb{Q}) = \sigma_a \}$$

Generalize to arbitrary number fields  $L/K$  (Galois)

$\mathfrak{p} \in \text{Spec}(\mathcal{O}_K)$ , unramified in  $L$ , then  $(\mathfrak{p}, L/K)$  is a conjugacy class in  $G_{L/K}$

So fix a conjugacy class  $C \subset G_{L/K}$ , and look at  $\{ \mathfrak{p} \in \text{Spec}(\mathcal{O}_K) \mid (\mathfrak{p}, L/K) = C \}$

$$\pi_K(x, C) = \# \{ \mathfrak{p} \in \text{Spec}(\mathcal{O}_K) \mid (\mathfrak{p}, L/K) = C, N_{\mathbb{Q}}^K(\mathfrak{p}) \leq x \}$$

$$\pi_K(x) = \# \{ \mathfrak{p} \in \text{Spec}(\mathcal{O}_K) \mid N_{\mathbb{Q}}^K(\mathfrak{p}) \leq x \}$$

A subset  $S \subset \text{Spec}(\mathcal{O}_K)$  has natural density

$$\delta(S) = \lim_{x \rightarrow \infty} \frac{\# \{ \mathfrak{p} \in S \mid N_{\mathbb{Q}}^K(\mathfrak{p}) \leq x \}}{\pi_K(x)} \quad (\text{if limit exists})$$

Chebotarov Density Theorem

$$\delta(C) = \frac{\#C}{\#G_{L/K}}$$

Given some  $\mathcal{P} \subset \text{Spec}(\mathbb{Z})$

$$\sum_p \frac{1}{p^s} \sim -\log(s-1) \text{ as } s \rightarrow 1^+$$

so analytic density of  $\mathcal{P}$  is

$$\delta^{\text{an}}(\mathcal{P}) = \lim_{s \rightarrow 1} \frac{1}{-\log(s-1)} \sum_{p \in \mathcal{P}} \frac{1}{p^s}$$

Thm. If  $\delta^{\text{nat}}(\mathcal{P})$  exists, then so does  $\delta^{\text{an}}(\mathcal{P})$ , and  $\delta^{\text{nat}}(\mathcal{P}) = \delta^{\text{an}}(\mathcal{P})$ .

$$\text{New density: } \delta^{\text{an}-2}(\mathcal{P}) = \lim_{s \rightarrow 1^+} (s-1) \sum_{p \in \mathcal{P}} \frac{\log(p)}{p^s}$$

$$\text{HW 2: } \sum_p \frac{\log(p)}{p^s} \sim \frac{1}{s-1} \text{ as } s \rightarrow 1^+$$

Hint: differentiate  $\log(\zeta(s))$

Special case: Fix a prime  $q$ , and consider  $\{p \mid p \equiv a \pmod{q}\}$

$$\sum_{p \equiv a \pmod{q}} \frac{\log(p)}{p^s} = \sum_p \begin{pmatrix} 1 & \text{if } p \equiv a \pmod{q} \\ 0 & \text{if } p \not\equiv a \pmod{q} \end{pmatrix} \frac{\log(p)}{p^s}$$

How can we define this non-step-function like<sup>2</sup>, Find a sum with this property - then we can switch the sums,

## Characters for abelian groups

$G$  finite abelian group,  $\hat{G} = \text{Hom}(G, \mathbb{C}^\times)$

- Prop. a)  $\hat{\hat{G}} \cong G$  (non-canonical)  
b)  $\hat{\hat{G}} \cong G$  (canonical)

HW 3:  $G$  abelian,  $\#G = \infty$ , then  $G \hookrightarrow \hat{\hat{G}}$

Pf in case  $G$  is cyclic (in particular  $G = (\mathbb{Z}/m\mathbb{Z})^\times$ )

$G \cong \mathbb{Z}/m\mathbb{Z}$ , then define a map

$$F: G \rightarrow \hat{G} \\ a \mapsto (k \mapsto \zeta_m^{ak})$$

Injective:  $F(a) = 1 \Rightarrow$

$$\zeta_m^{ak} = 1 \text{ for all } k, \text{ hence } \zeta_m^a = 1, \\ \text{hence } a \equiv 0 \pmod{m}$$

Surjective  $\gamma \in \hat{G}$ , then

$$\gamma(1) = \zeta_m^b \text{ for some } b,$$

$$\text{so } \gamma(k) = \zeta_m^{bk}, \text{ so } \gamma = F(b)$$

$$b) \quad G \hookrightarrow \hat{\hat{G}} = \text{Hom}(\hat{G}, \mathbb{C}^\times)$$

$$a \mapsto (\gamma \mapsto \gamma(a)) \quad \text{and } \#G = \#\hat{G} = \#\hat{\hat{G}} \\ \text{by a) twice}$$

Orthogonality  $G$  a finite abelian group,  
 $\chi_0$  the trivial character

$$a) \quad \sum_{g \in G} \chi(g) = \begin{cases} \#G & \text{if } g=1 \\ 0 & \text{if } g \neq 1 \end{cases}$$

$$b) \quad \sum_{g \in G} \chi(g) = \begin{cases} \#G & \text{if } \chi = \chi_0 \\ 0 & \text{if } \chi \neq \chi_0 \end{cases}$$

PA. a)  $g \in G, g \neq 1$ , then  $\exists \chi \in \hat{G}$  with  $\chi(g) \neq 1$   
 such a  $\chi$  exists because  $G \cong \hat{\hat{G}}$   
 (if  $\chi(g) = 1$ , then  $\chi$  reduces to element of  $(G/\langle g \rangle)$ )

$$\chi(g) \sum_{\chi \in \hat{G}} \chi(g) = \sum_{\chi \in \hat{G}} (\chi\chi)(g) = \sum_{\chi \in \hat{G}} \chi(g)$$

$\uparrow$   
 $\neq 1$       hence  $\sum_{\chi \in \hat{G}} \chi(g) = 0$

b) similar

So let  $G_q = (\mathbb{Z}/q\mathbb{Z})^\times$  cyclic of order  $q-1$

$$\sum_{\chi \in \hat{G}_q} \chi(b) = \begin{cases} q-1 & \text{if } b \equiv 1 \pmod{q} \\ 0 & \text{if } b \not\equiv 1 \pmod{q} \end{cases}$$

$$\frac{1}{q-1} \sum_{\chi \in \hat{G}_q} \chi(a^{-1}p) = \begin{cases} 1 & \text{if } p \equiv a \pmod{q} \\ 0 & \text{if } p \not\equiv a \pmod{q} \end{cases}$$

$\downarrow$   
 $\chi(a)^{-1} \chi(p) = \overline{\chi(a)} \chi(p)$

$$\sum_{p \equiv a \pmod{q}} \frac{\log(p)}{p^s} = \sum_p \left( \frac{1}{q-1} \sum_{\chi \in \hat{G}_q} \overline{\chi(a)} \chi(p) \right) \frac{\log(p)}{p^s}$$

absolutely convergent for  $\operatorname{Re}(s) > 1$ , so switch order

$$\frac{1}{q-1} \sum_{\chi \in \hat{G}_q} \overline{\chi(a)} \left( \sum_p \frac{\chi(p) \log(p)}{p^s} \right)$$

As  $s \rightarrow 1^+$ , this is  $\sim \frac{1}{s-1}$  if  $\chi = \chi_0$  (easy)  
 bounded if  $\chi \neq \chi_0$  (hard)

## Lecture 4 (2011-02-04)

$$\sum_{p \equiv a \pmod{q}} \frac{\log(p)}{p^s} = \sum_p \begin{pmatrix} 1 & \text{if } p \equiv a \pmod{q} \\ 0 & \text{otherwise} \end{pmatrix} \frac{\log(p)}{p^s} =$$

2011-2-4

(4)

$$G_q = (\mathbb{Z}/q\mathbb{Z})^\times \quad \sum_p \frac{1}{q-1} \sum_{\chi \in \widehat{G}_q} \overline{\chi(a)} \chi(p) \frac{\log(p)}{p^s} =$$

$$\frac{1}{q-1} \sum_{\chi \in \widehat{G}_q} \overline{\chi(a)} \sum_p \frac{\chi(p) \log(p)}{p^s}$$

L-Series

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_p \left(1 - \frac{1}{p^s}\right)^{-1}$$

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_p \left(1 - \frac{\chi(p)}{p^s}\right)^{-1}$$

If  $\chi = \chi_0 \in \widehat{G}_q$ ,  $L(s, \chi_0)$  is almost  $\zeta(s)$ , but

$$\chi_0: (\mathbb{Z}/q\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$$

$$\chi_0(a) = 1 \text{ for all } a$$

$$\text{but } \chi_0(b) = 0 \text{ if } b \notin (\mathbb{Z}/q\mathbb{Z})^\times$$

$$\text{So } L(s, \chi_0) = \left(1 - \frac{1}{q^s}\right) \zeta(s)$$

bounded from 0 if  $\text{Re}(s) > 0$

$$\frac{d}{ds} \log(L(s, \chi)) = \frac{d}{ds} \left( \sum_p -\log \left(1 - \frac{\chi(p)}{p^s}\right) \right) \quad \text{Re}(s) > 1$$

$$\frac{d}{ds} \left( \sum_p \sum_{k=1}^{\infty} \frac{1}{k} \left(\frac{\chi(p)}{p^s}\right)^k \right) = \sum_{k=1}^{\infty} \sum_p \frac{1}{k} \frac{\chi(p)^k (-k \log(p))}{p^{ks}} =$$

$$- \sum_p \frac{\chi(p) \log(p)}{p^s} - \left( \sum_{k \geq 2} \sum_p \frac{\chi(p)^k \log(p)}{p^{ks}} \right)$$

HLW - analytic  
for  $\text{Re}(s) > \frac{1}{2}$

$$\operatorname{Res}_{s=1} \left( \sum_{p \in \text{an odd } q} \frac{\log(p)}{p^s} \right) = \frac{1}{q-1} \sum_{\chi \in \widehat{G}_q} \bar{\chi}(a) \operatorname{Res}_{s=1} \left( \sum_p \frac{\chi(p) \log(p)}{p^s} \right) =$$

$$\frac{1}{q-1} \sum_{\chi \in \widehat{G}_q} \bar{\chi}(a) \operatorname{Res}_{s=1} \left( -\frac{d}{ds} \log(L(s, \chi)) \right)$$

equal because  
remainder term  
analytic for  $\operatorname{Re}(s) > 1$

$$\operatorname{Res}_{s=a} \frac{d}{ds} \log(F(s)) = \operatorname{Res}_{s=a} \frac{F'(s)}{F(s)} = \operatorname{ord}_{s=a} F(s)$$

$$F(s) = (s-a)^r G(s), \quad G(a) \neq 0, \infty$$

$$\frac{F'(s)}{F(s)} = \frac{r}{s-a} + \frac{G'}{G}$$

$$\zeta(s) - \frac{1}{s-1} = \sum_{n=1}^{\infty} \frac{1}{n^s} - \int_1^{\infty} \frac{dx}{x^s} \quad \operatorname{Re}(s) > 1$$

$$= \sum_{n=1}^{\infty} \frac{1}{n^s} - \sum_{n=1}^{\infty} \int_1^{n+1} \frac{dx}{x^s} = \sum_{n=1}^{\infty} \int_0^1 \frac{1}{n^s} dx - \sum_{n=1}^{\infty} \int_0^1 \frac{dx}{(n+x)^s}$$

$$= \int_0^1 \sum_{n=1}^{\infty} \left( \frac{1}{n^s} - \frac{1}{(n+x)^s} \right) dx$$

$$\frac{1}{n^s} \left( 1 - \frac{1}{(1+\frac{x}{n})^s} \right)$$

$$\sum_{n=1}^{\infty} \int_0^1 \frac{sx}{n^{s+1}} dx \approx s \zeta(s+1)$$

HW: Try to figure out  $\lim_{s \rightarrow 1} \zeta(s) - \frac{1}{s-1}$  using

$$\lim_{x \rightarrow \infty} \sum_{n \leq x} \frac{1}{n} - \log(x) = \gamma \quad (\text{hint: maybe answer is } 2\gamma - 1?)$$



$$\operatorname{Res}_{s=1} \sum_{p \equiv a \pmod{q}} \frac{\log(p)}{p^s} = \frac{1}{q-1} - \frac{1}{q-1} \sum_{\substack{\chi \in \widehat{G}_q \\ \chi \neq \chi_0}} \bar{\chi}(a) \operatorname{ord}_{s=1}(L(s, \chi))$$

Lemma. Let  $a_i \in \mathbb{C}$  be such that  $\sum_{n=1}^N a_n$  is bounded independent of  $N$

Then  $\sum_{n=1}^{\infty} \frac{a_n}{n^s}$  converges for  $\operatorname{Re}(s) > 1$

If  $b_n = \sum_{i=1}^n a_i$ , so  $a_n = b_n - b_{n-1}$

Thus  $\sum_{n=1}^{\infty} \frac{a_n}{n^s} = \sum_{n=1}^{\infty} \frac{b_n - b_{n-1}}{n^s}$   $\operatorname{Re}(s) > 1$

$$= \sum_{n=1}^{\infty} \frac{b_n}{n^s} - \sum_{n=1}^{\infty} \frac{b_n}{(n+1)^s} = \sum_{n=1}^{\infty} \left| b_n \left( \frac{1}{n^s} - \frac{1}{(n+1)^s} \right) \right|$$

↑ bounded  $\frac{1}{n^s} \left( 1 - \left(1 + \frac{1}{n}\right)^{-s} \right)$

$$< C \sum_{n=1}^{\infty} \left| \frac{1}{n^s} - \frac{1}{n+1} \right|, \text{ converges for } \operatorname{Re}(s) > 0$$

$$\sum_{n=1}^N \chi(n) = \sum_{M=0}^{\lfloor \frac{N}{q} \rfloor} \underbrace{\sum_{r=0}^{q-1} \chi(Mq+r)}_0 + \underbrace{\sum_{r=0}^{N-q\lfloor \frac{N}{q} \rfloor} \chi(r)}_{\text{bounded}}$$

hence  $L(s, \chi)$  analytic for  $\operatorname{Re}(s) > 0$ ,  $\chi \neq \chi_0$

Remains to show:  $L(1, \chi) \neq 0$ ,

Prop.  $F(s) = \prod_{\chi \in \widehat{G}_q} L(s, \chi)$ . let  $s \in \mathbb{R}, s > 1$

$F(s) \in \mathbb{R}$  and  $F(s) > 1$ .

Pf.  $\log(F(s)) = \sum_{\chi} \sum_p \sum_{u=1}^{\infty} \frac{\chi(p)^u}{kp^{us}} =$

$$\sum_{u=1}^{\infty} \frac{1}{u} \sum_p \frac{1}{p^{us}} \sum_{\chi \in \widehat{G}_q} \chi(p^u) = \sum_{u=1}^{\infty} \frac{1}{u} \sum_{\substack{p \\ p^u \equiv 1 \pmod{q}}} \frac{1}{p^{us}} \cdot (q-1)$$

Since  $p^{q-1} \equiv 1 \pmod{q} \quad \geq 0$

Prop.  $\chi \in \widehat{G}_q$  and assume  $\chi((\mathbb{Z}/q\mathbb{Z})^\times) \neq \mathbb{R}$ .

Then  $L(1, \chi) \neq 0$ .

Pf. Suppose  $L(1, \chi) = 0$ .

$$1 \leq F(s) = L(s, \chi_0) L(s, \chi) L(s, \bar{\chi}) \prod_{\substack{\chi \\ \chi \neq \chi_0}} L(s, \chi)$$

as  $s \rightarrow 1^+$       pole at  $s=1$       zero at  $s=1$       zero at  $s=1$       all analytic at  $s=1$

$$L(s, \bar{\chi}) = \overline{L(\bar{s}, \chi)}$$

contradiction

## Lecture 5 (2011-02-07)

Last time:  $\sum_{p \equiv a \pmod{q}} \frac{\log(p)}{p^s}$  has a pole at  $s=1$  2011-2-7  
(5)

provided  $L(1, \chi) \neq 0$  for all  $\chi \in \hat{G}$ ,  $\chi \neq \chi_0$

Done if  $\chi$  is complex.

Lemma. Let  $\chi \in \hat{G}$  be a real character,  $\chi \neq \chi_0$ . Then  $L(1, \chi) \neq 0$   
(If you think about it, you see  $\chi$  must be  $(\frac{\cdot}{q})$ )

Pf. Assume  $L(1, \chi) = 0$ . Look at

$$\psi(s) = \frac{L(s, \chi_0) L(s, \chi)}{L(2s, \chi_0)}$$

As  $s \rightarrow 1^+$ ,  $\frac{L(s, \chi_0) L(s, \chi)}{L(2s, \chi_0)} \leftarrow$  analytic at  $s=1$   
simple pole  $\quad \quad \quad 0$

$L(2s, \chi_0)$  analytic for  $\operatorname{Re}(s) > \frac{1}{2}$ , pole at  $s = \frac{1}{2}$

So  $\psi(s)$  analytic for  $\operatorname{Re}(s) \geq \frac{1}{2}$ ,  $\psi(\frac{1}{2}) = 0$ .

$$\psi(s) = \prod_{p \neq q} \frac{(1 - \chi_0(p)p^{-s})^{-1} (1 - \chi(p)p^{-s})^{-1}}{(1 - \chi_0(p)p^{-2s})^{-1}} =$$

$$\prod_{p \neq q} \frac{1 - p^{-2s}}{(1 - p^{-s})(1 - \chi(p)p^{-s})} =$$

$$\prod_{\chi(p)=1} \frac{1 + p^{-s}}{1 - p^{-s}} = \prod_{\chi(p)=1} (1 + p^{-s})(1 + p^{-s} + p^{-2s} + \dots)$$

$$\prod_{\chi(p)=1} (1 + 2p^{-s} + 2p^{-2s} + 2p^{-3s} + \dots) = \sum_{n=1}^{\infty} \frac{a_n}{n^s} \quad | \quad a_1 = 1$$

$$\S \gamma(s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s}, \quad a_n \geq 0 \text{ and } \lim_{s \rightarrow \frac{1}{2}} \gamma(s) = 0, \quad a_1 = 1$$

Look at Taylor series around  $s=2$  (Silverman: don't know what motivates  $s=2$ )

$$\gamma(s) = \sum_{m=0}^{\infty} b_m (s-2)^m$$

$$b_m = \frac{1}{m!} \left. \frac{d^m}{ds^m} \gamma(s) \right|_{s=2} = \frac{1}{m!} \sum_{n=1}^{\infty} \frac{(-\log(n))^m a_n}{n^2}$$

Let  $b_m = (-1)^m c_m$ , with  $c_m \geq 0$ .

$$c_0 = b_0 = \gamma(2) = \sum_{n=1}^{\infty} \frac{a_n}{n^2} \geq 1 \quad \text{since } a_1 = 1.$$

$$\gamma(s) = \sum_{m=0}^{\infty} (-1)^m c_m (s-2)^m = \sum_{m=0}^{\infty} c_m (2-s)^m$$

Let  $s \rightarrow \frac{1}{2}$

$$\gamma\left(\frac{1}{2}\right) = c_0 + \underbrace{\sum_{m=1}^{\infty} c_m \left(\frac{3}{2}\right)^m}_{\geq 0} \geq 1, \text{ contradiction}$$

Let  $\chi_q = \left(\frac{\cdot}{q}\right)$ . We showed  $L(1, \chi_q) \neq 0$ .

What is  $\sup \{ \varepsilon > 0 \mid L(s, \chi_q) \neq 0 \text{ for all } 1-\varepsilon < s \leq 1 \}$ ?

$$\text{best so far: } \varepsilon = \frac{\text{constant}}{\sqrt{q}}$$

Helps in determining  $M_{\chi, q} = \min \{ p \mid p \equiv a \pmod{q} \}$

Back to class field theory!

Motivating Question: Fix  $K/\mathbb{Q}$ . If  $L/K$  is

unramified, how big can  $[L:K]$  be?

Answer:  $\exists K$  such that it can be  
Arbitrarily large (Golod-Shafarevich).

For example,  $K = \mathbb{Q}(\sqrt{-2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13})$ .

This is different if  $L/K$  is required to be abelian

Thm\*. Fix  $K/\mathbb{Q}$ . There is a finite extension  
 $L/K$  that is the maximal abelian and unramified  
extension of  $K$ , and  $[L:K]$  is finite.

$L$  is called the Hilbert class field of  $K$ ,

Prop.  $L/K$  abelian,  $\mathfrak{p}$  unramified

a)  $(\mathfrak{p}, L/K)$  has order  $f(\mathfrak{p})$  in  $G_{L/K}$

b)  $\mathfrak{p}$  splits completely in  $L \Leftrightarrow (\mathfrak{p}, L/K) = 1$

c) Let  $\mathfrak{J} \subset \mathcal{O}_L$  be unramified (i.e. primes dividing  $f$  are unramified)

Then  $(N_{L/K}(\mathfrak{J}), L/K) = 1$ .

(This implies  $\begin{array}{ccc} \mathbb{F}_K & \xrightarrow{(\cdot, L/K)} & G_{L/K} \\ & \nearrow N_{L/K}(\mathfrak{J}) & \end{array}$ )

a)  $(p, L/K)$  generates  $D(p) \xrightarrow{\sim} \text{Gal}(F_p/F_p)$   
cyclic of order  $f(p)$

b)  $p \mathcal{O}_L = \mathfrak{p}_1 \cdots \mathfrak{p}_r(p)$ ,  $r(p) f(p) = [L:K]$

$\mathfrak{p}$  splits completely  $\Leftrightarrow f(\mathfrak{p})=1 \Leftrightarrow (p, L/K)$  has order 1

c)  $J = \prod \mathfrak{p}_i$

$$(N_{L/K} J, L/K) = \prod (N_{L/K} \mathfrak{p}_i, L/K) = \prod (\mathfrak{p}_i^{f(\mathfrak{p}_i)}, L/K)$$

where  $\mathfrak{p}_i = N_{L/K}(\mathfrak{p}_i)$

$$= \prod (\mathfrak{p}_i, L/K)^{f(\mathfrak{p}_i)} = 1$$

Aside on power-residue symbols

$$\left(\frac{a}{p}\right)_2 \in \{\pm 1\}, \quad \left(\frac{a}{p}\right)_2 \equiv a^{\frac{p-1}{2}} \pmod{p}$$

So we work in  $\mathbb{Q}[\zeta_n], \mathbb{Z}[\zeta_n]$

Let  $\mathfrak{p} \in \text{Spec}(\mathbb{Z}[\zeta_n])$ ,  $\mathfrak{p} \nmid n$ ,  $\alpha \in \mathbb{Z}[\zeta_n]$ ,  $\mathfrak{p} \nmid \alpha$

Def.  $\left(\frac{\alpha}{\mathfrak{p}}\right)_n \in \mu_n$ , unique  $\left(\frac{\alpha}{\mathfrak{p}}\right) \equiv \alpha^{\frac{N(\mathfrak{p})-1}{n}} \pmod{\mathfrak{p}}$

$$\left(\frac{\alpha}{\mathfrak{p}}\right) = 1 \Leftrightarrow \alpha = \beta^n \pmod{\mathfrak{p}}$$

Example.  $K = \mathbb{Q}(\zeta_3) = \mathbb{Q}(\sqrt{-3})$ ,  $L = K(\sqrt[3]{2})$

$$G_{L/K} \cong \mathbb{Z}/3\mathbb{Z}$$

$$\sigma \leftrightarrow i(\sigma) \quad \sigma(\sqrt[3]{2}) = \zeta_3^{i(\sigma)} \sqrt[3]{2}$$

Let  $\pi$  be a prime in  $\mathbb{Z}[\zeta_3]$ ,  $\pi \nmid 6$ .

$$(\pi, L/K)(\sqrt[3]{2}) \equiv (\sqrt[3]{2})^{N(\pi)} \pmod{\pi}$$

$$\equiv 2^{\frac{N(\pi)-1}{3}} \cdot \sqrt[3]{2} \pmod{\pi}$$

$$\equiv \left(\frac{2}{\pi}\right)_3 \sqrt[3]{2} \pmod{\pi}$$

action of Artin symbol is reciprocity symbol

# Lecture 6 (2011-02-09)

$L/K$  abelian and unramified (at all places, including infinite places) 2011-2-9  
 (c)

so  $(L/K, \alpha)$  is defined for all  $\alpha \in I_K$

Thm. Let  $L/K$  be the largest abelian unramified extension of  $K$ . ( $L$  is called the Hilbert class field of  $K$ ).

a)  $(L/K, \cdot) : I_K \xrightarrow{\text{onto}} G_{L/K}$

b) kernel is  $P_K$

hence  $Cl_K \xrightarrow{\sim} G_{L/K}$

$\left\{ \begin{array}{l} \text{abelian unramified} \\ M/K \end{array} \right\} \leftrightarrow \left\{ \text{subgroups of } Cl_K \right\}$

Corollary.  $\mathcal{O}_K$  is a PID  $\Leftrightarrow K$  has no abelian unramified extensions

$\left\{ \text{infinite primes of } K \right\} \leftrightarrow \left\{ K \hookrightarrow \mathbb{R} \right\} \cup \left\{ K \hookrightarrow \mathbb{C}, K \neq \mathbb{R} \right\}$   
 complex conjugation

Complex primes never ramify  
 real primes ramify if there is a complex place of  $L$  lying over  $P$

ramification index!  
 $r_1 + 2r_2 = n$

$\mathbb{Q}(i) \subset \mathbb{Q}^{\text{poo}}$  but there are really two,  
 $\mathbb{Q} \subset \mathbb{Q}^{\text{poo}}$  hence ramification index is 2

1 and 2 are only possible indexes because only extensions of  $\mathbb{R}$  are  $\mathbb{R}$  and  $\mathbb{C}$



Def. A modulus is a formal product

$$M = \prod_{p \text{ places}} p^{n_p} \quad \text{with} \quad \begin{array}{l} n_p \geq 0 \\ n_p > 0 \text{ for only finitely many } p \\ n_p = 0 \text{ if } p \text{ is complex} \\ n_p = 0 \text{ or } 1 \text{ if } p \text{ is real} \end{array}$$

$$M = \underbrace{M_0}_{\text{finite primes}} \underbrace{M_\infty}_{\text{infinite primes}}$$

$$I_K(M) = \{a \in I_K \mid \gcd(a, M_0) = 1\}$$

$$P_{K,1}(M) = \left\{ \alpha \in \mathcal{O}_K \mid \begin{array}{l} \alpha \equiv 1 \pmod{M_0} \text{ and} \\ \sigma_p(\alpha) > 0 \text{ for all infinite } p/M \\ \sigma_p: K \hookrightarrow \mathbb{R} \end{array} \right\}$$

Example.  $K = \mathbb{Q}$ ,  $m \in \mathbb{Z}$

$$P_{\mathbb{Q},1}(m) = \{a \in \mathbb{Z} \mid a \equiv 1 \pmod{m}\}$$

$$P_{\mathbb{Q},1}(m \cdot \infty) = \{a \in \mathbb{Z} \mid a \equiv 1 \pmod{m}, a > 0\}$$

$$\text{When } m=1, \quad I_{\mathbb{Q}}(M) = I_K \quad P_{K,1}(M) = \mathcal{O}_K$$

Life would be so much easier if there were no real fields,  
but as it goes, "

Thm:  $I_K(M)/P_{K,1}(M)$  is finite

PF Sketch,  $(I_K(M) : P_K \cap I_K(M))$  finite using  
result for classical  $I_K, P_K$

Now show  $(P_K \cap I_K(M) : P_{K,1}(M))$  also finite

Def,  $H \subset I_K(M)$  is called a congruence subgroup  
if  $H \supset P_{K,1}(M)$ . Then  $I_K(M)/H$  is called a  
generalized ideal class group.

**DRP**: Assume  $m$  is divisible by all primes (including  
infinite primes) that ramify in  $L/K$

IF DRP,  $(L/K, \cdot) : I_K(M) \rightarrow G_{L/K}$  is well-defined  
 $\Phi_m$ , or  $\Phi_{L/K, m}$

Artin Reciprocity Thm

$L/K$  abelian

a) IF  $m$  is a modulus DRP, then  $\phi_m : I_K(M) \rightarrow G_{L/K}$  is  
surjective

b)  $\exists m$ , DRP, such that  $\ker(\phi_m)$  is a congruence subgroup  
for  $m$

c)  $\exists m$  satisfying  $\alpha \mathcal{O}_K \in P_{K,1}(M) \Rightarrow \Phi_m(\alpha \mathcal{O}_K) = 1$   
 $(\alpha \equiv 1 \pmod{m})$   $\iff \prod \phi_m(\mathcal{P}_i)$   
 $\iff \prod \phi_m(\mathcal{P}_i)$

Ex.  $L = \mathbb{Q}(\zeta_n)$ ,  $K = \mathbb{Q}$   $M = n \cdot \infty$

$$\Phi_n : \mathbb{I}_{\mathbb{Q}}(n \cdot \infty) \rightarrow \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$$

$$\sigma \mapsto i(\sigma)$$

$$\sigma(\zeta_n) = \zeta_n^{i(\sigma)}$$

$$\Phi_n(a\mathbb{Z})(\zeta_n) = \zeta_n^{|a|}$$

$$a\mathbb{Z} \in P_{\mathbb{Q},1}(n \cdot \infty) \Leftrightarrow a \equiv 1 \pmod{n}, a > 0$$

$$\ker(\Phi_n) \supset P_{\mathbb{Q},1}(n \cdot \infty) \quad (\text{actually in this case equal})$$

$$\text{Note: } M|N \Rightarrow P_{K,1}(N) \subseteq P_{K,1}(M)$$

Same theory for  $K = \mathbb{Q}(\sqrt{D})$ ,  $D > 0$

using elliptic curves

## Lecture 7 (2011-02-11)

Artin Reciprocity  $L/K$  abelian

2011-2-11

(7)

a)  $I_K(M) \xrightarrow{\phi_{L/K, M}} G_{L/K}$  is surjective for  $M$  DRP

b)  $\exists M$  such that  $\ker(\phi_{L/K, M}) \supset P_{K,1}(M)$

Conductor Theorem

$\exists!$  modulus  $f = f(L/K)$  DRP of  $L/K$ , such that

a)  $\mathfrak{p} \mid f(L/K) \iff \mathfrak{p}$  ramifies in  $L/K$

b) If  $M$  is DRP for  $L/K$  and  $\ker(\phi_{L/K, M}) \supset P_{K,1}(M)$

then  $f(L/K) \mid M$ .

$f(L/K) =$  conductor of  $L/K$

HW: Show  $f(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \approx \begin{cases} 1 & \text{if } n \leq 2 \\ \frac{1}{2}n & \text{if } n \geq 3, 2 \nmid n, 4 \nmid n \\ n & \text{otherwise} \end{cases}$

Existence Theorem

Let  $M$  be a modulus for  $K$ , let  $S \subset I_K(M)$  be a subgroup with  $S \supset P_{K,1}(M)$ . Then  $\exists!$  abelian  $L/K$  with ramified primes dividing  $M$  satisfying

$\ker(\phi_{L/K, M}) = S$ , or equivalently,

$$\phi_{L/K, M}: I_K(M)/S \xrightarrow{\cong} G_{L/K}$$

Cor,  $L/K, F/K$  abelian extensions (in some algebraic closure)  
 TFAE:

a)  $L \subset F$

b)  $\exists$  modulus  $M$  DRP in  $L$  and  $F$  such that  
 $\ker(\Phi_{L/K, M}) \supset \ker(\Phi_{F/K, M}) \supset P_{K,1}(M)$

Pf. a)  $\Rightarrow$  b)

Let  $M$  be a modulus such that

$$\ker(\Phi_{L/K}) \supset P_{K,1}(M)$$

$$\ker(\Phi_{F/K}) \supset P_{K,1}(M)$$

$$\begin{array}{ccc} \Gamma_K(M) & \xrightarrow{\Phi_{F/K}} & G_{F/K} \\ \parallel & & \downarrow \sigma \\ & & \sigma|_L \end{array}$$

$$\Gamma_K(M) \xrightarrow{\Phi_{L/K}} G_{L/K}$$

enough to check on  
 primes, which generate -  
 $(p, F/K)|_L = (p, L/K)$

because

$$(p, F/K)(\alpha) \equiv \alpha^{N(p)} \pmod{P_F} \Rightarrow (p, F/K)(\alpha) \equiv \alpha^{N(p)} \pmod{P_L}$$

b)  $\Rightarrow$  a)  $\Phi_{F/K} : \Gamma_K(M) \rightarrow G_{F/K}$

$\Phi_{F/K}(\ker(\Phi_{L/K})) = H \subset G_{F/K}$ , and let  $\tilde{L} = F^H$   
 fixed field of  $H$

so  $\tilde{L} \subset M$ , and using a)  $\Rightarrow$  b), we get

$$\Gamma_K(M) \xrightarrow{\Phi_{\tilde{L}/K}} G_{\tilde{L}/K} \quad \Phi^{-1}$$

Kronecker-Weber

Thm. Let  $L/\mathbb{Q}$  be abelian. Then  $\exists n \in \mathbb{N}$  such that  $L \subset \mathbb{Q}(\zeta_n)$

Pf. By Artin reciprocity,  $L$  has a modulus  $M$  with  $\ker(\Phi_{L/\mathbb{Q}}) = P_{\mathbb{Q}, i}(M)$

WLOG, write  $M = n \cdot \infty$ . But  $\ker(\Phi_{\mathbb{Q}(\zeta_n)/\mathbb{Q}}) = P_{\mathbb{Q}, i}(n \cdot \infty)$   
hence  $\ker(\Phi_{\mathbb{Q}(\zeta_n)/\mathbb{Q}}) \subset \ker(\Phi_{L/\mathbb{Q}}$

hence  $\mathbb{Q}(\zeta_n) \supseteq L$ .

In particular,  $\forall p \in \mathbb{Z}$ ,  $\exists n$  with  $\mathbb{Q}(\sqrt{p}) \subset \mathbb{Q}(\zeta_n)$ .

Suffices to do  $\mathbb{Q}(\sqrt{\pm p})$  prime

$$\text{disc}(x^p - 1) = \prod_{\substack{\text{roots of} \\ x^p - 1}} p x^{p-1} = p^p \cdot \text{roots of unity}$$

$$= \prod_{\substack{0 \leq i, j < p \\ i \neq j}} (\zeta_p^i - \zeta_p^j) = \pm \prod_{0 \leq i < j < p} (\zeta_p^i - \zeta_p^j)^2 \in \mathbb{Q}(\zeta_p)$$

so  $\exists A \in \mathbb{Q}(\zeta_p)$  with  $A^2 = \pm p \left(p^{\frac{p-1}{2}}\right)^2$

hence  $\left(\frac{A}{p^{\frac{p-1}{2}}}\right)^2 = \pm p$ , since  $\sqrt{\pm p} \in \mathbb{Q}(\zeta_p)$ ,

hence  $\sqrt{p} \in \mathbb{Q}(\zeta_p)$

HW.  $L/\mathbb{Q}$  abelian

let  $n =$  smallest integer with  $L \subset \mathbb{Q}(\zeta_n)$

$$\text{then } f(L/\mathbb{Q}) = \begin{cases} n & \text{if } \exists L \hookrightarrow \mathbb{R} \\ n \cdot \infty & \text{if } \nexists L \hookrightarrow \mathbb{R} \end{cases}$$

## Lecture 8 (2011-02-14)

Hilbert class field is the one with  
conductor  $M = (1)$ , i.e.

2011-2-14

(8)

$\exists!$  field  $K_H$  with  $\ker(\Phi_{K_H/K, (1)}) = \mathfrak{P}_K$

$$\text{so } \mathcal{C}_K = \mathcal{I}_K / \mathfrak{P}_K \xrightarrow{\sim} \text{Gal}(K_H/K)$$

Prop.  $K_H$  is the maximal abelian unramified extension of  $K$ .

Pf. Let  $L/K$  be abelian unramified.

$$\mathcal{I}_K \xrightarrow{\Phi_{L/K, 1}} \text{Gal}(L/K)$$

$$\mathfrak{P}(L/K) = (1), \quad \text{so } \mathfrak{P}_K \subseteq \ker(\Phi_{L/K, 1})$$

because  $\ker(\Phi_{K_H/K}) \subseteq \ker(\Phi_{L/K, 1})$ , we have  $K_H \supseteq L$

### Ray Class Field

Let  $m$  be a modulus. Then  $\exists!$  abelian extension  
 $K_m/K$  such that  $\ker(\Phi_{K_m/K, m}) = \mathfrak{P}_{K, 1}(m)$

$$\mathcal{I}_K(m) / \mathfrak{P}_{K, 1}(m) \xrightarrow{\sim} \text{Gal}(K_m/K)$$

$K_m =$  ray class field of modulus  $m$

Hw:  $K = \mathbb{Q}$ , <sup>show</sup>  $\mathfrak{P}_{\mathbb{Q}, 1}(2) = \mathfrak{P}_{\mathbb{Q}, 1}(2 \cdot \infty)$

ray class field?



Example,  $K = \mathbb{Q}$ ,

$$M = (m) \rightsquigarrow \mathbb{Q}(\xi_m + \xi_m^{-1})$$

$$M = (m, \infty) \rightsquigarrow \mathbb{Q}(\xi_m)$$

[HW,  $L/K$  abelian, show

$$N(L/K) = \gcd \{ m : \ker(\Phi_{L/K}) \supseteq P_{K,1}(m) \}$$

$L/K$  abelian,  $m$  DRP, and a congruence group for  $L/K$ ,  $\ker(\Phi_{L/K, m}) \supseteq P_{K,1}(m)$

$$\Phi_{L/K} : I_K(M) \rightarrow G_{L/K}$$

from before,  $N_{L/K}(I_L(M)) \subseteq \ker(\Phi_{L/K, m})$

$$\text{Thus } \ker(\Phi_{L/K, m}) = N_{L/K}(I_L(M)) \cdot P_{K,1}(m)$$

### Local Fields

$$K/\mathbb{Q}, \mathfrak{p}, \mathcal{O}_{\mathfrak{p}}, K_{\mathfrak{p}}$$

$$\mathcal{O}_{\mathfrak{p}} = \varprojlim \mathcal{O}_K/\mathfrak{p}^i = \{ (\alpha_i, \alpha_{i+1}, \dots) \mid \alpha_i \in \mathcal{O}_K/\mathfrak{p}^i, \alpha_i \equiv \alpha_{i+1} \pmod{\mathfrak{p}^i} \}$$

Fact:  $\mathcal{O}_{\mathfrak{p}}$  is an integral domain

(the completion at a prime power, it actually is complete)

#1:  $K_p =$  fraction field of  $\mathcal{O}_p$

#2:  $v_p: K^* \rightarrow \mathbb{Z}$

$$\alpha \in \mathcal{O}_K = \prod \mathfrak{p}^{v_p(\alpha)} \quad v_p(0) = \infty$$

$$|\alpha|_p = \frac{1}{p^{v_p(\alpha)}}, \quad p = \text{char}(\mathcal{O}_K/\mathfrak{p})$$

$|\cdot|$  is a non-archimedean absolute value

Thm (Ostrowski) Every absolute value on  $\mathbb{Q}$  comes from  $p$ -adic or usual one.

Def:  $K_p =$  completion,  $\mathcal{O}_p = \{\alpha \in K_p \mid |\alpha| \leq 1\}$   
 $\mathfrak{m}_p = \{\alpha \in K_p \mid |\alpha| < 1\}$

"local field" will refer to a number field completed at a non-archimedean place

Extension fields  $K$  local field,  $\mathcal{O}_K = \{\alpha \mid |\alpha| \leq 1\}$ ,  $\mathfrak{p}_K = \{\alpha \mid |\alpha| < 1\}$

$$p_K \mathcal{O}_L = \mathfrak{p}_L^{e(L/K)}, \quad f(L/K) = [\mathcal{O}_L/\mathfrak{p}_L : \mathcal{O}_K/\mathfrak{p}_K]$$

$L/K$  unramified, i.e.  $e(L/K)=1$ , then

$$\text{Gal}(L/K) \cong \text{Gal}(u_L/u_K) \cong \mathbb{Z}/f(L/K)\mathbb{Z}$$
$$x \mapsto x^{Nf}$$

Then Unramified extensions are Galois,

$$\{L/K \text{ unramified}\} \leftrightarrow \{\text{finite extensions } u'/u\}$$

$K^{nr}$  = maximal unramified extension of  $K$

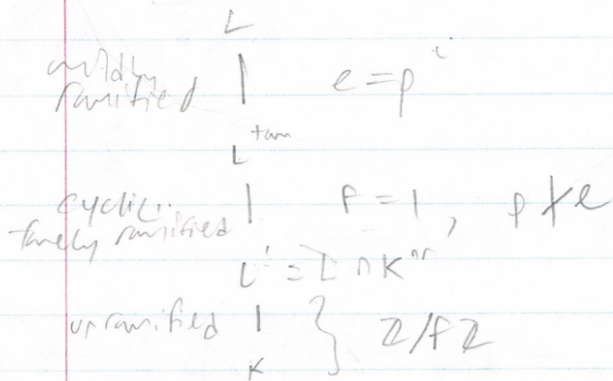
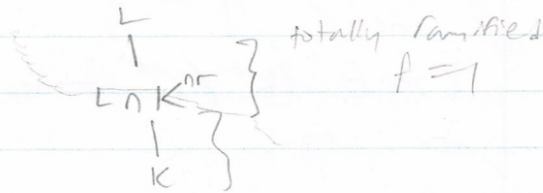
$$G(K^{nr}/K) = \varinjlim_{L/K} G(L/K) \cong \varinjlim_{u'/u \text{ finite}} G(u'/u) = \widehat{\mathbb{Z}}$$

Lecture 9 (2011-02-16)

local field  $K/\mathbb{Q}_p$ ,  $K^{nr}$  its maximal unramified extension 2011-2-16 (9)

$$\text{Gal}(K^{nr}/K) \cong \widehat{\mathbb{Z}}$$

Given  $L/K$ ,



Thm,  $K/\mathbb{Q}_p$ ,  $L/K$  abelian, then there is a natural isomorphism

$$(L, L/K) : K^*/N_{L/K}(L^*) \xrightarrow{\sim} G_{L/K}$$

↑  
Main Thm of Local Class Field Theory

if  $L/K$  unramified,  $G_{L/K} \cong \mathbb{Z}/f\mathbb{Z}$

Thm,  $\{\text{finite abelian } L/K\} \leftrightarrow \{\text{open subgroups of } K^*\}$   
 $L \rightarrow N_{L/K}(L^*)$

Thm,  $K^{ab} = \text{max ab extnsin}$

$$\begin{array}{ccccccc}
 1 & \rightarrow & \mathcal{O}_K^\times & \rightarrow & K^\times & \xrightarrow{\vee} & \mathbb{Z} \rightarrow 0 \\
 & & \downarrow \text{local Artin map} & & \downarrow \text{local Artin map} & & \downarrow \text{Frob} \\
 1 & \rightarrow & \text{Gal}(K^{ab}/K^{nr}) & \rightarrow & \text{Gal}(K^{ab}/K) & \rightarrow & \text{Gal}(K^{nr}/K) \rightarrow 0
 \end{array}$$

$$\begin{array}{c}
 \cong \\
 \text{Gal}(\overline{\mathbb{F}_p}/\mathbb{F}_p) \\
 \cong \\
 x \mapsto x^{Np} \\
 \cong \\
 \hat{\mathbb{Z}}
 \end{array}$$

Def of  $(\cdot, L/K)$  in global:

via global class field theory      group cohomology      Witt-Kate formal groups

$$1 \rightarrow \mathcal{O}_{K,1}^\times \rightarrow \mathcal{O}_K^\times \rightarrow (\mathcal{O}_K/\mathfrak{p})^\times \rightarrow 1$$

$\{ \alpha \in \mathcal{O}_K^\times \mid \alpha \equiv 1 \pmod{\mathfrak{p}} \}$

cycle of order  $\neq n \pmod{p}$   
 $\cong \mathbb{Z}/(Np-1)\mathbb{Z}$

$$\begin{array}{ccccccc}
 1 & \rightarrow & \mathcal{O}_{K,1}^\times & \rightarrow & \mathcal{O}_K^\times & \rightarrow & (\mathcal{O}_K/\mathfrak{p})^\times \rightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \rightarrow & \text{Gal}(K^{ab}/K^{tme}) & \rightarrow & \text{Gal}(K^{ab}/K^{nr}) & \rightarrow & \text{Gal}(K^{tme}/K^{nr}) \rightarrow 1
 \end{array}$$

So what does  $\mathcal{O}_{K,1}^\times$  look like? Note  $\mathbb{R}^\times \approx \mathbb{R}^+$  by log

"p-adic Lie group"

$$\mathcal{O}_{K,1}^\times \approx \mathbb{R}^+ \quad \text{divisible by } p, \text{ hence small}$$

$$\alpha \mapsto \log(\alpha) = \log(1 + (\alpha - 1))$$

$$\sum_{n=1}^{\infty} \frac{(-1)^{n+1} (\alpha-1)^n}{n!}$$

Lemma  $K/\mathbb{Q}_p$ ,  $a_n, b_n \in \mathcal{O}_K$ , i.e.  $|a_n|, |b_n| \leq 1$

a)  $\sum a_n \frac{x^n}{n}$  converges  $\forall |x| < 1$ , i.e.  $v(x) > 0$

b)  $\sum b_n \frac{x^n}{n!}$  converges  $\forall$  , i.e.  $v(x) > \frac{e(K/\mathbb{Q}_p)}{p-1}$

If, Converges  $\Leftrightarrow n^{\text{th}}$  term  $\rightarrow 0 \Leftrightarrow v(n^{\text{th}} \text{ term}) \rightarrow \infty$

a)  $v(a_n \frac{x^n}{n}) \geq n v(x) - v(n) \geq n v(x) -$

b)  $v(p(n!)) = \sum_{k=1}^{\infty} \lfloor \frac{n}{p^k} \rfloor < \frac{n}{p-1}$   $\frac{e(K/\mathbb{Q}_p)}{p-1}$   
 $v(x) > \frac{v(p)}{p-1}$

Prop Let  $r > \frac{e(K/\mathbb{Q}_p)}{p-1}$ . Then there are isomorphisms

$$(p^r, +) \cong (1+p^r, \cdot)$$

$$x \mapsto \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

$$\sum_{n=1}^{\infty} \frac{(-1)^{n+1} (y-1)^n}{n} \longleftarrow y$$

Corollary:  $\mathcal{O}_K^\times$  has a subgroup of finite index that is isomorphic to  $(p^r, +)$

$$(p, +) \cong (1+p\mathbb{Z}_p, \cdot)$$

# Lecture 10 (2011-02-18)

Adeles + Ideles

2011-2-18

(10)

$K/\mathbb{Q}$  number field

$$M_K = M_K^0 \cup M_K^\infty$$

absolute values on  $K$       "      "      "      "      "

non archimedean       $K \hookrightarrow \mathbb{R}$       or pairs of  $K \hookrightarrow \mathbb{C}$

primes  $\mathfrak{p}$

$$\mathcal{O}_K \hookrightarrow \prod_{v \in M_K^0} K_v \cong \mathbb{R}^r \times \mathbb{C}^{r_2}$$

$$\mathcal{O}_{K,S} \hookrightarrow \prod_{v \in S} K_v \quad \rightarrow \text{ suggests } K \hookrightarrow \prod_{v \in M_K} K_v$$

Ring of adèles of  $K = \mathbb{A}_K$

$$\mathbb{A}_K = \left\{ \alpha = (\alpha_v)_{v \in M_K} \in \prod_{v \in M_K} K_v \mid \alpha_v \in \mathcal{O}_v \text{ for all but finitely many } v \right\}$$

(define  $\mathcal{O}_v = K_v$  if  $v \in M_K^\infty$ )

define ideles  $\mathbb{I}_K = \mathbb{A}_K^\times$

$$\mathbb{I}_K = \left\{ \alpha \in \prod_{v \in M_K} K_v^\times \mid \alpha_v \in \mathcal{O}_v^\times \text{ for all but finitely many } v \right\}$$

Note that we get  $K \hookrightarrow \mathbb{A}_K$   
 $K^\times \hookrightarrow \mathbb{I}_K$

If  $(X_i)_{i \in I}$  are topological spaces,  
 $X = \prod X_i$  is a topological space; base of open sets is  $\prod_{i \in J} U_i \times \prod_{i \in I \setminus J} X_i$

Typical open set in  $A_K$

$$\prod_{v \in S} U_v \times \prod_{v \notin S} \mathcal{O}_v$$

$\uparrow$  finite       $\uparrow$  open in  $K_v$

topology on  $\mathbb{A}_K$   $\neq$  subspace topology from  $A_K$

In general, if  $R$  is a topological ring, then

$R^\times$  is a topological group, with topology induced by

$$R^\times \hookrightarrow R \times R$$

$$a \mapsto (a, a^{-1})$$

Distances in  $\mathbb{A}_K$ :  $\alpha = (\alpha_v) \in \mathbb{A}_K$ , define

$$\|\alpha\| = \prod_{v \in M_K} |\alpha_v|_v$$

Prop.  $K \hookrightarrow A_K$ ,  $K^\times \hookrightarrow A_K^\times$  are discrete subgroups



pf idea, Suppose  $\alpha_i \in K$ ,  $\alpha_i \rightarrow 0$  as elements of  $A_K$ .

$$\alpha_i = (\alpha_i, \alpha_i, \dots) \quad 0 = (0, 0, \dots)$$

For any finite  $S \subset M_K$  and any  $\varepsilon > 0$ ,

$\exists i$  such that  $|\alpha_i|_v < \varepsilon$  for all  $v \in S$

$$|\alpha_i|_v \leq 1 \quad \text{for all } v$$

for example, let  $S = M_K^\infty$ ,  $\varepsilon = \frac{1}{2}$

$$|\alpha_i|_v < \frac{1}{2}, \quad |\alpha_i|_v \leq 1 \quad \text{for } v \in M_K^\infty \quad \text{for } v \in M_K^o$$

$$1 = \prod_{v \in M_K} |\alpha_i|_v < \frac{1}{2}$$

unless  $\alpha = 0$

define  $A_{K,S} = \prod_{v \in S} K_v \times \prod_{v \notin S} O_v$

$$A_{K,S}^x = \prod_{v \in S} K_v^x \times \prod_{v \notin S} O_v^x$$

Thm:  $A_K = K + A_{K, M_K^\infty}$ , and  $A_K / K$  is compact,

where  $\prod_{v \in M_K^\infty} \{ \alpha \in K_v \mid |\alpha|_v \leq B \} \times \prod_{v \in M_K^o} O_v \xrightarrow{\text{continuous surjection}} A_K / K$   
 $\wedge$  finite many open sets

$\|\cdot\| : \mathbb{A}_K^x \rightarrow \mathbb{R}_{>0}^x$  is a continuous homomorphism  
 $\alpha \mapsto \prod_{v \in M_K} |\alpha|_v$

Also have  $\mathbb{A}_K^x \twoheadrightarrow I_K$

$$\alpha = (\alpha_v)_{v \in M_K} \mapsto \prod_{v \in M_K} \|\alpha_v\|^{ord_v(\alpha)}$$

Denote this by  $\alpha \circ_K$ . If  $\alpha \in K^x$ , this notation is consistent.

The kernel of this map is

$$\prod_{v \in M_K^{\infty}} K_v^x \times \prod_{v \in M_K^{\circ}} \mathcal{O}_v^x = \mathbb{A}_{K, M_K^{\infty}}^x$$

$$\mathbb{A}_K^x / \mathbb{A}_{K, M_K^{\infty}}^x \xrightarrow{\sim} I_K, \text{ hence}$$

$$\mathbb{A}_K^x / K^x \mathbb{A}_{K, M_K^{\infty}}^x \xrightarrow{\sim} I_K / (K^x) = Cl_K$$

$\exists$  finite  $S \subset M_K$  so that

$$\mathbb{A}_K^x = K^x \mathbb{A}_{K, S}^x \text{ - choose } S \text{ so that the}$$

primes  $p$  in  $S$  cover  $Cl_K$ .

$$\text{Hw. } K_v^x \xrightarrow{\quad} A_K^x \longrightarrow A_K^x / K^x$$

$$a \mapsto (a_1, \dots, a_r, \dots)$$

is a bicontinuous injection (i.e. a topological embedding)

embeds  $K_v^x$  as a closed subgroup

IF  $S$  finite,  $|S| \geq 2$ ,

$$\prod_{v \in S} K_v^x \xrightarrow{\quad} A_K^x \longrightarrow A_K^x / K^x$$

is continuous + injective, but not bicontinuous

# Lecture 11 (2011-02-23)

## Main Theorem of Class Field Theory

2011-2-23

(11)

$K/\mathbb{Q}$ ,  $K^{ab} =$  maximal abelian extension of  $K$

Then there exists a unique continuous homomorphism

$$[\cdot, K] : A_K^\times \rightarrow \text{Gal}(K^{ab}/K) = \varprojlim_{L/K \text{ finite ab}} \text{Gal}(L/K)$$

such that: ( $K^{ab}$  built out of finite abelian extensions, so enough to specify on fin)

Let  $L/K$  be a finite abelian extension.

① If  $\alpha \in A_K^\times$  satisfies  $\alpha_v \in \mathcal{O}_v$  for all  $v$ ,  
 $\alpha_v = 1$  for all  $v$  that ramify in  $L$

Then  $[\alpha, K]|_L = (\alpha \mathcal{O}_K, L/K)$

② If  $\alpha \in K^\times$ , then  $[\alpha, K] = 1$ .

⑤  $[\cdot, K]$  is surjective

③ Let  $L/K$  abelian extension,  $\beta \in A_L^\times$

$$[\beta, L]|_{K^{ab}} = [N_{L/K}(\beta), K]$$

$L^{ab}$  not necessarily  $K^{ab}$

Def.  $N_{L/K} : A_L^\times \rightarrow A_K^\times$   
 $\beta \mapsto \prod_{w|v} \beta_w$

④  $K_v^\times \hookrightarrow A_K^\times$   
 $\alpha \mapsto (\alpha, 1, \dots, 1, \dots)$

Let  $v \in M_K$ . Then

$$(N_{L/K}(\beta))_v = \prod_{\substack{w \in M_L \\ w|v}} N_{L_w/K_v}(\beta_w)$$

$[\mathcal{O}_v^\times, K] =$  inertia group of  $v$  in  $\text{Gal}(K^{ab}/K)$

Example: If  $v$  is unramified,  $c \in K_v^\times \subset A_K^\times$

$$[c, K] \Big|_L = [(1, 1, \dots, c, 1, \dots), K] \Big|_L = \text{Frob}_v^{\text{ord}_v(c)} \in \text{Gal}(L/K)$$

Let  $\mathfrak{m}$  be a modulus for  $K$ .

Def.  $U_{\mathfrak{m}} = \{ \alpha \in A_K^\times \mid \alpha_v \in \mathcal{O}_v \text{ for all } v \in \mathfrak{m} \}$

- Computing  $[\alpha, K] \Big|_L$  for some finite abelian  $L/K$

We want to get it into the form of ①.

Given  $\alpha \in A_K^\times$ , find some  $c \in K^\times$  such that  $c \alpha_v \in \mathcal{O}_v$  for all  $v$ .

Pick a modulus  $\mathfrak{m}$  for  $L/K$ ; for ramified primes  $v \mid \mathfrak{m}$ ,

require  $c \alpha_v \equiv 1 \pmod{\mathfrak{m} \mathcal{O}_v}$

(If  $\text{ord}_v(\alpha_v) \neq 0$ , first divide  $\alpha$  by some  $a \in K^\times$  to make  $\text{ord}_v(a^{-1}\alpha) = 0$ )

Because  $(\cdot, K)$  doesn't see  $K^\times$ ,

$$[\alpha, K]_L = [c\alpha, K]_L = (c\alpha \theta_K, L/K)$$

Example  $K = \mathbb{Q}$ ,  $L = \mathbb{Q}(\zeta_{p^n})$

$$\alpha = (1, \dots, 1, \underset{\substack{\uparrow \\ \mathbb{Q}_p}}{a}, 1, \dots, 1) \quad a \in \mathbb{Z}_p^\times$$

$$(c, \dots, c, ca, c, \dots, c)$$

Choose  $c \in \mathbb{Z}$  with  $ca \equiv 1 \pmod{p^n}$ .

$$\boxed{c \in \mathbb{Z} \quad c > 0 \quad c \equiv a^{-1} \pmod{p^n}}$$

$$[\alpha, K]_L = [c\alpha, K]_L = (c\alpha \theta_K, L/K) = \prod_{\text{prime } \ell | c} \text{Frob}_\ell^{(\text{ord}_\ell(c))}$$

$$[\alpha, \mathbb{Q}]_{\mathbb{Q}(\zeta_{p^n})} = \sum_{p^n}^c = \sum_{p^n}^{a^{-1}}$$

HW  $\alpha = (1, 1, \dots, \underset{\substack{\uparrow \\ \mathbb{Q}_p \text{ spot}}}{p^i}, 1, \dots)$  Show  $[\alpha, \mathbb{Q}]_{\mathbb{Q}(\zeta_{p^n})} = 1$

$\beta = (1, 1, \dots, -p^i, \dots)$ , evaluate  $[\beta, \mathbb{Q}]_{\mathbb{Q}(\zeta_{p^n})}$ .

# Lecture 12 (2011-02-25)

$$\mu_n \subset K, \alpha \in \mathcal{O}_K, p \nmid n\alpha$$

2011-2-25

(12)

$n^{\text{th}}$  order residue symbol

$$\left(\frac{\alpha}{p}\right)_n \in \mu_n \text{ such that } \alpha^{\frac{n p - 1}{n}} \equiv \left(\frac{\alpha}{p}\right)_n \pmod{p}$$

$$\text{If } a = \prod p_i, \left(\frac{\alpha}{a}\right)_n = \prod \left(\frac{\alpha}{p_i}\right)_n$$

$$\left(\frac{\alpha}{\cdot}\right)_n : \mathbb{I}_K(\mathcal{M}) \rightarrow \mu_n \text{ homomorphism (when } n\alpha \nmid \mathcal{M})$$

For a Kummer extension,

$$\text{Gal}(K(\sqrt[n]{\alpha})/K) \hookrightarrow \mu_n$$

$$\sigma \mapsto \xi_\sigma = \frac{\sigma(\sqrt[n]{\alpha})}{\sqrt[n]{\alpha}}$$

Prop.  $\mu_n \subset K, L = K(\sqrt[n]{\alpha}), \alpha \in \mathcal{O}_K \setminus \{0\}$

Choose a modulus  $\mathcal{M}$  such that

① Every  $p \mid n\alpha$  has  $p \mid \mathcal{M}$

②  $\text{Ker}(\Phi_{L/K, \mathcal{M}}) \supset P_{K,1}(\mathcal{M})$ , i.e.  $\mathcal{M}$  is a modulus for  $L/K$  - can always make a modulus bigger, so ① is ok

exists by Artin reciprocity  $\rightarrow$

$$\text{Then } \mathbb{I}_K(\mathcal{M}) \xrightarrow{\Phi_{L/K, \mathcal{M}}} \text{Gal}(L/K)$$

$$\begin{array}{ccc} & & \downarrow \sigma \\ \left(\frac{\alpha}{\cdot}\right)_n & \searrow & \mu_n \end{array}$$

commutes, as

$$\left(\frac{\alpha}{\cdot}\right)_n = \frac{I_K(M)}{P_{K,1}(M)} \xrightarrow{\text{onto}} \text{Image}(\Phi_{K,1} \rightarrow \mu_n)$$

$$(\mathbb{F}_p, \mathbb{F}_K)(\sqrt{\alpha}) \equiv (\sqrt{\alpha})^{NP} \pmod{\mathcal{P}} \quad \mathcal{P} \text{ lies above } p$$

by def of Frobenius

$$\equiv \alpha^{\frac{NP-1}{n}} \sqrt{\alpha} \pmod{\mathcal{P}} \equiv \left(\frac{\alpha}{p}\right)_n \sqrt{\alpha} \pmod{\mathcal{P}}$$

$$\text{so } \frac{(\mathbb{F}_p, \mathbb{F}_K)(\sqrt{\alpha})}{\sqrt{\alpha}} \equiv \left(\frac{\alpha}{p}\right)_n \pmod{\mathcal{P}}$$

but  $n^{\text{th}}$  roots stay distinct, so  $(\mathbb{F}_p, \mathbb{F}_K)(\sqrt{\alpha}) = \left(\frac{\alpha}{p}\right)_n \sqrt{\alpha}$

Second statement follows from the fact that  $\Phi_{K,1}$  is surjective.

Prop.  $p, q \in \mathbb{Z}$  odd primes, then  $\left(\frac{p}{q}\right)\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}}$

pf. Let  $p^* = (-1)^{\frac{p-1}{2}} p$ . We know  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$ ,

so equivalently we want to prove  $\left(\frac{p^*}{q}\right) = \left(\frac{q}{p}\right)$

$$\frac{I_{\mathbb{Q}}(p, \infty)}{P_{\mathbb{Q},1}(p, \infty)} \xrightarrow{\Phi_{\mathbb{Q}(\zeta_p)/\mathbb{Q}, p, \infty}} \text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$$



For any subfield  $\mathbb{Q} \subseteq K \subseteq \mathbb{Q}(\zeta_p)$ , we also get

$$\frac{\mathbb{I}_{\mathbb{Q}}(p, \infty)}{P_{\mathbb{Q}, 1}(p, \infty)} \xrightarrow{\Phi_{K, p, \infty}} \text{Gal}(K/\mathbb{Q})$$

$$\text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \cong (\mathbb{Z}/p\mathbb{Z})^\times, \quad \begin{array}{l} \text{cyclic} \\ \text{order } p-1 \end{array}$$

hence unique subfield  $K \subseteq \mathbb{Q}(\zeta_p)$  with  $[K:\mathbb{Q}] = 2$

The only (finite) prime  $K$  can be ramified at is  $p$

hence  $K = \mathbb{Q}(\sqrt{p^*})$ .

$$(\mathbb{Z}/p\mathbb{Z})^\times \xrightarrow{\sim} \frac{\mathbb{I}_{\mathbb{Q}}(p, \infty)}{P_{\mathbb{Q}, 1}(p, \infty)} \xrightarrow{\left(\frac{p^*}{\cdot}\right)} \underbrace{\text{Image}\left(\begin{array}{c} \text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \\ \downarrow \\ \mu_2 \end{array}\right)}_{\mu_2}$$

$a \bmod p \mapsto a\mathbb{Z}$

so  $a \mapsto \left(\frac{p^*}{a}\right)$   
is a surjective homomorphism from  
 $(\mathbb{Z}/p\mathbb{Z})^\times \rightarrow \mu_2$

but  $(\mathbb{Z}/p\mathbb{Z})^\times$  is cyclic, so there is only one such.

But  $a \mapsto \left(\frac{a}{p}\right)$  is also a surjective homomorphism

so they are the same

$(\frac{\mathbb{Z}}{n})_n$  depends only on  $\prod_{p|n} p$  and  $n$

## Group Cohomology

$G$  a finite group,  $M$  a  $G$ -module

$$\mathbb{Z}[G] = \left\{ \sum_{\sigma \in G} n_{\sigma} \cdot \sigma \mid n_{\sigma} \in \mathbb{Z}, n_{\sigma} = 0 \text{ for all } \sigma \text{ but finitely many } \sigma \right\}$$

group ring

$$\left( \sum n_{\sigma} \sigma \right) \left( \sum n_{\tau} \tau \right) = \sum_{\sigma, \tau} n_{\sigma} n_{\tau} \sigma \tau$$

group ring is non-commutative if  $G$  is

$M$  is really a (left)  $\mathbb{Z}[G]$ -module

$$\left( \sum n_{\sigma} \sigma \right) (x) = \sum n_{\sigma} \sigma(x)$$

Examples  $L/K$ ,  $G = \text{Gal}(L/K)$  acts on  $L^+, L^x$

$$(\sigma - 1)(x) = \sigma(x) - x \quad (\sigma - 1)(x) = \frac{\sigma(x) - x}{x}$$

Basic object of study:  $M^G = \left\{ x \in M \mid \sigma(x) = x \text{ for all } \sigma \in G \right\}$

Example  $G$  is cyclic, say  $G = \langle \sigma \rangle$

$$M^G = \left\{ x \in M \mid \underbrace{\sigma(x) = x}_{(\sigma - 1)(x) = 0} \right\} = \ker(\sigma - 1: M \rightarrow M)$$

Prop. Let  $0 \rightarrow M \xrightarrow{\alpha} N \xrightarrow{\beta} P \rightarrow 0$  be an exact sequence of  $G$ -modules, where  $\alpha$  and  $\beta$  are  $G$ -module morphisms (commute with  $G$ -action)

Then  $0 \rightarrow M^G \rightarrow N^G \rightarrow P^G$  is exact

$\text{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}, M) = M^G$  right adjoint  
 $\uparrow$  trivial action

# Lecture 13 (2011-02-28)

Let  $L/K/\mathbb{Q}_p$  be a Galois extension of  $p$ -adic fields 2011-2-28 (13)

$$1 \rightarrow \mathcal{O}_L^\times \rightarrow L^\times \xrightarrow{\text{ord}_L} \mathbb{Z} \rightarrow 1$$

take fixed elements

$$1 \rightarrow \mathcal{O}_K^\times \rightarrow K^\times \xrightarrow{\text{ord}_K} \mathbb{Z} \rightarrow \mathbb{Z}/e(L/K)\mathbb{Z} \rightarrow 1$$

$$\parallel$$

$$e(L/K) \text{ ord}_L$$

exact  $\Leftrightarrow$  unramified

$M, N$   $G$ -modules, then  $\text{Hom}_G(M, N) = \text{Hom}_{\mathbb{Z}}(M, N)^G$

where  $\text{Hom}_{\mathbb{Z}}(M, N)$  is a  $G$ -module by  $\sigma \cdot f = \sigma \circ f \circ \sigma^{-1}$

(this is equivalent to requiring  $\begin{array}{ccc} M & \xrightarrow{f} & N \\ \sigma \downarrow & & \downarrow \sigma \\ M & \xrightarrow{f} & N \end{array}$  commute)

In particular  $\text{Hom}_G(\mathbb{Z}, M) = M^G$ , since  $\sigma(f(\sigma^{-1}(a))) = f(a) \quad \forall a \in M$   
implies  $f(1) = \sigma(f(1))$

Def. A co-induced  $G$ -module is one of the form

$\text{Hom}_{\mathbb{Z}}(\mathbb{Z}[G], A)$  where  $A$  is an abelian group with trivial  $G$ -action

Notation -  $M^G = \Gamma(G, M) = H^0(G, M)$

↑

possible to use a classifying space for group  $G$ ,  
make this sleek notation rigorous

Thm. There are abelian groups  $H^q(G, M)$ ,  $q \geq 0$

and, for each exact sequence  $0 \rightarrow M \rightarrow N \rightarrow P \rightarrow 0$ ,

connecting homomorphisms  $H^q(G, P) \xrightarrow{\delta} H^{q+1}(G, M)$ ,

functorial on exact sequences, such that

$$\textcircled{1} \quad 0 \rightarrow H^0(G, M) \rightarrow H^0(G, N) \rightarrow H^0(G, P) \xrightarrow{\delta} H^1(G, M) \rightarrow \dots \quad \text{is exact}$$

and  $\textcircled{2}$  if  $M$  is condensed, then  $H^q(G, M) = 0$  for  $q \geq 1$ .

$H^q(G, M)$  is "unique".

PF.  $M \rightsquigarrow M^G = \text{Hom}_G(\mathbb{Z}, M)$

Take a resolution  $\dots \rightarrow F_2 \rightarrow F_1 \rightarrow F_0 \rightarrow \mathbb{Z} \rightarrow 0$

where  $F_i$  are free  $\mathbb{Z}$ -modules, and apply  $\text{Hom}_G(\cdot, M)$

get a complex (toss out  $\mathbb{Z}$ )

$$C^M: \quad 0 \rightarrow \text{Hom}_G(F_0, M) \rightarrow \text{Hom}_G(F_1, M) \rightarrow \dots$$

$H^q(G, M) = q^{\text{th}}$  cohomology of  $C^M =$

$$\ker(\text{Hom}_G(F_q, M) \rightarrow \text{Hom}_G(F_{q+1}, M))$$

$$\text{im}(\text{Hom}_G(F_{q-1}, M) \rightarrow \text{Hom}_G(F_q, M))$$

Abstract nonsense  $\Rightarrow$  long exact sequence

$$\text{Check } H^0(G, M) = \frac{\ker(\text{Hom}_G(F_0, M) \rightarrow \text{Hom}_G(F_1, M))}{\text{Im}(0 \rightarrow \text{Hom}_G(F_0, M))}$$

and  $\rightarrow F_1 \rightarrow F_0 \rightarrow \mathbb{Z} \rightarrow 0$  exact, so

$$0 \rightarrow \text{Hom}_G(\mathbb{Z}, M) \rightarrow \text{Hom}_G(F_0, M) \rightarrow \text{Hom}_G(F_1, M) \text{ exact,}$$

$$\text{so } \text{Hom}_G(\mathbb{Z}, M) = M^G = \ker(\text{Hom}_G(F_0, M) \rightarrow \text{Hom}_G(F_1, M))$$

$$\text{so } H^0(G, M) = M^G$$

(2) coinduced  $\Rightarrow$  trivial cohomology

Let  $M = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}[G], A)$ , let  $N$  be any  $G$ -module,

$$\begin{array}{ccc} \text{Hom}_G(N, M) = \text{Hom}_G(N, \text{Hom}_{\mathbb{Z}}(\mathbb{Z}[G], A)) & & \\ \phi \swarrow & & \nearrow (n \mapsto (\sigma \mapsto \chi(\sigma(n)))) \\ & \text{Hom}_{\mathbb{Z}}(N, A) & \uparrow \\ & (n \mapsto \phi(n)(e_G)) & \end{array}$$

so  $\text{Hom}_G(N, M) = \text{Hom}_{\mathbb{Z}}(N, A)$ , so  $0 \rightarrow F_1 \rightarrow F_0 \rightarrow \mathbb{Z} \rightarrow 0$ ,

since the  $F_i$  are free abelian groups,  $F_i \cong_{\mathbb{Z}} \mathbb{Z}^{n_i}$ ,

$\text{Hom}_G(F_i, M) = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}^{n_i}, A) = A^{n_i}$ , so the complex is exact,  
so cohomology is trivial.

For uniqueness, use  $M \hookrightarrow \text{Hom}(\mathbb{Z}[G], M)$

$$0 \rightarrow M \rightarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}[G], M) \rightarrow M' \rightarrow 0 \quad \begin{array}{c} \text{where } M' \text{ is} \\ \downarrow \\ M \hookrightarrow (\sigma \mapsto \sigma(m)) \end{array}$$

$$H^q(G, M') \cong H^{q+1}(G, M) \quad \text{for } q \geq 1$$

$$\begin{array}{ccccccc} \text{because } & H^q(G, \text{coinduced}) & \rightarrow & H^q(G, M') & \rightarrow & H^{q+1}(G, M) & \rightarrow & H^{q+1}(G, \text{coinduced}) \\ & \parallel & & & & & & \parallel \\ & 0 & & & & & & 0 \end{array}$$

"dimension shifting"

$$\text{Def. } Z^1(G, M) = \left\{ f: G \rightarrow M \mid f(\sigma\tau) = f(\sigma) + \sigma f(\tau) \right\}$$

$\uparrow$  the 1-cocycles                      the 1-coboundaries

$$B^1(G, M) = \left\{ f: G \rightarrow M \mid \exists m \in M \text{ such that } f(\sigma) = \sigma(m) - m \right\}$$

check that  $B^1(G, M) \subset Z^1(G, M)$

$$\text{we have } H^1(G, M) = \frac{Z^1(G, M)}{B^1(G, M)}$$

given  $0 \rightarrow M \xrightarrow{\alpha} N \xrightarrow{\beta} P \rightarrow 0$  we get

$$0 \rightarrow M^G \rightarrow N^G \rightarrow P^G \xrightarrow{\delta} H^1(G, M) \rightarrow \dots$$

let  $p \in P^G$ , choose  $n \in N$  with  $\beta(n) = p$ . Construct

$$\begin{array}{c} G \rightarrow N \\ \sigma \mapsto (\sigma(n) - n) \end{array}$$

$\sigma(n) - n$  is actually in  $M$ : since

$$\beta(\sigma(n) - n) = \sigma(\beta(n)) - \beta(n) = \sigma(p) - p = 0$$

so get in fact  $G \rightarrow M$

$$\sigma \mapsto \alpha'(\sigma(n) - n)$$

Milnor 90:  $H^1(G_L/K, L^\times) = 0$



# Lecture 14 (2011-03-02)

Standard Complex

2011-3-2

(14)

$$F_i = \mathbb{Z}[G^{i+1}] \quad (\sigma \tau_0, \dots, \sigma \tau_i) = \sigma(\tau_0, \dots, \tau_i)$$

$$\dots \rightarrow F_1 \rightarrow F_0 \rightarrow \mathbb{Z} \rightarrow 0$$

$$\cong \mathbb{Z}[G]$$

$$F_i \cong \mathbb{Z}[G]^i \text{ or } \mathbb{Z}[G]^{i+1}$$

$$\sum n_\sigma \cdot \sigma \rightarrow \sum n_\sigma$$

$$F_i \rightarrow F_{i-1} \text{ by } d(\tau_0, \dots, \tau_i) = \sum_{j=0}^i (-1)^j (\tau_0, \dots, \tau_j, \dots, \tau_i)$$

HW. Prove exactness

Hint. Fix  $\sigma \in G$ , let  $h_\sigma: F_{i-1} \rightarrow F_i$   $(\tau_0, \dots, \tau_{i-1}) \mapsto \sigma(\tau_0, \dots, \tau_{i-1})$   
 Show  $d \circ h_\sigma + h_\sigma \circ d = 1$

Can use to compute  $H^i(G, M)$ . Look at  $f \in \text{Hom}_G(F_i, M)$ ,

a  $G$ -module homomorphism  $f: \mathbb{Z}[G^{i+1}] \rightarrow M$

$$\text{Notice } f(\tau_0, \dots, \tau_i) = \tau_0(1, \tau_0^{-1}\tau_1, \dots, \tau_0^{-1}\tau_i)$$

$f$  corresponds to a map  $\phi: G^{i+1} \rightarrow M$  by setting

$$\phi(\tau_1, \dots, \tau_i) = f(1, \tau_1, \tau_1\tau_2, \dots, \tau_1, \dots, \tau_i)$$

$$d\phi : G^{i+1} \rightarrow M \quad \phi \text{ is a cocycle} \Leftrightarrow d\phi = 0$$

$$d\phi(\tau_1, \dots, \tau_{i+1}) = \tau_1 \phi(\tau_2, \dots, \tau_{i+1}) + \sum_{j=1}^i (-1)^j \phi(\tau_1, \dots, \tau_{j-1}, \tau_j \tau_{j+1}, \tau_{j+2}, \dots, \tau_{i+1}) + (-1)^{i+1} \phi(\tau_1, \dots, \tau_i)$$

$$1\text{-cocycle: } \phi: G \rightarrow M$$

$$0 = d\phi(\sigma, \tau) = \sigma \phi(\tau) - \phi(\sigma\tau) + \phi(\sigma)$$

$$\text{i.e. } \phi(\sigma\tau) = \sigma \phi(\tau) + \phi(\sigma)$$

$$0 \rightarrow C \xrightarrow{\alpha} \square \xrightarrow{\beta} A$$

$\begin{array}{c} \curvearrowright \\ \gamma \end{array}$

$H^2(A, C)$  classifies extensions

$$\gamma \text{ is a homomorphism iff } \gamma(x+y) = \gamma(x) + \gamma(y)$$

$$H \subset G \text{ subgroup, get } H^i(G, M) \rightarrow H^i(H, M)$$

$$H \triangleleft G, \text{ then take } (G/H)^i \rightarrow \underline{M^H} \text{ to}$$

$$G^i \rightarrow (G/H)^i \rightarrow M^H \hookrightarrow M$$

Inflation - restriction

Thm. There is an exact sequence

$$0 \rightarrow H^1(G/H, M^H) \xrightarrow{i} H^1(G, M) \xrightarrow{res} H^1(H, M)$$

Pf.  $i$  injective  $G/H \xrightarrow{c} M^H$ , call the map

$$G \rightarrow G/H \xrightarrow{c} M^H \hookrightarrow M, \quad \text{Suppose } i(c) \neq 0$$

$\xrightarrow{i(c)}$

coboundary, i.e.  $\exists m \in M$  such that  $i(c)(\sigma) = \sigma(m) - m \forall \sigma \in G$

Notice that this only depends on  $\sigma$  mod  $H$

erased

$\ker i = 0$  obvious  $H \rightarrow G \rightarrow G/H \xrightarrow{c} M^H \rightarrow M$

$\xrightarrow{i(c)}$

$\ker(r) = \text{im}(i)$  let  $c \in \ker(r)$ ,

$$H \hookrightarrow G \xrightarrow{c} M \quad r(c) = \text{coboundary}$$

$\exists m \in M$  such that  $r(c)(\sigma) = \sigma(m) - m \forall \sigma \in H$

Replace  $c$  by  $c': G \rightarrow M$ ,  $c'(\sigma) = d(\sigma) - (\sigma(m) - m)$

changed  $c$  by a  $G \rightarrow M$  1-coboundary. Now  $c'(\sigma) = 0 \forall \sigma \in H$

Define  $G/H \rightarrow M$ . Let  $\gamma \in H, \sigma \in G$ .  
 $\sigma H \mapsto c'(\sigma)$

$$c'(\sigma\gamma) = \sigma c'(\gamma) + c'(\sigma) \quad \leftarrow \text{1-cocycle condition}$$

This shows  $c'(\sigma H)$  is well-defined

Claim: Image  $(c') \subset M^H$ .

$$\text{Let } \gamma \in H, \sigma \in G. \quad \gamma \cdot c'(\sigma) = c'(\gamma\sigma) - c'(\gamma)$$

$$c'(H\sigma) = c'(\sigma)$$

$$0 \rightarrow H^1(G/H, M^H) \xrightarrow{\text{inf}} H^1(G, M) \xrightarrow{\text{res}} H^1(H, M) \xrightarrow{G/H} \rightarrow$$

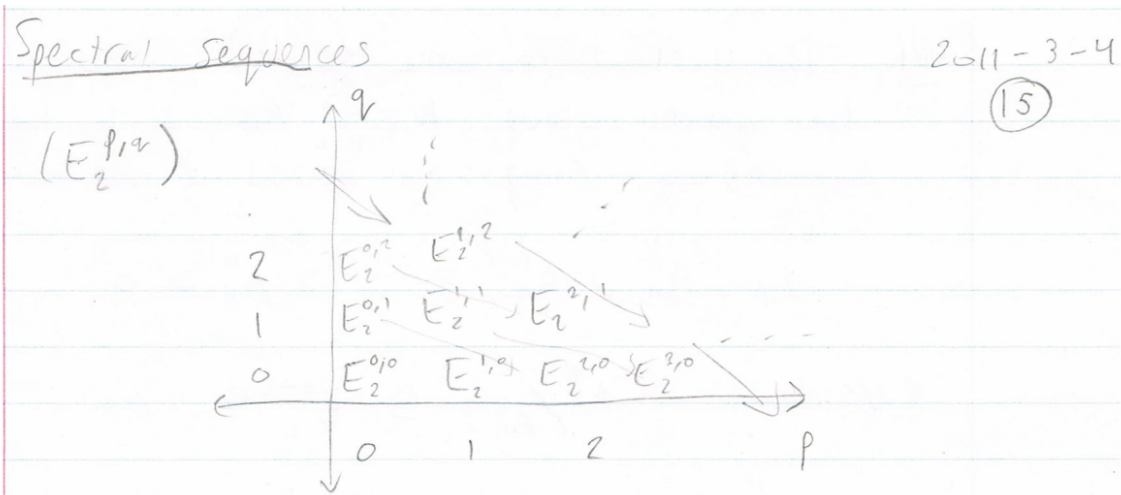
$$H^2(G/H, M^H) \rightarrow H^2(G, M)$$

Spectral sequence

HW: Show  $G/H$  acts on  $H^1(H, M)$ ,

show image of restriction is inside  $H^1(H, M)^{G/H}$

Lecture 15 (2011-03-04)



For the spectral sequences we'll be looking at, all other quadrants are 0.

$$E_2^{p,q} \xrightarrow{\delta_2^{p,q}} E_2^{p+2,q-1} \quad \delta_2^{p+2,q-1} \circ \delta_2^{p,q} = 0$$

for level 2, we have "over 2, down 1"

define  $(E_3^{p,q})$  by

$$E_3^{p,q} = \frac{\ker(\delta_2^{p,q})}{\text{im}(\delta_2^{p+2,q-1})} \quad \text{and}$$

$$\delta_3^{p,q} : E_3^{p,q} \rightarrow E_3^{p+3,q-2}$$

Continuing, get  $(E_r^{p,q})$  and  $\delta_r^{p,q}$  for  $r=2,3,4,\dots$

For a given  $p,q$ ,  $E_r^{p,q}$  eventually stabilizes (when the maps into and out of  $E_r^{p,q}$  land in the 0 quadrants), call this  $E_\infty^{p,q}$

$$r > \max(p,q+1)$$

Def. The spectral sequence  $(E_2^{p,q})$  converges to the graded group  $A = \bigoplus_{n=0}^{\infty} A_n$  such that  $A_n$  has a composition series (as an abelian group)

$$A_n = A_n^{\wedge} \supset A_n^{\wedge-1} \supset \dots \supset A_n^0 \supset 0$$

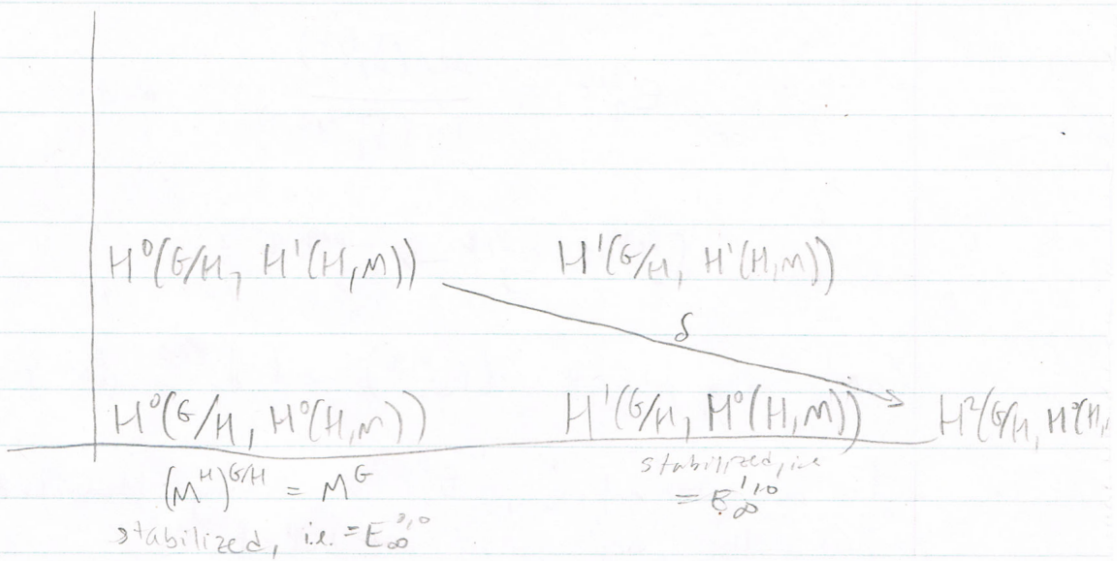
Such that  $A_n^q / A_n^{q-1} \cong E_2^{n-q, q}$  (?)

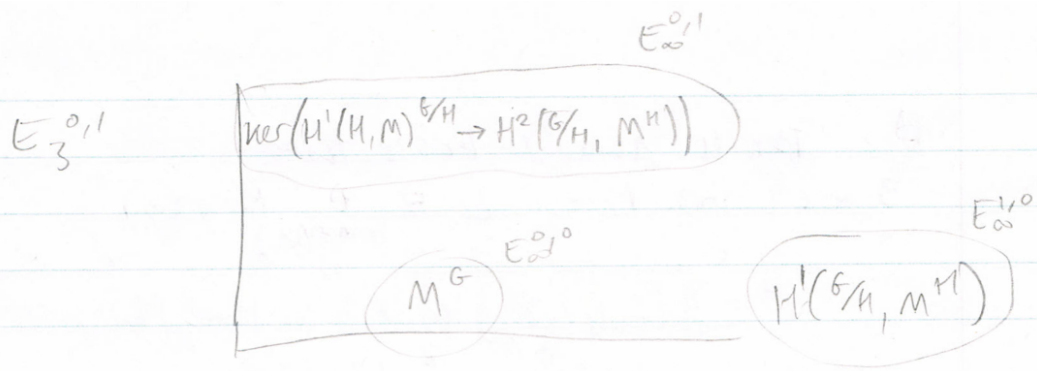
Serre - Hochschild Spectral Sequence

$$E_2^{p,q} = H^p(G/H, H^q(H, M))$$

$$E_2^{p,q} \xrightarrow{\delta} E_2^{p+2, q-1} \quad \text{messy to write down}$$

$$\boxed{H^p(G/H, H^q(H, M)) \Rightarrow \bigoplus_{n=0}^{\infty} H^n(G, M)}$$



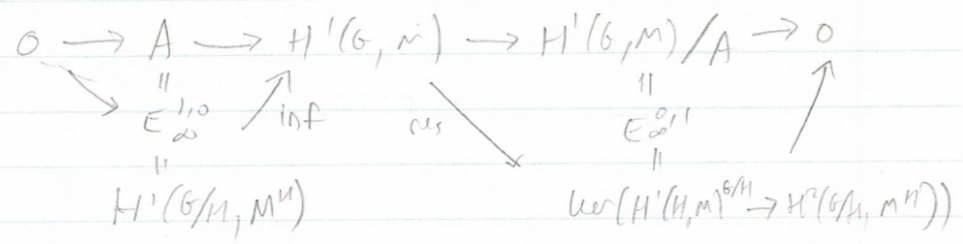


$$H^0(G, M) = E_{\infty}^{0,0} = M^G \quad \checkmark$$

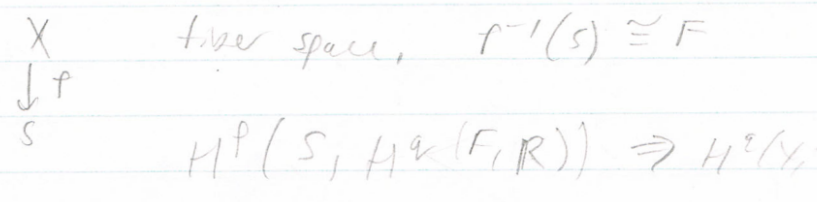
We need to have a composition series of length 2,

$$H^1(G, M) \supset A > 0,$$

with  $H^1(G, M)/A = E_{\infty}^{0,1}$      $A = E_{\infty}^{1,0}$



Uray spectral sequence



Thm.  $L/K$  Galois extension of fields,  $K$   
 $H^i(\text{Gal}(L/K), L^{\times}) = 0$

PP. Recall normal basis theorem.

$$\exists \alpha \in L \text{ such that } L = \bigoplus_{\sigma \in \text{Gal}(L/K)} K \cdot \sigma(\alpha)$$

$$L^+ = \mathbb{Z}[\text{Gal}(L/K)] \otimes_{\mathbb{Z}} K^+ \cong \text{Hom}_{\mathbb{Z}}(\mathbb{Z}[\text{Gal}(L/K)], K^+)$$

So  $L^+$  is comodule

HW:  $G$  finite group  $A$  abelian group, trivial  $G$ -action

$$\text{Hom}_{\mathbb{Z}}(\mathbb{Z}[G], A) \cong \mathbb{Z}[G] \otimes_{\mathbb{Z}} A$$

$$f \mapsto \sum_{\sigma \in G} (\sigma \otimes f)(a)$$



# Lecture 16 (2011-03-07)

Hilbert Theorem 90  $L/K$  finite Galois, then

2011-3-7

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$$H^1(G_{L/K}, L^\times) = 0$$

Lemma (Independence of characters)

Let  $\chi_1, \dots, \chi_n : G \rightarrow L^\times$  be distinct characters

Then  $\sum_{i=1}^n c_i \chi_i = 0 \Rightarrow c_1 = \dots = c_n = 0$ .

PF, Suppose  $\sum_{i=1}^n c_i \chi_i = 0$  is a minimal equation of dependency.

$n=1$ :  $c\chi = 0$ , let  $\chi(1) = 1$ , so  $c = 0$ .

$n \geq 2$ : Fix  $\gamma \in G$ , then  $\forall \sigma \in G$ ,

$$\sum_{i=1}^n c_i \chi_i(\gamma\sigma) = 0$$

$$c_1 \chi_1(\gamma) \chi_1(\sigma) + \sum_{i=2}^n c_i \chi_i(\gamma) \chi_i(\sigma) = 0$$

$$c_1 \chi_1(\sigma) + \sum_{i=2}^n c_i \chi_i(\gamma) \chi_i(\sigma) = 0$$

$$\sum_{i=2}^n c_i (\chi_i(\gamma) - \chi_1(\gamma)) \chi_i(\sigma) = 0 \quad \forall \sigma$$

or vice

PF,  $\sigma \in G_{L/K}$ ,  $\sigma : L^\times \rightarrow L^\times$  let  $\chi_\sigma$  be  $\sigma$  considered as a character,  $\chi_\sigma(\alpha) = \sigma(\alpha)$ .

Let  $c : G_{L/K} \rightarrow L^\times$  be a 1-cocycle, look at

$$\sum_{\sigma \in G_{L/K}} c_\sigma \chi_\sigma$$

distinct characters

non-zero over  $L^\times$

By independence of charac,  $\sum c_\sigma \chi_\sigma \neq 0$

So  $\exists \alpha \in L$  such that  $\beta = \sum_{\sigma \in G_{\mathbb{R}K}} c_\sigma \chi_\sigma(\alpha) \neq 0$

Let  $\gamma \in G_{\mathbb{R}K}$ . Compute

$$\gamma(\beta) = \sum_{\sigma} \gamma(c_\sigma) \gamma(\chi_\sigma(\alpha)) =$$

$$\sum_{\sigma} \frac{c_{\gamma\sigma}}{c_\sigma} \gamma(\chi_\sigma(\alpha))$$

$$= \frac{1}{c_\gamma} \sum_{\sigma} c_\sigma \chi_\sigma(\alpha)$$

$$= \frac{1}{c_\gamma} \beta$$

$$c_\gamma = \frac{\beta}{\gamma(\beta)} = \frac{\gamma(\beta^{-1})}{\beta^{-1}}$$

Cyclic groups

$$G = \langle \sigma \mid \sigma^n = 1 \rangle$$

$$T = \sigma - 1$$

$$N = \sigma^{n-1} + \dots + \sigma + 1$$

$$\in \mathbb{Z}[G]$$

$$\mathbb{Z}[G] \xrightarrow{N} \mathbb{Z}[G] \xrightarrow{T} \mathbb{Z}[G] \rightarrow \mathbb{Z} \rightarrow 0$$

$$\sigma \mapsto 1$$

degree map

$$TN = NT = 0$$

HW! This is exact, hence free resolution.

$$0 \rightarrow \text{Hom}_G(\mathbb{Z}[G], M) \xrightarrow{T} \dots$$

$$H^{\text{even}}(G, \mathbb{Z}) = \mathbb{Z}/n\mathbb{Z}$$

$$H^0(G, M) = M^G$$

$$H^{\text{even}} = \text{ker} \left( \begin{array}{c} \rightarrow \\ \leftarrow \end{array} \right)$$

$$H^{\text{odd}}(G, \mathbb{Z}) = 0$$

## Milnor Theorem 90

$L/K$  cyclic,  $G_{L/K} = \langle \sigma \rangle$

$$0 = H^1(G_{L/K}, L^\times) = \frac{w(L)}{m(L)}$$

## Herbrand quotient

$G$  cyclic =  $\langle \sigma \rangle$

If  $H^{2i}(G, M)$  and  $H^{2i+1}(G, M)$  are finite,  
define

$$h(M) = \frac{\# H^{2i}(G, M)}{\# H^{2i+1}(G, M)}$$

Prop.  $0 \rightarrow M \rightarrow N \rightarrow P \rightarrow 0$  exact. If two of  $h(M), h(N), h(P)$  exist, so does the third, and  $h(N) = h(M)h(P)$ .

Pf. Long exact sequence.

$$\begin{array}{ccccc} & & H^{2i+1}(M) & \rightarrow & H^{2i+1}(N) & \rightarrow & H^{2i+1}(P) \\ & \nearrow & & & & & \searrow \\ H^{2i}(P) & & & & & & \\ & \nwarrow & & & & & \swarrow \\ & & H^{2i}(N) & \leftarrow & H^{2i}(M) & & \end{array}$$

$$\frac{|H^{2i}(P)| |H^{2i+1}(N)| |H^{2i}(M)|}{|H^{2i+1}(M)| |H^{2i+1}(P)| |H^{2i}(N)|} = 1$$

Prop. If  $M$  is finite, then  $h(M) = 1$

Look at  $0 \rightarrow M^b \rightarrow M \xrightarrow{T} M \rightarrow M/TM \rightarrow 0$

$$0 \rightarrow H^{2i+1}(M) \rightarrow M^b/TM \xrightarrow{N} M^b \rightarrow H^{2i}(M)$$

$\begin{array}{ccc} \cong \mathbb{Z}/\text{Im}(T) & & \uparrow \\ & & M^b/IM \end{array}$

$|M^b| = |M/TM|$  so  $|H^{2i+1}(M)| = |H^{2i}(M)| \Rightarrow h(M) = 1$

Corollary  $K = \mathbb{F}_q$ ,  $L = \mathbb{F}_{q^n}$ , then  $N_{L/K}(L^*) = K^*$

Pf.  $H^1(L^*) = 0$ ,  $G_{L/K}$  cyclic

$$h(L^*) = \frac{|H^{\text{odd}}(L^*)|}{|H^{\text{even}}(L^*)|} = 1$$

$$H^{\text{even}}(L^*) = 0 = \frac{\text{ker}(T)}{\text{Im}(T)} = \frac{K^*}{N_{L/K}(L^*)}$$

## Lecture 17 (2011-03-09)

Profinite groups

2011-3-9

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$$G = \varprojlim G_i, \text{ each } G_i \text{ finite}$$

$$p\text{-adic integers } \mathbb{Z}_p, \quad \widehat{\mathbb{Z}} = \varprojlim \mathbb{Z}/n\mathbb{Z}, \quad G = \text{Gal}(\overline{K}/K)$$

$$\text{Gal}(\overline{K}/K) = \varprojlim_{\substack{L/K \\ \text{finite}}} \text{Gal}(L/K)$$

basis of open sets around 1. consists of  $\{\ker \sigma \rightarrow G_i\}_{i \in \mathbb{Z}}$

Def. A topological group is topologically cyclic if there exists a dense cyclic subgroup

Examples.  $\mathbb{Z}_p, \widehat{\mathbb{Z}}$

Fundamental Theorem of Galois Theory

$L/K$  Galois, then

$$\{\text{closed subgroups } H \subset \text{Gal}(L/K)\} \leftrightarrow \{\text{intermediate fields } K \subset E \subset L\}$$

$$H \mapsto L^H \\ \text{Gal}(L/E) \leftarrow E$$

$G$  profinite,  $M$  a  $G$ -module.

Prop (HW): TFAE

$$\textcircled{1} M = \bigcup_{\substack{H \triangleleft G \\ H \text{ open}}} M^H$$

$\textcircled{2} \forall x \in M, \text{Stab}(x) = \{\sigma \in G \mid \sigma(x) = x\}$  is open subgroup of  $G$

$\textcircled{3} G \times M \rightarrow M$  is continuous  
 $(\sigma, x) \mapsto \sigma(x)$

Def,  $M$  is called a discrete  $G$ -module  
(henceforth dropping "discrete")

Example,  $G(\bar{K}/K)$  acting on  $\bar{K}$  or  $\bar{K}^\times$

given  $x \in \bar{K}$ ,  $G(\bar{K}/K(x))$  fixes  $x$ , finite index

Cohomology of profinite groups

$$G = \varprojlim G/H_i \quad H_i = \ker(G \rightarrow G_i), \text{ hence } G_i \cong G/H_i$$

where  $H_i$  finite index

$$M = \varinjlim M^{H_i}$$

want to make  $H^2(G/H_i, M^{H_i})$  into directed system.

Let  $i \leq j$  - there is a map  $G/H_j \rightarrow G/H_i$ , so  $H_j \subset H_i$

$$H^q(G/H_i, M^{H_i}) \rightarrow H^q(G/H_j, M^{H_j})$$

$$[c_i] \longmapsto [c_j]$$

$$(G/H_j)^q \rightarrow (G/H_i)^q \xrightarrow{\substack{\text{Cocycle} \\ c_i}} M^{H_i} \hookrightarrow M^{H_j}$$

$\xrightarrow{c_j}$

need to check works up to coboundary

Def.  $H^q(G, M) = \varinjlim H^q(G/H_i, M^{H_i})$

Not necessarily the same as the derived functor cohomology

Alternative: Look at continuous maps  $G^q \rightarrow M$   
discrete topology

take  $\frac{\text{continuous cocycles}}{\text{continuous coboundaries}}$ , also gives  $H^q(G, M)$

Example.  $H^q(G(\bar{K}/K), \bar{K}^+) = \begin{cases} \bar{K}^* & q=0 \\ 0 & q>0 \end{cases}$

$$H^q(G(\bar{K}/K), K^*) = 0$$

follows from results for finite groups - limit of trivial groups is trivial

Def. The Brauer group of  $K$  is  $Br(K) = H^2(G(\bar{K}/K), \bar{K}^*)$

Theorem  $K/\mathbb{Q}_p$  a finite extension, then

$$Br(K) \cong \mathbb{Q}/\mathbb{Z}$$

Pf Sketch,  $K_{nr} = \text{Max unramified extension of } K$

①  $H^2(G(\bar{K}/K_{nr}), \bar{K}^\times) = 0$  (won't prove)

② use inflation/restriction

$$\left[ \begin{array}{l} H^q(L/K, m) \text{ means } H^q(\text{Gal}(L/K), m) \\ H^q(K, m) \text{ means } H^q(\bar{K}/K, m) \\ \text{"} \\ H^q_{\text{et}}(\text{Spec}(K), m) \end{array} \right]$$

$$0 \rightarrow H^1(K_{nr}/K, K_{nr}^\times) \xrightarrow{\text{inf}} H^1(\bar{K}/K, \bar{K}^\times) \xrightarrow{\text{res}} H^1(\bar{K}/K_{nr}, \bar{K}^\times) -$$

but Hilbert 90  $\Rightarrow$  these are all 0. Luckily this implies

$$0 \rightarrow H^2(K_{nr}/K, K_{nr}^\times) \xrightarrow{\text{inf}} H^2(\bar{K}/K, \bar{K}^\times) \xrightarrow{\text{res}} H^2(\bar{K}/K_{nr}, \bar{K}^\times)$$

thus  $Br(K) = H^2(K_{nr}/K, K_{nr}^\times)$  step ①  $\nearrow$  this is 0

③  $1 \rightarrow \mathcal{O}_{nr}^\times \rightarrow K_{nr}^\times \xrightarrow{\text{valuation}} \mathbb{Z} \rightarrow 0$

valuation on  $\bar{K}^\times$  has to go to  $\mathbb{Q}$



this induces  $H^2(\mathcal{O}_{nr}^x) \rightarrow H^2(K_{nr}^x) \rightarrow H^2(\mathbb{Z}) \rightarrow H^3(\mathcal{O}_{nr}^x)$

(4)  $H^q(\mathcal{O}_{nr}^x) = 0 \quad \forall q \geq 1$  (we'll do next time)

(5) step 4  $\Rightarrow H^2(K_{nr}^x) \cong H^2(\mathbb{Z})$

Consider  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Q} \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0$

induces  $\rightarrow H^1(\mathbb{Q}) \rightarrow H^1(\mathbb{Q}/\mathbb{Z}) \rightarrow H^2(\mathbb{Z}) \rightarrow H^2(\mathbb{Q})$

lemma  $G$  profinite,  $H^q(G, \mathbb{Q}) = 0 \quad \forall q \geq 1$

(6)  $H^2(\mathbb{Z}) \cong H^1(G(K_{nr}/K), \mathbb{Q}/\mathbb{Z}) \cong$   
(trivial action  $\rightarrow$  cocycles just homomorphisms)

$\text{Hom}_{\text{cont}}(G(K_{nr}/K), \mathbb{Q}/\mathbb{Z})$

$$\begin{array}{ccc} \downarrow f & \cong & \downarrow \text{res} \\ \downarrow & G(\bar{u}/u) & \text{where } u = \text{residue field of } K \\ \downarrow f(u) & \cong & \hat{\mathbb{Z}} \text{ topologically cyclic} \end{array}$$

hence  $\cong \mathbb{Q}/\mathbb{Z}$

## Lecture 18 (2011-03-11)

$K/\mathbb{Q}_p$ , want to compute  $\text{Br}(K) \stackrel{\text{def}}{=} H^2(\bar{K}/K, \bar{K}^\times)$  2011-3-11 (18)

we showed it sufficed to look at  $H^2(K_{nr}/K, K_{nr}^\times)$

we showed this is isomorphic to  $H^2(K_{nr}/K, \mathbb{Z})$  via the map induced by the valuation map on  $K_{nr}$

this is isomorphic to  $H^1(K_{nr}/K, \mathbb{Q}/\mathbb{Z})$  by dimension shifting

this is isomorphic to  $H^1(\bar{k}/k, \mathbb{Q}/\mathbb{Z}) \cong H^1(\hat{\mathbb{Z}}, \mathbb{Q}/\mathbb{Z})$   
 $\xrightarrow{\text{evaluation}} \mathbb{Q}/\mathbb{Z}$

Prop.  $H^i(K_{nr}/K, \mathcal{O}_{nr}^\times) = 0$  for  $i \geq 1$

Pr.  $1 \rightarrow 1 + \mathfrak{A}_{nr} \rightarrow \mathcal{O}_{nr}^\times \rightarrow \bar{k}^\times \rightarrow 1$

let  $L/K$  be a finite unramified extension,

To prove:  $H^i(L/K, \mathcal{O}_L^\times) = 0$  (get full result by taking direct limits)

$1 \rightarrow 1 + \mathfrak{A}_L \rightarrow \mathcal{O}_L^\times \rightarrow k_L^\times \rightarrow 1$

$G_{L/K} \cong G_{k_L/k}$  is cyclic

$H^1(k_L/k, k_L^\times) = 0$  by Hilbert 90

$K_L^x$  is finite, so  $H^1$

Herbrand quotient  $h(K_L^x) = 1$

$$1 \rightarrow 1 + \mathfrak{p}_K \rightarrow \mathcal{O}_K^x \rightarrow K_K^x \rightarrow H^1(1 + \mathfrak{p}_L) \rightarrow H^1(\mathcal{O}_L^x) \rightarrow \dots$$

we know this is onto, so this is all 0

This shows  $H^i(L/K, 1 + \mathfrak{p}_L) \cong H^i(L/K, \mathcal{O}_L^x)$

Prop.  $H^2(K_{nr}/K, \mathcal{O}_{nr}^x) = 0$

Let

$$\mathfrak{p}_L = \pi_L \mathcal{O}_L$$

$$1 \rightarrow 1 + \mathfrak{p}_L^{n+1} \rightarrow 1 + \mathfrak{p}_L^n \rightarrow K_L^+ \rightarrow 0$$

$$1 + a\pi_L^n \mapsto a \pmod{\mathfrak{p}_L}$$

$$(1 + a\pi_L^n)(1 + b\pi_L^n) = 1 + (a+b)\pi_L^n + \dots$$

$$H^i(K_L/K_K, K_L^+) = 0 \quad \text{from before}$$

So  $H^i(L/K, 1 + \mathfrak{p}_L^n)$  is independent of  $n$ .

When  $n$  is big enough, we have an isomorphism

$$(1 + \mathfrak{p}_L^n, \cdot) \xrightarrow{\sim} (\mathfrak{p}_L^n, +)$$

where  $p \geq 3, n \geq 1$   
 $p = 2, n \geq 2$

$$\exp(a) = \sum_{k=0}^{\infty} \frac{a^k}{k!} \longleftrightarrow a$$

$$b \mapsto \log(b) = - \sum_{k=1}^{\infty} \frac{(b-1)^k}{k}$$

Thus  $H^i(L/K, 1 + \mathfrak{p}_L^n) = H^i(L/K, \mathfrak{p}_L^n)$

Because  $\mathfrak{p}_L^n \cong \mathcal{O}_L$ , we get  
 $a \mapsto \frac{a}{\pi_L^n}$  (not canonical)

$\cong H^i(L/K, \mathcal{O}_L^+)$

Since these are local fields,

$\mathcal{O}_L = \mathcal{O}_K[x] / (f(x))$

where  $f(x)$  is monic, irreducible over  $K$

$f(x) = \prod (x - a_i) = \prod_{\sigma \in G_{L/K}} (x - \sigma(a))$

$\mathcal{O}_L^+ = \bigoplus_{\sigma \in G_{L/K}} \mathcal{O}_K \cdot \sigma(a) \cong \mathbb{Z}[G_{L/K}] \otimes_{\mathbb{Z}} \mathcal{O}_K$   
 $\sigma(a) \longleftarrow 0 \otimes a$

$\cong \text{Hom}_{\mathbb{Z}}(\mathbb{Z}[G_{L/K}], \mathcal{O}_K)$  since  $G_{L/K}$  finite

$\xrightarrow{\text{co-induced by definition}}$  so  $H^i(\ ) = 0$   
 $\forall i \geq 1$

Thus  $H^i(L/K, \mathcal{O}_L^+) = 0 \quad \forall i \geq 1$

$$\begin{aligned}
 H(\mathcal{O}_L^{\times}) &\cong H(1 + \mathfrak{p}_L) \\
 &\cong H(1 + \mathfrak{p}_L^n) \\
 &\stackrel{\text{exp}}{\cong} H(\mathfrak{p}_L^n) \\
 &\cong H(\mathcal{O}_L) \\
 &= 0
 \end{aligned}$$

$$\begin{aligned}
 \text{Br}(K) &\stackrel{\text{def}}{=} H^2(\bar{K}/K, \bar{K}^{\times}) \\
 &\cong H^2(K_{\text{nr}}/K, K_{\text{nr}}^{\times}) \\
 &\xrightarrow{\sim} H^2(K_{\text{nr}}/K, \mathbb{Z}) \\
 &\xrightarrow{\delta} H^1(K_{\text{nr}}/K, \mathbb{Q}/\mathbb{Z}) \\
 &\rightarrow H^1(\bar{K}/K, \mathbb{Q}/\mathbb{Z}) \\
 &\rightarrow H^1(\mathbb{Z}, \mathbb{Q}/\mathbb{Z}) \\
 &\rightarrow \mathbb{Q}/\mathbb{Z}
 \end{aligned}$$

called "invariant map"

evaluation

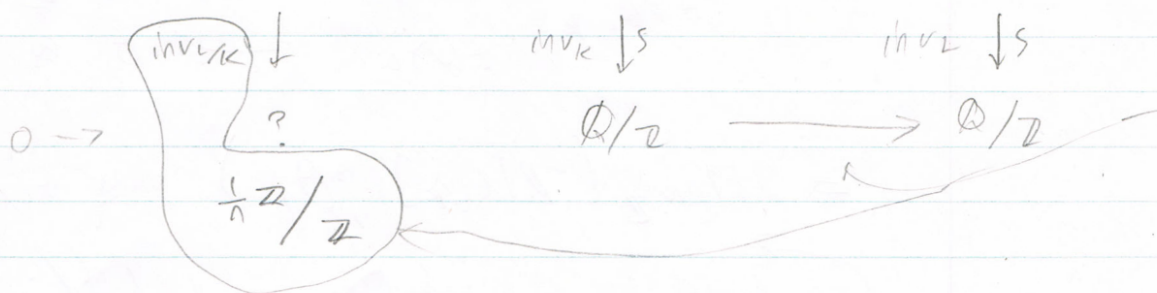
$$\text{Br}(K) \xrightarrow[\sim]{\text{inv}_K} \mathbb{Q}/\mathbb{Z} \quad \text{functorial}$$

$$L/K/\mathbb{Q}_p \text{ finite, } \delta(L/L) \subset \delta(K/K)$$

all  $H^1$ 's are trivial by Hilbert 90, so

get inflation/restriction on  $H^2$ 's

$$0 \rightarrow H^2(L/K, L^{\times}) \xrightarrow{\text{inf}} H^2(\bar{K}/K, \bar{K}^{\times}) \xrightarrow{\text{res}} H^2(\bar{L}/L, \bar{L}^{\times})$$



$$\begin{array}{ccc}
 \text{Br}(K) & \longrightarrow & \text{Br}(L) \\
 \cong & & \cong \\
 H^2(K_{nr}/K, K_{nr}^\times) & \longrightarrow & H^2(L_{nr}/L, L_{nr}^\times) \\
 \downarrow v_K & & \downarrow v_L \\
 H^2(K_{nr}/K, \mathbb{Z}) & \xrightarrow{e(L/K)} & H^2(L_{nr}/L, \mathbb{Z}) \\
 \downarrow & & \downarrow \\
 H^1(\bar{K}_K/K_K, \mathbb{Q}/\mathbb{Z}) & \longrightarrow & H^1(\bar{L}_L/L_L, \mathbb{Q}/\mathbb{Z}) \\
 \uparrow \text{DobK} & & \uparrow \text{FobL} \\
 \uparrow & & \uparrow \\
 1 \in \mathbb{Z} & & 1 \in \mathbb{Z} \\
 \downarrow & & \downarrow \\
 H^1(\hat{\mathbb{Z}}, \mathbb{Q}/\mathbb{Z}) & \xrightarrow{f(L/K)} & H^1(\hat{\mathbb{Z}}, \mathbb{Q}/\mathbb{Z})
 \end{array}$$

so this map is multiplication by  $e(L/K) f(L/K) = [L:K]$   
 call this  $n$

Def. The invariant of  $L/K$  is

$$\text{inv}_{L/K} = \text{inv}_{L/K}^{-1} \left( \frac{1}{n} \right) \in H^2(L/K, L^\times)$$

(distinguished generator)

# Lecture 19 (2011-03-14)

## Group Homology

2011-3-14

(19)

cohomology = derived functor of  $M \mapsto M^G$

$M^G =$  largest submodule fixed by  $G$

homology = derived functor of  $M \mapsto M_G$

$M_G =$  largest quotient fixed by  $G$

Recall  $M^G = \text{Hom}_{\mathbb{Z}[G]}(\mathbb{Z}, M)$ .

Let  $I_G =$  left ideal generated by all  $\sigma - 1$ , for  $\sigma \in G$

Then  $M_G = M/I_G M = M \otimes_{\mathbb{Z}[G]} \mathbb{Z}[G]/I_G$

$H_q(G, \cdot) = q^{\text{th}}$  derived functor of  $\cdot \otimes_{\mathbb{Z}[G]} \mathbb{Z}[G]/I_G$

prop.  $H_1(G, \mathbb{Z}) \cong I_G/I_G^2$

pf.  $0 \rightarrow I_G \rightarrow \mathbb{Z}[G] \rightarrow \mathbb{Z} \rightarrow 0$   
 $\sigma \mapsto 1$

$$\begin{array}{ccccccc} \rightarrow H_1(G, \mathbb{Z}[G]) & \rightarrow & H_1(G, \mathbb{Z}) & \rightarrow & H_0(G, I_G) & \rightarrow & H_0(G, \mathbb{Z}[G]) \rightarrow H_0(G, \mathbb{Z}) \rightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ & & 0 & & I_G/I_G^2 & \xrightarrow{\text{0 map}} & \mathbb{Z}[G]/I_G \xrightarrow{\parallel} \mathbb{Z}/I_G \mathbb{Z} \\ & & & & & & \parallel \\ & & & & & & \mathbb{Z} \xrightarrow{\sim} \mathbb{Z} \end{array}$$

Prop.  $H_1(G, \mathbb{Z}) \cong I_0/I_G^2 \cong G^{ab} = G/[G, G]$

$\sigma \mapsto \sigma \text{ mod } [G, G]$

HW

Tate cohomology groups  $G$  finite

Let  $N = \sum_{\sigma \in G} \sigma \in \mathbb{Z}[G]$

Def.  $\hat{H}^q(G, M) = H^q(G, M)$  for  $q \geq 1$

$\hat{H}^0(G, M) = M^G / NM$

$\hat{H}^{-1}(G, M) = \ker(M \xrightarrow{N} M)$

$\hat{H}^{-q}(G, M) = H_q(G, M)$  for  $q \geq 2$

Thm.  $0 \rightarrow M \xrightarrow{\alpha} N \xrightarrow{\beta} P \rightarrow 0$  gives a long exact sequence

$\rightarrow \hat{H}^q(G, M) \rightarrow \hat{H}^q(G, N) \rightarrow \hat{H}^q(G, P) \rightarrow \hat{H}^{q+1}(G, M) \rightarrow \dots$

need to check

$$\begin{array}{ccc} \hat{H}^{-1}(G, P) & \rightarrow & \hat{H}^0(G, M) \\ \parallel & & \parallel \\ \ker(P \xrightarrow{N} P) & \rightarrow & M^G / NM \\ \cong & & \\ \bar{p} \in P / I_G P & & \end{array}$$

Choose  $n \in N$  with  $\beta(n) = p$  (since  $\beta$  surjective)



We know  $N \cdot p \in I_{\delta} P$

$$N \cdot \beta(n) \in I_{\delta} P \quad \beta(N \cdot n) \in I_{\delta} P \quad N p = \sum \alpha_{\sigma} (\sigma \cdot 1) p_{\sigma}$$

$\downarrow$   
 $n_{\sigma}$

Cup product  $\hat{H}^p(G, M) \otimes \hat{H}^q(G, N) \rightarrow \hat{H}^{p+q}(G, M \otimes N)$

$$\alpha \otimes \beta \longmapsto \alpha \cup \beta$$

Functorial in  $M$  and  $N$ , respects connecting  
homomorphisms  $\delta \cup \beta = \delta(\alpha \cup \beta)$  etc, and  
for  $p=q=0$ , induced by  $M^G \otimes N^G \rightarrow (M \otimes N)^G$

For  $p, q \geq 1$ , we have

$$\bar{\alpha} \in \hat{H}^p(G, M), \quad \bar{\beta} \in \hat{H}^q(G, N)$$

choose cocycles  $\alpha: Z[G^{p+1}] \rightarrow M, \beta: Z[G^{q+1}] \rightarrow N$

then  $\alpha \cup \beta$  is the cohomology class for

$$Z[G^{p+q+1}] \rightarrow M \otimes N$$

$$(\alpha \cup \beta)(\sigma_0, \dots, \sigma_{p+q}) = \alpha(\sigma_0, \dots, \sigma_p) \otimes \beta(\sigma_p, \dots, \sigma_{p+q})$$

Properties,  $\bullet (\alpha \cup \beta) \cup \gamma = \alpha \cup (\beta \cup \gamma)$

$\bullet \bar{\alpha} \cup \bar{\beta} = (-1)^{\dim(\bar{\alpha}) \dim(\bar{\beta})} \bar{\beta} \cup \bar{\alpha}$

$\bullet \text{Res}(\bar{\alpha} \cup \bar{\beta}) = \text{Res}(\bar{\alpha}) \cup \text{Res}(\bar{\beta})$  for  $\text{Res} = \text{restriction } H \subset G$

Recall that for  $L/K/\mathbb{Q}_p$  we define

$$U_{L/K} \in H^2(L/K, L^\times) \cong \frac{1}{p}\mathbb{Z}/\mathbb{Z}$$

Thm. For all  $q$ ,

$$\hat{H}^q(G_{L/K}, \mathbb{Z}) \xrightarrow{\sim U_{L/K}} \hat{H}^{q+2}(G_{L/K}, L^\times)$$

is an isomorphism.

Take  $q=2$

$$\hat{H}^{-2}(G_{L/K}, \mathbb{Z}) \xrightarrow{\sim U_{L/K}} \hat{H}^0(G_{L/K}, L^\times)$$

||

$$H_1(G_{L/K}, \mathbb{Z})$$

||

$$K^\times / N_{L/K} L^\times$$

||  
 $G_{L/K}^{ab}$



inverse to the Artin map

Lecture 20 (2011-03-16)

$$0 \rightarrow M \xrightarrow{\alpha} N \xrightarrow{\beta} P \rightarrow 0$$

2011-3-16

$$\chi = \sum_{\sigma \in G} \sigma$$

(20)

$$\hat{H}^{-1}(P) \rightarrow \hat{H}^0(M)$$

$$\text{def } \parallel \quad \parallel \text{ def } \quad M^G / \chi M$$

$$I_G = (\sigma - 1)_{\sigma \in G}$$

$$\ker(H_0(P) \xrightarrow{\chi} H^0(P))$$

$$\ker(P/I_G P \xrightarrow{\chi} P^G)$$

Let  $\bar{p} \in P/I_G P$  such that  $\chi(p) = 0$ .

Choose  $n \in \beta^{-1}(p)$ . Then  $\beta(\chi(n)) = \chi(\beta(n)) = \chi(p) = 0$ ,

so  $\exists m \in M$  with  $\alpha(m) = \chi(n)$ , and set  $\delta(\bar{p}) = \bar{m}$ .

$$C_K = \text{ideal class group} = I_K / K^\times$$

$$L/K \text{ finite Galois} \quad I_K = \prod_{w \in M_K} K_w^\times$$

$$\text{Thm. } H^2(G_{L/K}, C_L) \cong \frac{1}{2}\mathbb{Z}/\mathbb{Z} \text{ where } n = [L:K]$$

$$U_{L/K} = \text{inv}_{L/K}^{-1} \left( \frac{1}{n} \right) \quad K_v^\times \cong \left( \prod_{w|v} L_w^\times \right)$$

$$1 \rightarrow L^\times \rightarrow I_L \rightarrow C_L \rightarrow 1$$

$$1 \rightarrow K^\times \rightarrow I_K \rightarrow C_L^{G_{L/K}} \rightarrow H^1(G_{L/K}, L^\times)$$

|| Hilbert 90  
0

Thm.  $L/K$  finite Galois number fields

$$H^2(G_{L/K}, \mathbb{Z}) \xrightarrow[\cong]{\cup U_{L/K}} \hat{H}^{2+2}(G_{L/K}, \mathbb{C}_L)$$

isomorphism

taking  $q = -2$

$$G_{L/K} \xrightarrow[\cong]{\cup U_{L/K}} \mathbb{C}_K / N_{L/K} \mathbb{C}_L$$

Take limit over all abelian extensions

$$G_{K^{ab}/K} \cong \varprojlim \mathbb{C}_K / N_{L/K} \mathbb{C}_L = \mathbb{C}_K / \text{universal norms}$$

$$\left\{ \alpha \in \mathbb{C}_K \mid \forall L/K \text{ abelian, } \exists \beta_L \in \mathbb{C}_L \text{ with } N_{L/K} \beta_L = \alpha_L \right\}$$

$$0 \rightarrow H^2(G_{L/K}, \mathbb{C}_K^\times) \rightarrow H^2(G_{\bar{K}/K}, \mathbb{C}_{\bar{K}}^\times) \rightarrow H^2(G_{\bar{L}/L}, \mathbb{C}_{\bar{L}}^\times)$$

$$\downarrow \text{inv}_{L/K} \quad \downarrow \text{inv}_K \quad \downarrow \text{inv}_L$$

$$0 \rightarrow \frac{1}{2}\mathbb{Z}/\mathbb{Z} \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow \mathbb{Q}/\mathbb{Z}$$

HW:  $Br(\mathbb{C}) = 0, \quad Br(\mathbb{R}) = \frac{1}{2}\mathbb{Z}/\mathbb{Z}$

$$Br(K) = H^2(G_{\bar{K}/K}, \bar{K}^\times)$$

$$1 \rightarrow \bar{K}^x \rightarrow I_{\bar{K}} \rightarrow C_{\bar{K}} \rightarrow 1$$

$$\begin{array}{ccccccccc}
 H^1(I_{\bar{K}}) & \rightarrow & H^1(C_{\bar{K}}) & \rightarrow & H^2(\bar{K}^x) & \rightarrow & H^2(I_{\bar{K}}) & \rightarrow & H^2(C_{\bar{K}}) \rightarrow H^3(\bar{K}^x) \\
 \parallel & & \parallel & & \parallel & & \downarrow & & \parallel \\
 0 & \nearrow & 0 & & \text{tr}(K) & & \bigoplus_{v \in \text{MK}} (\mathbb{Q}/\mathbb{Z})_v & & \mathbb{Q}/\mathbb{Z} \\
 & \text{Hilbert 90} & & & & & \uparrow & & \\
 & & & & & & \text{if } v \text{ empty} & & 
 \end{array}$$

## Elliptic curves

Goals: (weak) Mordell-Weil Thm  
Complex multiplication

Def. An elliptic curve  $E$  is a smooth, projective curve of genus 1, with given point  $O$ .

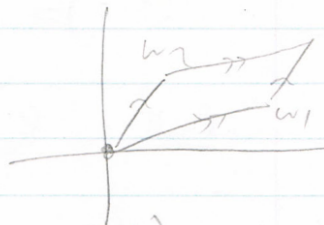
Look at as Riemann surface

$$\textcircled{G} \cdot = S^1 \times S^1 = \mathbb{C} / \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$$

Using Riemann-Roch can be embedded  
in  $\mathbb{P}^2$  as a cubic

$$E = \{Y^2Z = X^3 + AXZ^2 + BZ^3\}$$

for some  $A, B$  (not true if  $\text{char} = 2, 3$ )



Here  $O = [0, 1, 0]$  is unique point "at infinity"

Not homogeneous, get  $E: y^2 = x^3 + Ax + B$

Def. This is called a Weierstrass Equation for  $E$

Prop. The curve  $y^2 = x^3 + Ax + B$  is smooth if and only if  $\Delta_E \neq 0$

Def. The discriminant of  $E$  is  $\Delta_E = -16(4A^3 + 27B^2)$

Def.  $E$  is defined over  $K$  if  $A, B \in K$

We write  $E/K$  if  $E$  defined over  $K$

$$E(L) = \{(x, y) \in L^2 \mid y^2 = x^3 + Ax + B\} \cup \{\emptyset\}$$

Example.  $E: y^2 = x^3 + 27$

$$E(\mathbb{Q}) = \{\emptyset, (0, \pm 3), (-1, 0), (2, \pm 3)\}$$

↑  
not so easy to prove

$$\bar{K}(E) = \text{Frac} \left( \frac{\bar{K}[x, y]}{(y^2 - x^3 - Ax - B)} \right)$$

Def.  $E \cong E'$  if  $\exists$  maps

$$\begin{aligned} \phi: E &\rightarrow E' & \phi \circ \gamma = \text{id}, & \psi \circ \phi = \text{id} \\ \gamma: E' &\rightarrow E \end{aligned}$$

$\phi, \gamma$  are rational functions in  $\bar{K}(x, y)$

$E \cong E'$  over  $K$  if can choose  $\phi, \gamma$  with coeffs in  $K$

# Lecture 21 (2011-03-18)

$$E: y^2 = x^3 + Ax + B$$

2011-3-18

(2)

$$E \cong E' \Leftrightarrow E': y^2 = x^3 + Au^4x + Bu^6$$

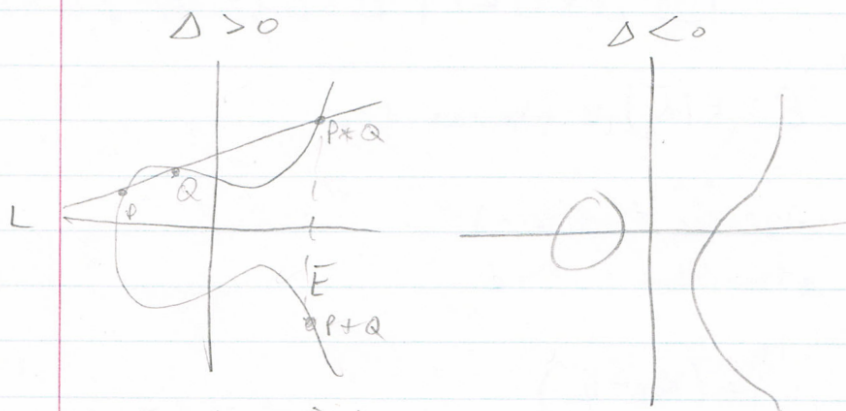
$\frac{A^3}{B^2}$  is an isomorphism invariant

Def. The  $j$ -invariant of  $E$  is  $j(E) = 1728 \frac{4A^3}{-16(4A^3 + 27B^2)}$

Prop.  $E \cong E'$  iff  $j(E) = j(E')$ , when working over an algebraically closed field

Prop.  $\forall \alpha \in K, \exists E/K$  with  $j(E) = \alpha$  ( $K$  alg closed)

Elliptic curves have a group structure (group object in category of algebraic varieties)



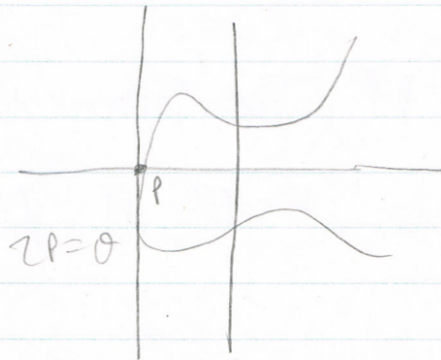
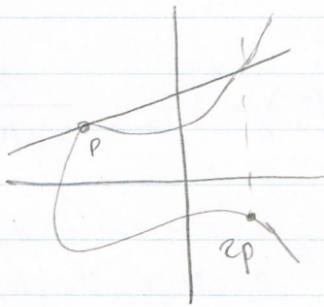
$E \cap L = 3$  pts.  
(Bezout)

$$Q * (P * Q) = P \text{ for all } P, Q$$

- P = reflection about x-axis

Group law defined by

$$P + Q + P * Q = \mathcal{O}$$



$$\frac{dy}{dx} = \frac{3x^2 + A}{2y} = \infty \Leftrightarrow y = 0, \quad x \text{ root of } 3x^2 + A$$

$$\text{Prop } \{P \in E(\mathbb{C}) \mid y = 0\} = \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

Thm,  $E = E(\bar{K})$  is abelian group

DF abelian - trivial  
associative - hard

$$-(x_0, y_0) = (x_0, -y_0)$$

$$\text{If } (x_1, y_1) + (x_2, y_2) = (x_3, y_3), \text{ we have}$$

$$\left( \text{if } x_1 = x_2, y_1 = -y_2 \text{ then } (x_3, y_3) = \mathcal{O} \right)$$



Otherwise, line through  $(x_1, y_1)$  and  $(x_2, y_2)$

$$L: y = \lambda x + v$$

$$\lambda = \frac{y_2 - y_1}{x_2 - x_1}$$

$$\lambda = \frac{3x_1^2 + A}{2y_1}$$

$$v = y_1 - \lambda x_1$$

$$v = y_1 - \lambda x_1$$

$$L \cap E: (\lambda x + v)^2 = x^3 + Ax + B$$

$$x^3 - \lambda^2 x^2 + (A - 2\lambda v)x + B - v^2$$

$$= (x - x_1)(x - x_2)(x - x_3)$$

$$-\lambda^2 = -x_1 - x_2 - x_3 \quad \text{so} \quad x_3 = \lambda^2 - x_1 - x_2$$

$$y_3 = -(\lambda x_3 + v)$$

Corollary. Given  $E/K$  and field extension  $L/K$ ,

$E(L) = \{ (x, y) \in E \mid x, y \in L \} \cup \{ \emptyset \}$  is a subgroup of  $E(K)$

Pf. Closed under  $+$  by formula.

Example  $E: y^2 = x^3 + 17$

$$P = (-2, 3), Q = (-1, 4), R = (2, 5) \text{ are in } E(\mathbb{Q})$$

$$Q + R = \left( \frac{8}{9}, -\frac{109}{27} \right) \quad 2P = (8, -23)$$

HW: compute  $\mathbb{Z}Q$ ,  $P+Q$

Thm. let  $E: y^2 = x^3 + 17$ . Then

a)  $E(\mathbb{Q}) = \{mP + nR \mid m, n \in \mathbb{Z}\} \cong \mathbb{Z} \times \mathbb{Z}$

b)  $|E(\mathbb{Z})| = 16$

Pf: Hard

These illustrate: Mordell-Weil Thm.

$K/\mathbb{Q}$  number field,  $E/K$  is an elliptic curve

$E(K)$  is finitely generated abelian group

$$E(K) \cong (\text{finite}) \times \mathbb{Z}^r \quad r = \text{rank } E(K)$$

Goal: weaken Mordell-Weil Thm

$E(K)/mE(K)$  is finite.

Siegel's Thm

$E(\mathcal{O}_K)$  finite.

Conjecture,  $\forall N \in \mathbb{N}$ ,  $\exists E/\mathbb{Q}$  with  $\text{rank } E \geq N$

best so far: 26 ism, Mordm elliptics

Def  $E(K)_{tors} = \{P \in E(K) \mid nP = \mathcal{O} \text{ for some } n \geq 1\}$

Thm, Mazur:  $|E(\mathbb{Q})_{tors}| \leq 16$   $\mathbb{Z}/n\mathbb{Z}$  for  $1 \leq n \leq 10$

Merel:  $|E(K)_{tors}| \leq C([K:\mathbb{Q}])$   $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  for  $1 \leq n \leq 4$

L-series

$$\# E(\mathbb{F}_p) = p+1 - a_p$$

## Lecture 22 (2011-03-21)

$E: y^2 = x^3 + Ax + B$  defined over  $K$

2011-3-21

(22)

$[m]: E \rightarrow E$

$P \mapsto \underbrace{P + \dots + P}_{m \text{ times}}$

$E_{\text{tors}} = \bigcup_{m \geq 1} \ker [m]$

$E[m] = \ker [m] = \{P \in E(\bar{K}) \mid [m]P = \mathcal{O}\}$

Note that  $[m]P = (f_m(x(P), y(P)), g_m(x(P), y(P)))$

where  $f_m, g_m \in K(x, y)$

Ex. Work out  $f_2$  explicitly. In fact, all  $f_m$  have only even powers of  $y$ , hence can be written in terms of only  $x$ .

$E[m] =$  points where denominators of  $f_m$  and  $g_m$  vanish.

Notice that if  $P \in E(\bar{K})$  and  $\sigma \in \text{Gal}(\bar{K}/K)$ ,

$$\sigma P \stackrel{\text{def}}{=} \sigma(x, y) = (\sigma(x), \sigma(y)) \in E(\bar{K})$$

$\sigma(P+Q) = \sigma(P) + \sigma(Q)$ , so  $E(\bar{K})$  is a  $\text{Gal}(\bar{K}/K)$ -module  
also  $E[m]$  is a  $\text{Gal}(\bar{K}/K)$ -module

Thm.  $E/K$  elliptic curve,  $p = \text{char}(K)$

a) If  $p \nmid m$ , or  $p=0$ , then  $E[m] \cong \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$

b)  $E[p^n] \cong$  either  $\mathbb{Z}/p^n\mathbb{Z}$  for all  $n$ , or  $\underbrace{0}$  for all  $n$   
Supersingular

Prop.  $\text{Char}(K)=0$  or  $p \geq 3$ ,

$$E: y^2 = x^3 + Ax + B \quad (\text{so not all curves of char } 3)$$

$$\text{then } E[2] \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

pf  $P \in E[2] \Leftrightarrow 2P = \mathcal{O} \Leftrightarrow$  vertical tangent at  $P \Leftrightarrow$

$$\left. \frac{dx}{dy} \right|_P = 0 \Leftrightarrow \frac{2y}{3x^2 + A} = 0 \Leftrightarrow y = 0 \quad (\text{provided } 3x^2 + A \neq 0)$$

What happens if  $y = 3x^2 + A = 0$  at  $P = (x, y) \in E$ ?

On any curve  $F(x, y) = 0$ , a point  $(x, y)$  is singular  
iff  $F(x, y) = 0$ ,  $\frac{\partial F}{\partial x}(x, y) = 0$ ,  $\frac{\partial F}{\partial y}(x, y) = 0$

$$F = y^2 - x^3 - Ax - B; \quad \frac{\partial F}{\partial x} = -(3x^2 + A), \quad \frac{\partial F}{\partial y} = 2y$$

but we assumed our curve was nonsingular, so

$$\begin{aligned} E[2] &= \{\mathcal{O}\} \cup \{(x, y) \in E(\bar{K}) \mid y = 0\} = \\ &= \{\mathcal{O}\} \cup \{(x, 0) \mid x^3 + Ax + B = 0\} \quad \text{has 4 elements} \\ 2\text{-torsion} &\Rightarrow \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \end{aligned}$$

$G_{\overline{K}/K}$  acts on  $E[m] \cong \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$

Get  $\rho_{E,m}: G_{\overline{K}/K} \rightarrow \text{Aut}(E[m]) \cong GL_2(\mathbb{Z}/m\mathbb{Z})$ ,

a 2-dimensional representation of  $G_{\overline{K}/K}$

Analogous to the 1-dimensional representation

$G_{\overline{K}/K} \rightarrow \text{Aut}(\underbrace{(\text{ker} : \mathbb{C}^{\times} \rightarrow \mathbb{C}^{\times})}_{x \mapsto x^m})$

$\mathbb{Z}/m\mathbb{Z} \cong \mathbb{Z}/m\mathbb{Z}$

$\sigma \mapsto (\zeta \mapsto \sigma(\zeta))$

$K(E[m]) = K(x, y : (x, y) \in E[m])$

$G_{\overline{K}/K}$  maps  $K(E[m])$  to itself, so  $K(E[m])$  is Galois

$\rho_{E,m}: \text{Gal}(K(E[m])/K) \hookrightarrow GL_2(\mathbb{Z}/m\mathbb{Z})$

Weak Mordell-Weil Theorem

$K/\mathbb{Q}$ ,  $E/K$ ,  $\text{dim } E^{(K)}/mE(K)$  finite

to prove full MW theorem, suffices to prove weak  
for  $m=2$

Elliptic Kummer sequence  $[m](x, y) = (f_m(x, y), g_m(x, y))$

$$0 \rightarrow E[m] \rightarrow E(\bar{K}) \xrightarrow{[m]} E(\bar{K}) \rightarrow 0$$

is an exact sequence of  $G_{\bar{K}/K}$ -modules,  
take cohomology

$$0 \rightarrow E[m] \otimes E(K) \rightarrow E(K) \xrightarrow{[m]} E(K) \rightarrow H^1(G_{\bar{K}/K}, E[m]) \rightarrow H^1(G_{\bar{K}/K}, E)$$

$$\text{get } 0 \rightarrow E(K)/mE(K) \rightarrow H^1(G_{\bar{K}/K}, E[m]) \rightarrow H^1(G_{\bar{K}/K}, E)$$

life is easier if  $E[m] \subset E(K)$  since the  $G_{\bar{K}/K}$  action on  $E[m]$  is trivial and this turns into

reduce to this case. Let  $L = K(E[m])$ ,

Suppose  $E(L)/mE(L)$  finite. To show:  $E(K)/mE(K)$  finite.

$$0 \rightarrow \frac{E(K) \otimes mE(L)}{mE(K)} \rightarrow \frac{E(K)}{mE(K)} \rightarrow \frac{E(L)}{mE(L)}$$

$$0 \rightarrow H^1(G_{L/K}, E[m]) \rightarrow H^1(G_{\bar{K}/K}, E[m]) \rightarrow H^1(G_{\bar{L}/L}, E[m])$$

claim: bottom is actually part of the inflation-restriction sequence

$$0 \rightarrow H^1(G_{L/K}, E[m]) \xrightarrow{\text{inf}} H^1(G_{\bar{K}/K}, E[m]) \xrightarrow{\text{res}} H^1(G_{\bar{L}/L}, E[m])$$

Def of  $\gamma$ . Let  $P \in E(K) \cap mE(L)$ .

So  $P = mQ$  for some  $Q \in E(L)$

$$\gamma(P) = \sigma(Q) - Q$$

If  $E(L)/mE(L)$  finite, then because

$\Rightarrow H'(G_{K/K}, E)$

$H'(G_{K/K}, E[m])$  finite (because  $G_{K/K}$  and  $E[m]$  finite)

$\Rightarrow \gamma[m] \rightarrow 0$

and  $\gamma$  injective,  $\frac{E(K) \cap mE(L)}{mE(K)}$  finite, hence

$E(K)/mE(K)$  finite (in general  $H'(G_{K/K}, E[m])$  not finite)



Lecture 23 (2011-03-23)

2011-3-23

(23)

$K/\mathbb{Q}$ ,  $E/K$ , want to prove

$E(\mathbb{Q})/mE(\mathbb{Q})$  is finite (at least for  $m=2$ )

Last time we reduced to the case that  $E[m] \subset E(K)$ ,  
 when  $m=2$ ,  $E: y^2 = (x-\alpha)(x-\beta)(x-\gamma)$ , so  $\alpha, \beta, \gamma \in K$   
 we'll also add  $\mu_m \subset K$  (true already, but we don't know that)

connecting homomorphism:  $0 \rightarrow E[m] \rightarrow E \xrightarrow{m} E \rightarrow 0$

$$E(K)/mE(K) \xrightarrow{\delta} H^1(G_{\bar{K}/K}, E[m])$$

$$p \longmapsto (\sigma \mapsto \sigma(p) - p)$$

where  $m\alpha = p$

$$H^1(G_{\bar{K}/K}, E[m]) = \text{Hom}(G_{\bar{K}/K}, E[m]) \quad (\text{since we assumed } E[m] \subset K)$$

$$= \text{Hom}(G_{\bar{K}/K}, (\mathbb{Z}/m\mathbb{Z})^2)$$

$$= \text{Hom}(G_{\bar{K}/K}, \mathbb{Z}/m\mathbb{Z})^2$$

$$= \text{Hom}(G_{\bar{K}/K}, \mu_m)^2$$

$$= H^1(G_{\bar{K}/K}, \mu_m)^2$$

$$= (K^x/K^{x^m})^2$$

$$\rightarrow (x(p) - \alpha, x(p) - \beta)$$

state assumed  $\mu_m \subset K$

$$0 \rightarrow E[m] \rightarrow E \xrightarrow{m} E \rightarrow 0$$

analogue to

$$1 \rightarrow \mu_m \rightarrow K^x \xrightarrow{m} K^x \rightarrow 1$$

this produces

$$\rightarrow K^x \xrightarrow{m} K^x \rightarrow H^1(\mu_m) \rightarrow H^1(K^x) \rightarrow$$

o by Thm 90

$$K^x/K^{x^m} \xrightarrow{\sim} H^1(G_{\bar{K}/K}, \mu_m)$$

$$\alpha \longmapsto (\sigma \mapsto \frac{\sigma(\beta) - \beta}{\beta^m - \beta})$$

want to replace  $\bar{K}$  with smallest field possible,  $\hookleftarrow$

$$\begin{array}{ccc}
 & H^1(G_{L/K}, E^{[m]}) & \circ \\
 & \downarrow \text{inf} & \\
 E(K)/mE(K) & \hookrightarrow & H^1(G_{E/K}, E^{[m]}) \\
 \swarrow \text{zero map} & & \downarrow \text{res} \\
 & & H^1(G_{E/L}, E^{[m]})
 \end{array}
 \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \text{want this}$$

the cocycle  $(\sigma \mapsto (\sigma(\alpha) - \alpha))$  is trivial iff  $\sigma$  acts trivially on  $\mathbb{Q}$ , so want to define

$$L = K(\mathbb{Q} : m\mathbb{Q} \in E(K)) \quad \text{thm}$$

$$\begin{array}{ccc}
 E(K)/mE(K) & \hookrightarrow & H^1(G_{L/K}, E^{[m]}) \\
 \uparrow p & \longmapsto & (\sigma \mapsto \sigma(\alpha) - \alpha, \text{ where } m\alpha = p)
 \end{array}$$

Claim.  $L/K$  finite; follows from Kummer's theorem, and

Prop 1.  $G_{L/K}$  has exponent dividing  $m$

Prop 2. There is a finite set of primes  $S \subset \text{Spec}(\mathcal{O}_K)$  such that  $L/K$  is unramified for all  $p \notin S$ .

Pf of Prop 1: Let  $\alpha \in E(L)$  with  $m\alpha = p$ , and let  $\sigma \in G_{L/K}$ . Then  $m(\sigma(\alpha)) = \sigma(m\alpha) = \sigma(p) = p$

$$\text{thus } \sigma(\alpha) - \alpha \in E^{[m]}$$

Now look at  $\sigma^m(Q) - Q$ . Can write as

$$(\sigma^{m-1} + \dots + 1)(\underbrace{\sigma(Q) - Q}_{\substack{\sigma(Q) \in E^{(m)} \\ E^{(m)} \subseteq E(K)}}) = m(\sigma - 1)(Q) = 0 \quad \checkmark$$

Prop 2. We defined  $L = K([m]^{-1}E(K))$ .

Fix  $Q \in [m]^{-1}E(K)$ , and let  $F = K(Q)$ .

$$E: y^2 = x^3 + Ax + B, \quad p \text{ prime in } F,$$

reduce mod  $p$  (assume  $A, B$  are  $p$ -integral)

$$\tilde{A}, \tilde{B} \in \mathbb{F}_p, \quad \tilde{E}: y^2 = x^3 + \tilde{A}x + \tilde{B}$$

$\tilde{E}$  is an elliptic curve /  $\mathbb{F}_p \Leftrightarrow \Delta \not\equiv 0 \pmod{p}$   
 $\Leftrightarrow \tilde{\Delta} \neq 0$

Def.  $E$  has good reduction at  $p$  if  $\text{ord}_p(A), \text{ord}_p(B) \geq 0$   
and  $p \nmid \Delta$

Assume good reduction; then  $\tilde{E}(\mathbb{F}_p)$  is a group, and  
get a reduction map

$$E(F) \rightarrow \tilde{E}(\mathbb{F}_p)$$

$$(x, y) \mapsto \begin{cases} (\tilde{x}, \tilde{y}) & \text{if } \text{ord}_p(x) \geq 0 \text{ (which } \Rightarrow \text{ord}_p(y) \geq 0) \\ \emptyset & \text{else} \end{cases}$$

This is just  $P^n(F) \rightarrow P^n(\mathbb{F}_p)$   
 $[x_0, \dots, x_n] \mapsto [\tilde{\lambda}x_0, \dots, \tilde{\lambda}x_n]$

where we choose  $\lambda \in F^\times$  such that  $\text{ord}_p(\lambda x_i) \geq 0$  for  
all  $i$

and  $\text{ord}_p(\lambda x_j) = 0$  for some  $j$

Prop.  $E(F) \rightarrow \tilde{E}(\mathbb{F}_p)$  is a group homomorphism

Pf. The group law is defined by  $P+Q+R=O \Leftrightarrow$   
 $P, Q, R$  collinear, and reduction mod  $p$  takes lines to  
lines

QED (at least if  $\tilde{P}, \tilde{Q}, \tilde{R}$  distinct)

# Lecture 24 (2011-03-25)

Goal:  $K([m]^{-1}E(K))/K$  is finite. 2011-3-25

(24)

If  $p \nmid \Delta$ , get a homomorphism  $E(K) \rightarrow \tilde{E}(\mathbb{F}_p)$ .

Key Lemma. If  $p \nmid m \Delta$ , then  $E(K)[m] \hookrightarrow \tilde{E}(\mathbb{F}_p)$

(analogous to statement that roots of unity remain distinct mod  $p$ )

We only need this for  $m=2$  though. Take Weierstrass

equation with coefficients in  $\mathbb{C}_K$ , then factor as

$$E: y^2 = (x-\alpha)(x-\beta)(x-\gamma). \text{ Then } E[2] = \{O, (\alpha, 0), (\beta, 0), (\gamma, 0)\}$$

maps to  $\tilde{E}(\mathbb{F}_p)$  when  $\tilde{\alpha}, \tilde{\beta}, \tilde{\gamma}$  are distinct mod  $p$ .

But  $\Delta = \pm 16(\alpha-\beta)^2(\beta-\gamma)^2(\alpha-\gamma)^2$ , so  $p \nmid \Delta$  gives the result.

Prop. Assume  $p \nmid m \Delta$ . Then

- a)  $K(E[m])/K$  is unramified at  $p$ .
- b) Let  $Q \in E(\bar{K})$  with  $mQ \in E(K)$ , then  $K(Q)/K$  is unramified at  $p$ .

Pf. Let  $\mathcal{P}$  be a prime of  $K(E[m])$ ,  $\mathcal{P}/p$ . Then

$$\begin{aligned} I(\mathcal{P}/p) &= \{ \sigma \in G_{\mathcal{P}} \mid \sigma(\alpha) = \alpha \text{ mod } \mathcal{P} \forall \alpha \} = \{ \sigma \in G_{\mathcal{P}} \mid \sigma(T) = T \text{ mod } \mathcal{P} \} \\ &= \{ \sigma \in G_{\mathcal{P}} \mid \forall T \in E[m], \sigma(T) - T \in \ker(E[m] \rightarrow \tilde{E} \text{ mod } \mathcal{P}) \} = \{ \sigma \in G_{\mathcal{P}} \mid \sigma(T) = T \} = \{ 1 \} \end{aligned}$$

Lemma says injective       $\{ 1 \}$

b) WLOG, using  $\alpha$ , we can replace  $K$  by  $K(E[m])$   
 (unramified + unramified = unramified).

Let  $\mathfrak{P}$  be a prime of  $K(\alpha)$ ,  $\mathfrak{P} | \mathfrak{p}$ . Then

$$I(\mathfrak{P}/\mathfrak{p}) = \{ \sigma \in G_{\mathfrak{p}} \mid \sigma(\alpha) \equiv \alpha \pmod{\mathfrak{p}} \} = \{ \sigma \in G_{\mathfrak{p}} \mid \sigma(\alpha) - \alpha \equiv 0 \pmod{\mathfrak{p}} \}$$

Note  $m(\sigma(\alpha) - \alpha) = \sigma(m\alpha) - m\alpha = 0$  (because  $m\alpha \in E(K)$ ,  
 hence fixed by  $\sigma$ )

So  $\sigma(\alpha) - \alpha \in E[m]$ , so  $I(\mathfrak{P}/\mathfrak{p}) = \{ \sigma \in G_{\mathfrak{p}} \mid \sigma(\alpha) - \alpha = 0 \} = \{1\}$ .

Corollary, Given  $E/K$ , assume  $E[m] \subset E(K)$ . Let

$S = \{ \text{primes } \mathfrak{p} \mid m\Delta \}$ . Then

a) Then  $K([m]^{-1}E(K))/K$  is unramified at every  $\mathfrak{p} \in S$   
 (we showed this for any one point in this, composita of unramified are unramified)

b)  $\text{Gal}(K([m]^{-1}E(K))/K)$  is abelian of exponent  $m$ .

Pf. a) from prop.

b). Exponent  $m$  last time, it's abelian?

Let  $\alpha \in E(\bar{K})$ ,  $m\alpha = \beta \in E(K)$ . Let  $\sigma, \tau \in G(K(\alpha)/K)$

$$\sigma(\alpha) - \alpha = T_{\sigma} \text{ for some } T_{\sigma} \in E[m]$$

$$\tau(\alpha) - \alpha = T_{\tau} \text{ for some } T_{\tau} \in E[m]$$

$$\sigma(\gamma(\alpha)) = \sigma(\alpha + \text{Tr}) = \alpha + T_\sigma + \underbrace{\sigma(\text{Tr})}_{=\text{Tr} \text{ since } E(m) \subset E(K)}$$

similarly  $\gamma(\sigma(\alpha)) = \alpha + \text{Tr} + T_\sigma$

Thm.  $K/\mathbb{Q}$ ,  $S =$  finite set of primes,  $m \geq 2$

Let  $L =$  max abelian extension of  $K$  of exponent  $m$  unramified outside  $S$ , then  $L/K$  is finite.

Main Thm of Kummer Theory  $K/\mathbb{Q}$ ,  $m \geq 2$ ,  $\mu_m \subset K$

$M =$  max abelian extension of  $K$  of exponent  $m$

Then  $M = K(\sqrt[m]{\alpha} \mid \alpha \in K^*)$ .

Pf of Thm. wlog, can expand  $S$ , so  $S \supset \{p \mid m\}$ .

wlog, can assume  $\mu_m \subset K$ .

$L =$  largest subfield of  $M$  unramified outside  $S$

When is  $K(\sqrt[m]{\alpha})$  ramified at  $p$ ? (assume  $p \nmid m$ )

$$x^m - \alpha = 0, \text{ disc}(x^m - \alpha) = \pm m^2 \cdot \alpha^{m-1}$$

Let  $\pi$  be a uniformizer at  $p$  (i.e.  $\text{ord}_p(\pi) = 1$ ), so

$$\alpha = \underbrace{u}_{\text{unit}} \pi^r, \quad \sqrt[m]{\alpha} = \sqrt[m]{u} \pi^{r/m}, \quad \text{so } K(\sqrt[m]{\alpha})/K \text{ unramified at } p \Leftrightarrow m \mid \text{ord}_p(\alpha)$$

Let  $T_S = \{ \alpha \in K^\times / (K^\times)^m \mid \text{ord}_p(\alpha) \equiv 0 \pmod m \text{ for all } p \in S \}$

Then  $L = K(\sqrt[m]{\alpha} : \alpha \in T_S)$ .

Goal: show  $T_S$  is a finite set.

$$0 \rightarrow T_\emptyset \rightarrow T_S \rightarrow \underbrace{(\mathbb{Z}/m\mathbb{Z} \times \dots \times \mathbb{Z}/m\mathbb{Z})}_{\#S} \xleftarrow{\text{finite}}$$

$$\alpha \mapsto (\text{ord}_p(\alpha))_{p \in S}$$

Goal:  $T_\emptyset$  is a finite set.

$$\alpha \in T_\emptyset \Leftrightarrow \text{ord}_p(\alpha) \equiv 0 \pmod m \forall p \Leftrightarrow$$

$$\alpha \mathcal{O}_K = \mathfrak{h}_\alpha^m \text{ for some ideal } \mathfrak{h}_\alpha$$

$$1 \rightarrow \mathcal{O}_K^\times / (\mathcal{O}_K^\times)^m \rightarrow T_\emptyset \rightarrow \mathbb{F}_K / K^\times \xleftarrow{\text{finite}}$$

"  $\subset \mathbb{F}_K$

$$\alpha \mapsto [\mathfrak{h}_\alpha]$$

finite by Dirichlet

$$[\mathfrak{h}_\alpha] = 1 \Leftrightarrow \mathfrak{h}_\alpha = \beta \mathcal{O}_K \Leftrightarrow \alpha \mathcal{O}_K = \mathfrak{h}_\alpha^m = \beta^m \mathcal{O}_K \Leftrightarrow$$

$$\alpha = \beta^m \text{ for some } \beta \in \mathcal{O}_K^\times$$

hence  $T_\emptyset$  finite.



$$0 \rightarrow E^{(K)}/mE(K) \rightarrow H^1(\mathrm{GL}_K, E[m]) \rightarrow H^1(\mathrm{Gal}(K/\bar{K}), E(\bar{K}))$$

$L/K$  finite

$$0 \rightarrow E^{(K)}/mE(K) \rightarrow \underbrace{S^{(m)}(E/K)}_{\text{Selmer group}} \rightarrow \underbrace{\text{III}(E/K)[m]}_{\text{Shafarevich-Tate group}} \rightarrow 0$$

CM: describe abelian extensions of imaginary quadratic fields using torsion points on elliptic curves.

$K = \mathbb{Q}(\sqrt{-d})$ , construct  $E/K$  with  $\mathrm{End}(E) = \mathcal{O}_K$

Then  $K(j(E)) = \text{Hilbert class field of } K$ .

# Lecture 25 (2011-04-04)

We've proven that Mordell-Weil /  
 $E(\mathbb{Q}) / mE(\mathbb{Q})$  is finite

2011-4-4

(25)

How does this get us that  $E(\mathbb{Q})$  is finitely generated?  
 Define a "size" function and show  $\text{size}(mf) > \text{size}(f)$

Height function arithmetic complexity

Def. The height of  $\alpha = \frac{a}{b} \in \mathbb{Q}$  is  $H(\alpha) = \max\{|a|, |b|\}$

$P \in \mathbb{P}^n(\mathbb{Q})$ ,  $P = [a_0, \dots, a_n]$  where  $a_i \in \mathbb{Z}$ ,  $\gcd(a_i) = 1$

Then  $H(P) = \max |a_i|$ .

Thm. a)  $\{P \in \mathbb{P}^n(\mathbb{Q}) \mid H(P) \leq B\}$  is finite

b) Let  $\phi: \mathbb{P}^n \rightarrow \mathbb{P}^n$  be a degree  $d$  homogeneous map.

Then  $c_1 H(P)^d \leq H(\phi(P)) \leq c_2 H(P)^d$  where  
 the  $c_i$  depend only on  $\phi$

Prblm. What is  $|\{P \in \mathbb{P}^n(\mathbb{Q}) \mid H(P) \leq B\}|$ ?

c) Prove b.

$K/\mathbb{Q}$ ,  $P = [a_0, \dots, a_n] \in \mathbb{P}^n(K)$

$$H(P) = \prod_{v \in M_K} \max\{|a_0|_v, \dots, |a_n|_v\} \frac{[K_v:\mathbb{Q}_v]}{[K:\mathbb{Q}]}$$

This term does two things - ensures that the same  
 point looked at in  $\mathbb{P}^n(L)$ ,  $L/K$ , has same height, and makes  
 it well-defined - scaling  $P$  by  $\lambda$  introduces  $\left(\prod_{v \in M_K} |\lambda|_v^{[K_v:\mathbb{Q}_v]}\right) \frac{[K:\mathbb{Q}]}{[K:\mathbb{Q}]}$

HW, Check that definitions are the same when  $K = \mathbb{Q}$ .

Kronecker's Lemma:  $\prod_{x \in \mathbb{Q}} (x-1) = 1 \Leftrightarrow \alpha$  is a root of unity

(Analog for torsion points?)

$$E: y^2 = x^3 + Ax + B, \quad P = (x, y) \in E(K)$$

$$\text{Def. } h(P) = \begin{cases} h(x) & \text{if } P \neq \mathcal{O} \\ 1 & \text{if } P = \mathcal{O} \end{cases}$$

$$h(P) = \log(H(P))$$

Prop. a)  $\{P \in E(K) \mid h(P) \leq C\}$  is finite

$$b) \quad h(mP) = m^2 h(P) + \mathcal{O}_{E,m}(1)$$

$$c) \quad h(P+Q) \leq 2h(P) + 2h(Q) + \mathcal{O}_E(1)$$

Prf of Mordell-Weil Theorem. Choose  $Q_1, \dots, Q_r \in E(K)$  which represent the finitely many cosets of  $E(K)/mE(K)$

Goal: Find some  $C$  such that

$$\{Q_1, \dots, Q_r\} \cup \{P \in E(K) \mid h(P) \leq C\}$$

generates  $E(K)$ . Take any  $P \in E(K)$ , then we can write

$$P = P_0 = mP_1 + Q_{i_1}$$

$$P_1 = mP_2 + Q_{i_2}$$

!

$$P_{t-1} = mP_t + Q_{i_t}$$

Some middle step?  $P_{j-1} = mP_j + Q_{ij}$

$$h(mP_j) = h(P_{j-1} - Q_{ij}) \leq 2h(P_{j-1}) + 2h(Q_{ij}) + C_1$$

$$\leq 2h(P_{j-1}) + C_2 \quad \text{where } C_2 = 2 \max\{h(Q_i)\} + C_1$$

but  $h(mP_j) \geq m^2 h(P_j) - C_3$ , so

Statement that  $E(K)/mE(K)$  is finite for  $m \neq 1$  doesn't convey any info since  $m \geq 2$

→  $h(P_j) \leq \frac{2}{m^2} h(P_{j-1}) + C_4$ ,  $C_4$  depends on  $E, m, Q_1, \dots, Q_r$

Hence

$$h(P_+) \leq \frac{2}{m^2} h(P_{++}) + C_4$$

$$\leq \left(\frac{2}{m^2}\right)^2 h(P_{+-2}) + \left(\frac{2}{m^2} + 1\right) C_4$$

⋮

$$\leq \left(\frac{2}{m^2}\right)^+ h(P_0) + \left(\left(\frac{2}{m^2}\right)^{+-1} + \dots + \left(\frac{2}{m^2} + 1\right)\right) C_4$$

$$\leq \left(\frac{2}{m^2}\right)^+ h(P_0) + \frac{1}{1 - \frac{2}{m^2}} C_4$$

$$\leq \frac{1}{2^+} h(P_0) + 2C_4$$

We had  $P = m^+ P_+ + \sum_{j=1}^+ m^{j-1} Q_{ij}$ , done.

How to make effective? need to find the  $Q_1, \dots, Q_r$  (easy to find  $\{P \in E(K) \mid h(P) \leq C\}$ , in principle).

But  $E(K)/mE(K) \iff$  finite group, was how we showed  $E(K)/mE(K)$  finite, and we don't know how to determine if an element of (finite group) come from  $E(K)/mE(K)$

$$\alpha \in \overline{\mathbb{Q}}^+, \text{ then } h(\alpha^d) = d h(\alpha)$$

$$P \in E(K), \text{ then } h(mP) = m^2 h(P) + \mathcal{O}_E(1)$$

Thm. (Néron-Tate) canonical height

$$\hat{h}(P) = \lim_{m \rightarrow \infty} \frac{1}{m^2} h(mP) \text{ exists}$$

and a)  $\hat{h}(P) = h(P) + \mathcal{O}_E(1)$

b)  $\hat{h}(mP) = m^2 \hat{h}(P)$

$\lim_{m \rightarrow \infty} \frac{1}{m^3} h(mP)$  exists  
just always 0

The map  $E(K) \times E(K) \rightarrow \mathbb{R}$

$$(P, Q) \mapsto \hat{h}(P+Q) - \hat{h}(P) - \hat{h}(Q)$$

is a bilinear form, non degenerate on  $E(K)/\text{torsion}$

a)  $\hat{h}(P) = 0 \iff P$  torsion pt. (analogy of Kronecker's Theorem)

Cebotarev's conjecture

$\alpha \in \overline{\mathbb{Q}}^*$ ,  $\alpha$  not a root of unity

$$h(\alpha) \geq \frac{c}{[Q(\alpha) : \mathbb{Q}]}$$

(absolute constant  
Cebotarev's conjecture  $h(\sqrt[n]{2}) = \frac{1}{n} h(2)$ )

Elliptic version

$$\hat{h}(P) \geq \frac{C_{E/K}}{[K(P) : K]}$$

Lang's Conjecture

$E/\mathbb{Q}$ ,  $P \in E(\mathbb{Q})$  non-torsion

$$\hat{h}(P) \geq c_1 \log |\text{Disc } E| - c_2$$

Lecture 26 (2011-04-06)

$$0 \rightarrow E[m] \rightarrow E(\bar{K}) \xrightarrow{m} E(\bar{K}) \rightarrow 0$$

2011-4-6

$G_{\bar{K}/K}$  cohomology

$$0 \rightarrow E(K)/mE(K) \rightarrow H^1(G_{\bar{K}/K}, E[m]) \rightarrow H^1(G_{\bar{K}/K}, E(\bar{K})) [m] \rightarrow 0$$

$$E(K) \xrightarrow{\beta} E(\bar{K}) \rightarrow H^1(E(\bar{K})) \xrightarrow{m} H^1(E(\bar{K})) \rightarrow \dots$$

$$0 \rightarrow E(K)/mE(K) \xrightarrow{\alpha} H^1(G_{\bar{K}/K}, E[m]) \xrightarrow{\beta} H^1(G_{\bar{K}/K}, E(\bar{K})) [m] \rightarrow 0$$

$$0 \rightarrow \prod_p E(K_p)/mE(K_p) \xrightarrow{a} \prod_p H^1(G_p, E[m]) \xrightarrow{b} \prod_p H^1(G_p, E(\bar{K}_p)) [m] \rightarrow 0$$

$\downarrow r$                        $\downarrow s$                        $\downarrow +$

$G_p$  is the decomposition group =  $G_{\bar{K}_p/K_p}$

$$\text{Im}(\alpha) \subseteq \text{ker}(b \circ s)$$

Def. The  $m$ -Selmer group is  $S^{(m)}(E/K) =$

$$\text{ker} \left( H^1(G_{\bar{K}/K}, E[m]) \rightarrow \prod_p H^1(G_p, E(\bar{K}_p)) [m] \right)$$

$$\text{III}(E/K) = \text{ker} \left( H^1(G_{\bar{K}/K}, E(\bar{K})) \rightarrow \prod_p H^1(G_p, E(\bar{K}_p)) \right)$$

not necessarily

not spec  
first comes from  
any diagram  
 $\begin{matrix} \rightarrow & \rightarrow \\ \downarrow & \downarrow \\ \rightarrow & \rightarrow \end{matrix}$

Prop  $0 \rightarrow E(K)/mE(K) \rightarrow S^{(m)}(E/K) \rightarrow \text{III}(E/K) [m] \rightarrow 0$

Thm.  $S^{(m)}(E/K)$  finite

Conj.  $\text{III}(E/K)$  finite

Def.  $\xi \in H^r(G_{\bar{K}/K}, M)$  is unramified at  $p$  if

$$\text{Res}(\xi) \in H^r(I_p, M) \text{ is } 0$$

$\uparrow$  inertia group

One fix an embedding  $\bar{K} \hookrightarrow \bar{K}_p$ , get specific groups  
 $I_p \subset G_p \subset G_{\bar{K}/K}$

$$\text{Let } \xi \in S^{(m)}(E/K) \subset H^1(G_{\bar{K}/K}, E^{(m)})$$

Claim:  $\xi$  is unramified at  $p$  provided  $p \nmid m \Delta_E$

Pf. We know  $\xi$  becomes trivial in

$$H^1(G_p, E(\bar{K}_p))^{(m)}$$

$\uparrow$   
 i.e.,  $E$  stays  
 in elliptic curve  
 mod  $p$

so there is a point  $P \in E(\bar{K}_p)$  such that

$$\xi(\sigma) = \sigma(P) - P \quad \text{for all } \sigma \in G_p$$

Notice  $\xi(\sigma) \in E^{(m)}$

$$0 \rightarrow E(\bar{K}_p) / mE(\bar{K}_p) \rightarrow H^1(G_p, E^{(m)}) \rightarrow H^1(G_p, E(\bar{K}_p))^{(m)} \rightarrow 0$$

$$\begin{array}{c} R \\ \parallel \\ mP \end{array} \rightarrow (\sigma \mapsto \sigma(P) - P)$$

trace with  $mP \in E(\bar{K}_p)$

Now take  $\tau \in I_p$ , so  $\tau$  fixes everything mod  $p$

$$\widetilde{\zeta(\tau)} = \widetilde{\tau(p) - p} \pmod{p} = \widetilde{0} \pmod{p}$$

$$E[M] \longleftrightarrow \widetilde{E[M]} \pmod{p}$$

provided  $p \nmid m$  and  $E$  has good reduction at  $p$

$\therefore \zeta(\tau) = 0 \quad \forall \tau \in I_p$ , hence  $\zeta$  is unramified at  $p$

Def  $M$  a finite  $G_{\overline{K}/K}$ -module

$S$  finite set of primes

$$(e.g.  $M = E[M], S = \{p \mid m \Delta E\}$ )$$

$$H^1(G_{\overline{K}/K}, M; S) = \{ \zeta \in H^1(G_{\overline{K}/K}, M) \mid \zeta \text{ is unramified for all } p \notin S \}$$

Prop.  $E/K, S = \{p \mid m \Delta E\}$ . Then

$$S^{(m)}(E/K) \subset H^1(G_{\overline{K}/K}, E[M]; S)$$

Thm.  $H^1(G_{\overline{K}/K}, M; S)$  is finite.

PP ① Using inflation-restriction, can assume  $G_{\overline{K}/K}$  acts trivially on  $M$

② Let  $m = \# M$ , and adjoin  $\mu_m \subset K$ .



Let  $L = \text{max abelian extension of } K \text{ of exponent } m \text{ that is unramified at every } p \notin S$

We have proved  $L/K$  finite

$$H^1(G_{\bar{K}/K}, M; S) \rightarrow \text{Hom}(G_{\bar{K}/K}, M; S) \hookrightarrow \text{Hom}(G_{\bar{K}/K}, M)$$

Example.  $y^2 = (x-\alpha)(x-\beta)(x-\gamma)$ ,  $\alpha, \beta, \gamma \in \mathbb{Z}$

$$S = \{2\} \cup \{p \mid (\alpha-\beta)(\alpha-\gamma)(\beta-\gamma)\}$$

Then  $E(\mathbb{Q})/2E(\mathbb{Q}) \hookrightarrow S^{(2)}(E/\mathbb{Q}) \hookrightarrow \left( \frac{\mathbb{Q}^{\times}}{\mathbb{Q}^{\times 2}} \times \frac{\mathbb{Q}^{\times}}{\mathbb{Q}^{\times 2}} \right)^{\#S}$

$\text{rank}_{\mathbb{F}_2} E(\mathbb{Q})/2E(\mathbb{Q}) \leq 2(\#S + 1)$

$\left( \frac{\langle S, -1 \rangle}{\text{Squares}} \right)^2$

$\text{rank } E(\mathbb{Q}) \leq 2\#S$

$y^2 = x^3 - 12x^2 + 20x = x(x-2)(x-10)$

$S = \{-1, 2, 5\}$  rank  $\leq 6$

(actually rank = 1)

BSD conjecture

a)  $\text{ord}_S = 1$   $L(E, S) = \text{rank } E(\mathbb{Q})$

$$L(E, S) = \prod_{p \nmid \Delta E} \left( 1 - \frac{a_p}{p^s} + \frac{1}{p^{2s-1}} \right) \cdot \prod_{p \mid \Delta E} \frac{1}{1 - \frac{a_p}{p^s}}$$

$$b) \quad \zeta(E, s) = c_E (s-1)^{\text{rank } E(\mathbb{Q})} + \dots$$

$$\Omega_E = \int_{E(\mathbb{R})} \frac{dx}{2y} \quad c_p = \left( \frac{\#E(\mathbb{Q}_p)}{E_{\text{tors}}(\mathbb{Q}_p)} \right)$$

(p prime s.t.  $\tilde{P} \pmod{p}$  is nonsingular)

$$\langle P, Q \rangle = \hat{h}(P+Q) - \hat{h}(P) - \hat{h}(Q)$$

choose basis  $P_1, \dots, P_r$  for  $E(\mathbb{Q})/E(\mathbb{Q})_{\text{tors}}$

$$R_E = \det(\langle P_i, P_j \rangle)$$

conjecture

$$c_E = \Omega_E \left( \prod_{p|2DE} c_p \right) \frac{R_E \# III(E/\mathbb{Q})}{(\# E(\mathbb{Q})_{\text{tors}})^2}$$

## Lecture 27 (2011-04-08)

Elliptic Curves over  $\mathbb{C}$

2011-4-8

(27)

Trig functions,  $f(z+\omega) = f(z) \quad \forall z \in \mathbb{C}$

Def. A lattice in  $\mathbb{C}$  is a subgroup of the form

$$L = \{n\omega_1 + m\omega_2 : n, m \in \mathbb{Z}\} \text{ for } \omega_1, \omega_2 \text{ } \mathbb{R}\text{-linearly independent}$$

Def. An elliptic function for  $L$  is a meromorphic function  $f: \mathbb{C} \rightarrow \mathbb{C}$  such that  $f(z+\omega) = f(z) \quad \forall z \in \mathbb{C}, \omega \in L$

Def. A fundamental domain for  $\mathbb{C}/L$  is

$$D = \{a + t_1\omega_1 + t_2\omega_2 \mid 0 \leq t_1, t_2 < 1\} \xleftrightarrow{\text{bijection}} \mathbb{C}/L$$

not a homeomorphism

Let  $\bar{D}$  = closure of  $D$

Thm. Let  $f \in \mathcal{O}(L)$ . If  $f$  has no poles, then  $f$  is constant. If  $f$  has no zeros, then  $f$  is constant

pf  $f$  continuous on  $\bar{D}$ , hence bounded on  $\bar{D}$ , hence bounded on  $\mathbb{C}$ , hence by Liouville  $\Rightarrow f$  constant (use  $1/f$  for second part).

Given  $f \in \mathcal{O}(L)$  and  $w \in \mathbb{C}$ ,

$\text{ord}_w f$  = order of zero (pole) at  $w$

$\text{res}_w f$  = residue at  $w$

$$f(z) = (z-w)^{\text{ord}_w(f)} g(z)$$

$$f(z) = \dots + \frac{\text{res}_w(f)}{z-w} + \dots$$

$$\text{res}_w(f) = \frac{1}{2\pi i} \int_{|z-w|=\epsilon} \frac{f(z)}{z-w} dz$$

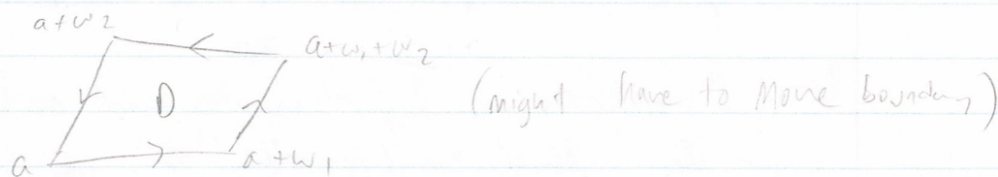
Prop.  $f \in \mathcal{O}(L)$ , then

$$a) \sum_{w \in \mathcal{O}(L)} \text{ord}_w(f) = 0$$

$$b) \sum_{w \in \mathcal{O}(L)} \text{res}_w(f) = 0$$

$$c) \sum_{w \in \mathcal{O}(L)} \text{ord}_w(f) \cdot w = 0$$

Pf. b) Cauchy Residue Thm,  $\sum_{w \in \mathcal{O}(L)} \text{res}_w(f) = \frac{1}{2\pi i} \int_{\partial R} f(z) dz$



$$2\pi i \sum_{w \in \mathcal{O}(L)} \text{res}_w(f) = \int_a^{a+w_1} + \int_{a+w_1}^{a+w_1+w_2} + \int_{a+w_1+w_2}^{a+w_2} + \int_{a+w_2}^a$$

$$= 0$$

$$a) \text{ord}_w f = \text{res}_w \frac{f'}{f} = \text{res}_w \frac{1}{z} \log(f(z))$$

$f'/f \in \mathcal{O}(L)$ , use b)  $\Rightarrow$  equals 0

$$c) \text{HW, } \int_{\partial D} z \frac{f'(z)}{f(z)} dz \quad \text{look in book}$$

What are some elliptic functions?

$$\sum_{w \in L} \frac{1}{(z+w)^2} \quad ? \quad \text{Doesn't quite converge}$$

$f \in \mathcal{O}(L)$ ,  $f$  not constant  $\Rightarrow$  # of poles  $\geq 1$

If # poles = 1, say  $\text{ords } f = -1$

$\Rightarrow$  # zeros = 1 by a) but then by c)  $s-t \in L$   
 $\text{ord}_s(f) = 1$  here  $f$  grows in size  $\Rightarrow$  contradiction  
this at least two poles

Weierstrass: double poles

Jacobi: poles at  $a$  and  $-a$

$$\wp(z) = \frac{1}{z^2} + \sum_{\substack{w \in L \\ w \neq 0}} \left( \frac{1}{(z-w)^2} - \frac{1}{w^2} \right)$$

Thm. a)  $\wp$  is absolutely convergent on all compact subsets of  $\mathcal{O}-L$

$$b) \wp(z) = \wp(-z) \quad c) \wp(z) \in \mathcal{O}(L)$$

$$\text{Pf b) } \frac{1}{(-z-w)^2} = \frac{1}{(z+w)^2}$$

$$\text{c) } f'(z) = -\frac{2}{z^3} + \sum_{w \neq 0} -\frac{2}{(z-w)^3} = -2 \sum_{w \in L} \frac{1}{(z-w)^3}$$

clearly  $f'(z) \in \mathcal{O}(L)$

$$\text{so } f(z+w) = f(z) + c_w \quad \text{set } z = -\frac{w}{2}$$

$$f\left(\frac{w}{2}\right) = f\left(-\frac{w}{2}\right) + c_w$$

$\swarrow$   
equal

$$\text{hence } c_w = 0$$

Lecture 28 (2011-04-11)

$$g(z) = \frac{1}{z^2} + \sum_{\substack{w \in L \\ w \neq 0}} \left[ \frac{1}{(z-w)^2} - \frac{1}{w^2} \right] \quad 2011-4-11$$

(28)

want to prove it is absolutely convergent on  $\mathbb{C} - L$

Fix a  $z \in \mathbb{C} - L$ , suffices to show

$$\sum_{\substack{w \in L \\ |w| > B}} \left| \frac{1}{(z-w)^2} - \frac{1}{w^2} \right| \text{ finite for some } B$$

( $B = 2(|z| + 1)$  will work)

$$= \sum_{|w| > B} \frac{1}{|w|^2} \left| \frac{1}{\left(1 - \frac{z}{w}\right)^2} - 1 \right|$$

$$= \sum_{|w| > B} \frac{1}{|w|^2} \left| \sum_{n=1}^{\infty} n \left(\frac{z}{w}\right)^{n-1} - 1 \right|$$

$$= \sum_{|w| > B} \frac{1}{|w|^2} \left| \sum_{n=2}^{\infty} n \left(\frac{z}{w}\right)^{n-1} \right|$$

$$= \sum_{n=2}^{\infty} n |z|^{n-1} \sum_{|w| > B} \frac{1}{|w|^{n+1}}$$

$$\leq \sum_{n=2}^{\infty} n |z|^{n-1} \sum_{k=B}^{\infty} \frac{\#\{w \in L \mid k \leq |w| \leq k+1\}}{k^{n+1}}$$

$$\leq \sum_{n=2}^{\infty} n |z|^{n-1} \sum_{k=B}^{\infty} \frac{c_L \text{Area}\{s \in \mathbb{C} \mid k \leq |s| \leq k+1\}}{k^{n+1}}$$

$$\leq \sum_{n=2}^{\infty} n |z|^{n-1} \sum_{k=B}^{\infty} \frac{c_L \pi (2k+1)}{k^{n+1}} \leq 3c_L \pi \underbrace{\sum_{n=2}^{\infty} n |z|^{n+1} \sum_{k=B}^{\infty} \frac{1}{k^n}}_{\text{converges}}$$

$$\text{HW: } \{w \in L \mid |w| \leq B\} = \frac{\pi B^2}{\text{Area}(D_L)} + O(B)$$

$$\wp(z) = \frac{1}{z^2} + \sum'_{w \in L} \left( \frac{1}{(z-w)^2} - \frac{1}{w^2} \right)$$

$$= \frac{1}{z^2} + \sum'_{w \in L} \frac{1}{w^2} \left( \left( \frac{z}{w} \right)^{-2} - 1 \right)$$

$$= \frac{1}{z^2} + \sum'_{w \in L} \frac{1}{w^2} \sum_{n=2}^{\infty} n \left( \frac{z}{w} \right)^{n-1}$$

$$= \frac{1}{z^2} + \sum_{n=2}^{\infty} n z^{n-1} \left( \sum'_{w \in L} \frac{1}{w^{n+1}} \right)$$

Def.  $G_n(L) = \sum'_{w \in L} \frac{1}{w^n}$ . (Eisenstein series)

Prop. a)  $G_n(L)$  absolutely convergent for  $n \geq 3$  (odd and evi)

b)  $n$  odd  $\Rightarrow G_n(L) = 0$  (since  $w \in L \Leftrightarrow -w \in L$ )

Thm. In a neighborhood of 0,

$$\wp(z) = \frac{1}{z^2} + \sum_{n=2}^{\infty} n G_{n+1}(L) z^{n-1}$$

$$= \frac{1}{z^2} + \sum_{k=1}^{\infty} (2k+1) G_{2k+2}(L) z^{2k}$$

$$\wp(z) = \frac{1}{z^2} + 3G_4 z^2 + 5G_6 z^4 + \dots$$

← not to cancel their poles

$$\wp'(z) = -\frac{2}{z^3} + 6G_4 z + 20G_6 z^3 + \dots$$



$$\wp'(z)^2 - 4\wp(z)^3 = (-24G_4 \frac{1}{z^2} - 80G_6 + \dots) - 4(964 \frac{1}{z^2} + 15G_6 + \dots)$$

$$= -60G_4 \frac{1}{z^2} - 140G_6 + \dots$$

$$\underbrace{\wp'(z)^2 - 4\wp(z)^3 + 60G_4 \wp(z) + 140G_6}_{\text{meromorphic, elliptic (i.e. in } \mathbb{C}(L)\text{)}} = \underbrace{0z^2 + 0z^4 + \dots}_{\text{holomorphic, vanishes at } z=0}$$



LHS is constant 0

thus

$$\wp'(z)^2 = 4\wp(z)^3 - \underbrace{60G_4(L)}_{g_2(L)} \wp(z) - \underbrace{140G_6(L)}_{g_3(L)}$$

Theorem a)  $4x^3 - g_2(L)x - g_3(L)$  has distinct roots

b) The map  $\phi: \mathbb{C}/L \rightarrow \mathbb{P}^2(\mathbb{C})$

$$z \mapsto [\wp(z), \wp'(z), 1]$$

is a holomorphic isomorphism (as Riemann surfaces)

from  $\mathbb{C}/L$  to  $E(\mathbb{C}) : y^2 = 4x^3 - g_2x - g_3$

c)  $\phi$  is a group homomorphism

Pf. a)  $L = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$  because  $P$  even  $\Rightarrow \wp'$  odd

$$\wp'\left(\frac{\omega_1}{2}\right) = -\wp'\left(-\frac{\omega_1}{2}\right) = -\wp'\left(\frac{\omega_1}{2} - \omega_1\right) = -\wp'\left(\frac{\omega_1}{2}\right)$$

hence  $\wp'\left(\frac{\omega_1}{2}\right) = 0$ , similarly  $\wp'\left(\frac{\omega_2}{2}\right) = 0$ , also  $\wp'\left(\frac{\omega_1 + \omega_2}{2}\right) = 0$

let  $\omega_3 = \omega_1 + \omega_2$

$f(\frac{\omega_i}{2})$  is a root of  $4x^3 - g_2x - g_3 \quad 1 \leq i \leq 3$

$f(z) - f(\frac{\omega_1}{2})$  — zero at  $\frac{\omega_1}{2}$   
— derivative also zero at  $\frac{\omega_1}{2}$   
— exactly 2 poles (since  $f$  has 2 poles)  
— hence exactly 2 zeros on  $\mathbb{C}/L$

thus there are no other solutions to  $f(z) = f(\frac{\omega_1}{2})$   
other than  $z = \frac{\omega_1}{2}$

thus  $4x^3 - g_2x - g_3 = 4 \prod_{i=1}^3 (x - \underbrace{f(\frac{\omega_i}{2})}_{\text{distinct}})$

b)  $\phi$  is injective

Suppose  $f(z_1) = f(z_2)$  and  $f'(z_1) = f'(z_2)$

Can assume  $2z_1 \notin L$  first.

$f(z) - f(z_1)$  — zero at  $z = z_1, z = -z_1$   
— zero at  $z = z_2$   
— 2 poles  $\Rightarrow$  2 zeros

thus  $z_2 \equiv \pm z_1 \pmod{L}$

If  $z_2 \equiv -z_1 \pmod{L}$ , then  $f'(z_2) = f'(-z_1) = -f'(z_1)$   
contradiction

If  $2z_1 \in L$ , then —

$\phi$  surjective: Let  $(a, b) \in E(\mathbb{C})$

$\wp(z) - a$  elliptic, 2 poles  $\Rightarrow$  it has 2 zeros

So  $\exists z_0$  with  $\wp(z_0) = a$ . Then  $\wp'(z_0)^2 = b^2$ ,

so  $\pm z_0$  works to get  $\phi(z_0) = (a, b)$

Lecture 29 (2011-04-13)

$$\phi: \mathbb{C}/L \rightarrow \text{ECC} \quad 2011-4-13$$

$$z \mapsto (\wp(z), \wp'(z)) \quad (29)$$

Prop.  $\phi$  is a homomorphism.

We need two facts:  $\mathbb{C}(L) = \mathbb{C}(\wp(z), \wp'(z))$   $\textcircled{\pm}$

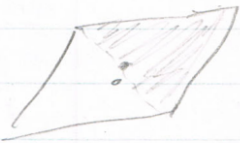
$\textcircled{\text{I}}$  Let  $D = \sum n_w w \in \text{DN}(\mathbb{C}/L)$ , note  
 $\text{div}(f) = \sum \text{ord}_w(f) w$ . We showed  $\text{div}(f) \in L$ .  
 In fact,  $D = \text{div}(f) \Leftrightarrow D \in L$ .

Pf.  $\textcircled{\text{I}}$  Let  $f \in \mathbb{C}(L)$

$$\begin{matrix} f(z) + f(-z) \\ (f(z) - f(-z)) \wp'(z) \end{matrix} \begin{matrix} \text{even} \\ \searrow \text{elliptic functions} \end{matrix}$$

To show:  $f \in \mathbb{C}(L)$ ,  $f$  even  $\Rightarrow f \in \mathbb{C}(\wp(z))$

$$\text{div}(f) = \sum_{\substack{w \\ n_w}} \text{ord}_w(f) w = n_0(0) + \sum_{\substack{\mathbb{C}/L \setminus \{0\}}} n_w(w) + n_w(-w)$$

$$= n_0(0) + \sum_{\substack{\mathbb{C}/L \setminus \{0\}}} n_w(w) + (-w)$$


$$\text{div}(f(z) - \wp(w)) = (w) + (-w) - 2(0)$$

$$g(z) = \prod_{w \in \mathbb{C}/L \setminus \{0\}} (f(z) - \wp(w))^{n_w} \quad \text{div}(f(z)/g(z)) = n(0)$$

$$\text{div}(g(z)) = n(0) + \sum n_w(w) + (-w)$$

$$\text{div}(f(z)/g(z)) = 0 \quad \Downarrow$$

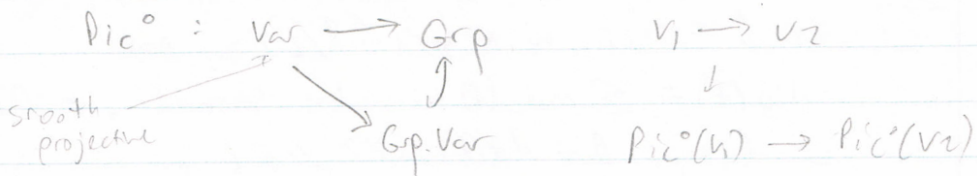
$$f(z) = \frac{h}{g} = a(z)$$

$$1 \rightarrow \mathbb{C}^* \rightarrow \mathbb{C}(L)^* \rightarrow \text{Div}^0(\mathbb{C}/L) \xrightarrow{\text{sum}} \mathbb{C}/L \rightarrow 0$$

$\underbrace{\qquad\qquad\qquad}_{\text{divisors of degree 0}} \qquad \qquad \qquad \cong \qquad \qquad \qquad E(\mathbb{C})$

$$f \mapsto \text{div}(f)$$

$$\text{Pic}^0(\mathbb{C}/L) \stackrel{\text{def}}{=} \text{Div}^0(\mathbb{C}/L) / \text{div}(\mathbb{C}(L)^*)$$



$$\mathbb{C}/L \longleftrightarrow E_L$$

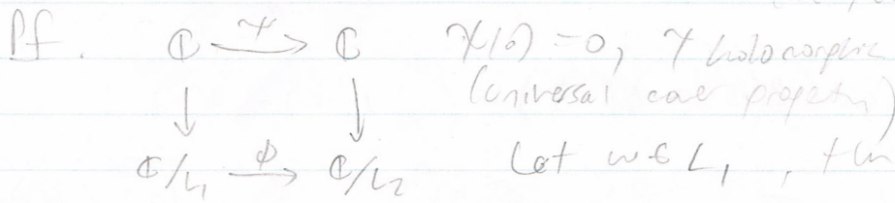
Maps between elliptic curves

Def. An isogeny  $\phi: E_1 \rightarrow E_2$  is a morphism with  $\phi(\mathcal{O}_1) = \mathcal{O}_2$

Thm. Every isogeny is a homomorphism

Prop. Let  $\phi: \mathbb{C}/L_1 \rightarrow \mathbb{C}/L_2$  be holomorphic and  $\phi(0) = 0$

Then  $\exists \alpha \in \mathbb{C}^*$  with  $\alpha L_1 \subset L_2$ , and  $\phi(z) = \alpha z$   
*(i.e.  $\phi(z \bmod L_1) = \alpha z \bmod L_2$ )*



$$\gamma(z+w) - \gamma(z) \in L_2 \quad \text{So we get a map}$$

$$\begin{array}{ccc}
 \mathbb{C} & \longrightarrow & L_2 & \leftarrow \text{discrete} \\
 z \mapsto & \gamma(z+w) - \gamma(z) & & \Rightarrow
 \end{array}$$

$$\psi(z+w) = \psi(z) + c_w \quad \text{for all } z$$

$$\psi'(z+w) = \psi'(z) \quad \text{for all } z \text{ and } w \in L_1$$

but  $\psi$  holomorphic  $\Rightarrow \psi'$  holomorphic  $\Rightarrow \psi' \in \mathcal{O}(L_1)$

$\psi'(z) = \alpha$  for some  $\alpha \in \mathbb{C}$

$$\text{so } \psi(z) = \alpha z + \beta$$

$$\psi(0) = 0 \Rightarrow \beta = 0$$

$$\psi(z) = \alpha z$$

$$\Downarrow$$

$$\phi(z) = \alpha z$$

Thm 1  $\{ \alpha \in \mathbb{C} \mid \alpha L_1 \subset L_2 \} \rightarrow \{ \text{holomorphic } \phi: \mathcal{O}_{L_1} \rightarrow \mathcal{O}_{L_2} \mid \phi(0) = 0 \}$

$\alpha \mapsto (\phi_\alpha: z \mapsto \alpha z)$

is bijective

pf. we just did onto. injective:  $\phi_\alpha = \phi_\beta \Rightarrow$

$$(\alpha - \beta)z \in L_2 \quad \forall z \in \mathbb{C}$$

$$\Rightarrow \alpha = \beta$$

Thm 2  $\{ \text{isogenies } E_1 \rightarrow E_2 \} \rightarrow \{ \text{holomorphic } \phi: \mathcal{O}_{E_1} \rightarrow \mathcal{O}_{E_2} \mid \phi(0) = 0 \}$

Group  $\text{Hom}(E_1, E_2) \subset \mathbb{C}$

Ring  $\text{End}(E_1) \subset \mathbb{C}$

Lecture 30 (2011-04-15)

Thm. (a)  $\{\alpha \in \mathbb{C} : \alpha L_1 \subset L_2\} \xrightarrow{\text{bijective \{holomorphic\}}}$   $\{\phi : \mathbb{C}/L_1 \rightarrow \mathbb{C}/L_2\}$  2011-4-15  
 $\alpha \mapsto \phi_\alpha(z) = \alpha z$  (30)

(b)  $\{\text{isogenies } E_{L_1} \rightarrow E_{L_2}\} \xrightarrow{\text{bijective}}$   $\{\text{holomorphic } \mathbb{C}/L_1 \rightarrow \mathbb{C}/L_2\}$   
 $0 \mapsto 0$

Pf. (a) last time,

(b) well-defined, injective

$$\begin{array}{ccc} E_{L_1}(\mathbb{C}) & \rightarrow & E_{L_2}(\mathbb{C}) \\ \parallel & & \parallel \\ \mathbb{C}/L_1 & \rightarrow & \mathbb{C}/L_2 \end{array}$$

Let  $\phi_\alpha : \mathbb{C}/L_1 \rightarrow \mathbb{C}/L_2$   $\alpha L_1 \subset L_2$

Get a map  $E_{L_1}(\mathbb{C}) \rightarrow E_{L_2}(\mathbb{C})$

$$(\wp(z, L_1), \wp'(z, L_1)) \mapsto (\wp(\alpha z, L_2), \wp'(\alpha z, L_2))$$

Need to show  $\wp(\alpha z, L_2), \wp'(\alpha z, L_2) \in \mathbb{C}(\wp(z, L_1), \wp'(z, L_1))$

Let  $w \in L_1$ , then  $\xrightarrow{\text{last time}} \mathbb{C}(L_1)$

$$\wp(\alpha(z+w), L_2) = \wp(\alpha z + \alpha w, L_2) = \wp(\alpha z, L_2) \checkmark$$

$\mathbb{C}/L_2$

Thm.  $V, W \subset \mathbb{P}^n(\mathbb{C})$  be smooth projective varieties.

If  $\phi : V(\mathbb{C}) \rightarrow W(\mathbb{C})$  is holomorphic, then it's algebraic.

Corollary.  $E_{L_1} \cong E_{L_2} \iff \exists c \in \mathbb{C}^\times$  with  $cL_1 = L_2$

Def.  $\text{End}(E) = \{\text{isogenies } E \rightarrow E\}$

$$(\phi + \gamma)(P) = \phi(P) + \gamma(P)$$

$$(\phi \gamma)(P) = \phi(\gamma(P))$$

$$\mathbb{Z} \hookrightarrow \text{End}(E)$$

$$n \mapsto (P \mapsto nP)$$

Corollary.  $\text{End}(E_L) \cong \{\alpha \in \mathbb{C} \mid \alpha L \subset L\}$

Corollary.  $E_L[n] = \ker(\mathbb{C}/L \xrightarrow{z \mapsto nz} \mathbb{C}/L) = \frac{1}{n}L/L$

If  $L = \mathbb{Z}w_1 + \mathbb{Z}w_2$ , this is  $\cong \frac{1}{n}\mathbb{Z}/\mathbb{Z} \cdot w_1 + \frac{1}{n}\mathbb{Z}/\mathbb{Z} \cdot w_2$

Uniformization Thm. Let  $E/\mathbb{C}$  be an elliptic curve

then  $\exists! L$  such that

$$\mathbb{C}/L \xrightarrow{(\theta, \theta') } E(\mathbb{C})$$

$$g_2(L) = -a$$

$$g_3(L) = -b$$

Thm. Let  $E/\mathbb{C}$ . Then

$$\text{End}(E) = \begin{cases} \mathbb{Z} \\ \text{order in a quadratic imaginary field} \end{cases}$$

CM

Def.  $K/\mathbb{Q}$  number field, an order is a subring  $R \subset \mathcal{O}_K$  with  $R \otimes_{\mathbb{Z}} \mathbb{Q} = K$ .



# Lecture 31 (2011-04-18)

$$z \mapsto (\wp(z), \wp'(z))$$

$$\mathbb{C}/L \mapsto E_L(\mathbb{C})$$

2011-4-18

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$$\text{End}(E_L) \cong \text{End}(L) = \{\alpha \in \mathbb{C} \mid \alpha L \subset L\}$$

Invariant differential

$$\omega_E = \frac{dx}{y} = \frac{d\wp(z)}{\wp'(z)} = dz$$

Given  $\alpha \in \text{End}(L)$ , get  $[\alpha]_{E_L} \in \text{End}(E_L)$ , make

precise by requiring  $[\alpha]_{E_L}^* \omega_{E_L} = \alpha \omega_{E_L}$  (alternative would be  $\bar{\alpha} \omega_{E_L}$ )

$$\phi: E_1 \rightarrow E_2 \quad \phi \circ [\alpha]_{E_1} = [\alpha]_{E_2} \circ \phi$$

$K$  quadratic imaginary field,  $R$  ring of integers of  $K$

$$\text{Ell}(R) = \{E/\mathbb{C} \mid \text{End}(E) = R\} / \mathbb{C}\text{-isomorphism}$$

$$\cong \{ \text{lattices } L \subset \mathbb{C} \mid \text{End}(L) = R \} / \text{homothety}$$

Is there an  $E/\mathbb{C}$  with  $\text{End}(E) = R$ ? Yes.

$$E_R \quad (R \text{ is a lattice}) \quad E_R(\mathbb{C}) = \mathbb{C}/R$$

If  $\mathfrak{a}$  is any fractional ideal,  $E_{\mathfrak{a}} \in \text{Ell}(R)$

$$\text{Get } \text{Cl}(K) \xrightarrow{\pi} \text{Ell}(R) \quad (\text{action, simply transitive})$$

$$y^2 = x^3 + x, \quad R = \mathbb{Z}[i]$$

$$[i](x, y) = (-x, iy)$$

$$y^2 = x^3 + 1, \quad R = \mathbb{Z}[\zeta_3]$$

$$[\zeta_3](x, y) = (\zeta_3 x, y)$$

$$y^2 = x^3 + 4x^2 + 2x, \quad R = \mathbb{Z}[\sqrt{-2}]$$

$$[\sqrt{-2}](x, y) = \left( -\frac{1}{2} \left( x + 4 + \frac{2}{x} \right), -\frac{1}{2\sqrt{-2}} y \left( 1 - \frac{2}{x^2} \right) \right)$$

$$\text{ker } [\sqrt{-2}] = \{0, (0, 0)\}$$

HW. let  $R \subset \mathbb{Q}(\sqrt{D})$  be an order, prove  $\exists! c \in \mathbb{N}$   
 with  $R = \mathbb{Z} + c\mathcal{O}_K$  ( $c$  is the conductor)

Pf of HW.  $E = E_L$ ,  $L = \mathbb{Z}w_1 + \mathbb{Z}w_2$

$$\text{End}(E) = \{\alpha \in \mathbb{Q} \mid \alpha L \subset L\}$$

$$\alpha w_1 = aw_1 + bw_2$$

$$\alpha w_2 = cw_1 + dw_2$$

$$\begin{pmatrix} \alpha - a & -b \\ -c & \alpha - d \end{pmatrix} \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} = \mathbf{0}$$

not zero

$$\det = \alpha^2 - (a+d)\alpha + (ad - bc) = 0$$

$$[\mathbb{Q}(\alpha) : \mathbb{Q}] = 2, \text{ because } \alpha = a + b \frac{w_2}{w_1}$$

$\uparrow$   
 $c \in \mathbb{Q} - \mathbb{R}$

so  $\mathbb{Q}(\alpha) = \mathbb{Q}\left(\frac{w_2}{w_1}\right)$ , quadratic imaginary

$$R = \text{End}(E) \subset K, \quad R \otimes \mathbb{Q} = K \quad \checkmark$$

If  $\alpha \in K$  a fractional ideal;  $L$  a lattice

$$\alpha L = \{ a_1 \lambda_1 + \dots + a_n \lambda_n \mid a_i \in \alpha, \lambda_i \in L \}$$

Thm, a)  $L \subset \mathcal{O}$  a lattice, with  $\text{End}(EL) = R$ ,  
 $\alpha, \beta$  fractional ideals

1)  $\alpha L$  is a lattice

2)  $E_{\alpha L} \in \text{Ell}(R)$

3)  $E_{\alpha L} \cong E_{\beta L} \Leftrightarrow \bar{\alpha} = \bar{\beta}$  in  $\text{Cl}(K)$

b) We get a well-defined action of  $\text{Cl}(K)$  on  $\text{Ell}(R)$

$$\bar{\alpha} * E_L = E_{\bar{\alpha}^{-1}L}$$

and this action is simply transitive:

$$\bar{\alpha} * E_L = \bar{\beta} * E_L \Leftrightarrow \bar{\alpha} = \bar{\beta}$$

$$\text{So } \# \text{Cl}(K) = \# \text{Ell}(R)$$

Pf 1) We can find  $d \in \mathcal{O}$ ,  $d \geq 1$  with  $d\alpha \subset R$  and

$$dR \subset \alpha, \text{ i.e. } dR \subset \alpha \subset \frac{1}{d}R. \text{ Since}$$

$\text{End}(L) = R$ , we have  $RL = L$ . Thus

$$\begin{array}{ccc} dRL & \subset & \alpha L & \subset & \frac{1}{d}RL \\ \parallel & & & & \parallel \\ dL & \xleftarrow{\text{span}} & & & \frac{1}{d}L & \xleftarrow{\text{discrete}} \end{array}$$

$$2) \text{End}(E_{aL}) = \text{End}(aL) = \{ \alpha \in \mathbb{Q} \mid \alpha aLc aL \}$$

mult by  $a^{-1}$

$$= \{ \alpha \in \mathbb{Q} \mid \alpha RLc RL \} = \{ \alpha \in \mathbb{Q} \mid \alpha LcL \} =$$

$$\text{End}(L) = \text{End}(E_L) = R$$

$$3) (\Leftarrow) \bar{a} = \bar{b} \Rightarrow a = cb \Rightarrow a, b \text{ homothetic} \\ = E_{aL} \cong E_{bL}$$

$$(\Rightarrow) E_{aL} \cong E_{bL} \Rightarrow aL = c bL \text{ for some}$$

$$c \in \mathbb{Q} \Rightarrow \begin{cases} ac^{-1}b^{-1}L = L \\ a^{-1}cbL = L \end{cases}$$

$$\text{End}(L) = R, \text{ so } \left. \begin{array}{l} ac^{-1}b^{-1}cR \\ a^{-1}cb cR \end{array} \right\} \Rightarrow c \in K \\ \Rightarrow a = cb$$

$$\text{hence } \bar{a} = \bar{b}$$

b) let  $E_1, E_2 \in \mathcal{E}LL(R)$

$$L_1 = \mathbb{Q} + \mathbb{Q}\gamma_1 \text{ for } E_1$$

$$L_2 = \mathbb{Q} + \mathbb{Q}\gamma_2 \text{ for } E_2$$

From before,  $\gamma_1, \gamma_2 \in K$  ( $\mathbb{Q}(\gamma_1) = \mathbb{Q}(\gamma_2) = K$ )

$L_1 = L_2$  are fractional ideals of  $K$   
 $\alpha_1 \alpha_2^{-1} \in E_1 = E_{L_1} = E_{\alpha_1}$

$$\overline{\alpha_1 \alpha_2^{-1}} \in E_1 = \overline{\alpha_1 \alpha_2^{-1}} \in E_{\alpha_1} = E_{\alpha_2} = E_2$$

hence transitive

Simply transitive by 3.

Example,  $L = \mathbb{Z}[i]$ ,  $\text{End}(E_L) = \mathbb{Z}[i]$

$$E_L: y^2 = 4x^3 - g_2(L)x - g_3(L)$$

$$g_3(L) = g_3(iL) = i^{-6} g_3(L) = -g_3(L) \Rightarrow$$

$$g_3(L) = 0$$

$$\text{so } [i](x, y) = (-x, iy)$$

(check this is a right one - i.e.,  $[i]^* \frac{dx}{y} = i \frac{dx}{y}$ )

Thm Hurwitz.  $g_2(\mathbb{Z}[i]) = 64 \left( \int_0^1 \frac{dt}{\sqrt{1-t^4}} \right)^4$

period  $\nearrow$

$$E/\mathbb{C}, K/\mathbb{Q}, \text{End}(E) = \mathbb{R}$$

Goal #1  $K(j(E)) =$  Hilbert class field of  $K$

Let  $\sigma_p = (\rho, K(j(E))/K)$ , write  $E = E_L$

$$\sigma_p(j(E_L)) = j(\bar{\rho} * E_L) = j(E_{\rho^{-1}L})$$

In general,  $\sigma_p(\text{analytic function of } \gamma) =$   
analytic function of  $\rho * \gamma$

Note that  $\sigma \in \text{Aut}(\mathbb{C})$ , in general

$$\sigma\left(\sum_{n=0}^{\infty} a_n\right), a_n \in \overline{\mathbb{Q}}$$
$$\neq \sum_{n=0}^{\infty} \sigma(a_n)$$

Lecture 32 (2011-04-20)

Prop. a)  $E/\mathbb{Q}$ ,  $\sigma \in \text{Aut}(\mathbb{C})$   
 Then  $\text{End}(E^\sigma) \cong \text{End}(E)$

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b) If  $E$  has CM, then  $j(E) \in \overline{\mathbb{Q}}$ .

c)  $\text{Ell}(\mathbb{R}) = \underbrace{\{E/\mathbb{Q} : \text{End}(E) = \mathbb{R}\}}_{\mathbb{Q}\text{-isomorphism}}$

Pf. a)  $\text{End}(E) \xrightarrow{\sim} \text{End}(E^\sigma)$   $E^\sigma = \sigma(E)$   
 $\phi \mapsto \phi^\sigma$   $\phi^\sigma = \sigma(\phi)$   
 $\psi^\sigma \longleftarrow \psi$

↳. Let  $E \in \text{Ell}(\mathbb{R})$  (really an equivalence class)

Then  $\forall \sigma \in \text{Aut}(\mathbb{C}), E^\sigma \in \text{Ell}(\mathbb{R})$

$\underbrace{\{E^\sigma : \sigma \in \text{Aut}(\mathbb{C})\}}_{\text{bijection}} \subseteq \underbrace{\text{Ell}(\mathbb{R})}_{\text{finite}} \quad \# \text{Ell}(\mathbb{R}) = \# \text{Cl}(\mathbb{R})$

$\{j(E^\sigma) : \sigma \in \text{Aut}(\mathbb{C})\}$

$\{j(E)^\sigma : \sigma \in \text{Aut}(\mathbb{C})\}$   $E^\sigma : y^2 = x^3 + A^\sigma x + B^\sigma$   
finite

hence  $j(E)$  algebraic and  $[\mathbb{Q}(j(E)) : \mathbb{Q}] \leq h_K$

c) follows from a) + b)



$$R \rightarrow \text{End}(E)$$

$$\alpha \mapsto [\alpha]_E$$

Thm. a)  $E \in \text{Ell}(R)$   $\alpha \in R, \sigma \in \text{Aut}(L)$

$$([\alpha]_E)^\sigma = [\alpha^\sigma]_{E^\sigma}$$

b)  $E \in \text{Ell}(R)$ , say  $E$  defined over some field  $L$   
 $K = R \otimes_{\mathbb{Z}} \mathbb{Q}$

Then  $\text{End}(E) = \text{End}_{LK}(E)$

Pf. a)  $w_E = \frac{dx}{y}$   $[\alpha]_E^* w_E = \alpha w_E$

$$w_{E^\sigma} = \frac{dx}{y}$$

$$\text{so } (w_E)^\sigma = w_{E^\sigma}$$

$$E: y^2 = x^3 + Ax + B$$

$$E^\sigma: y^2 = x^3 + A^\sigma x + B^\sigma$$

$$([\alpha]_E)^\sigma w_{E^\sigma} = \alpha^\sigma w_{E^\sigma}$$

$$\text{but } ([\alpha]_E)^\sigma w_{E^\sigma} = \alpha^\sigma w_{E^\sigma} = ([\alpha^\sigma]_{E^\sigma})^* w_{E^\sigma}$$

$\text{End}(E) \leftrightarrow \text{End}(\text{differential forms})$

$$\phi \mapsto \phi^*$$

because  $\phi = [\alpha]_E$  for some  $\alpha$  and  $[\alpha]_E^* w_E = \alpha w_E$

so kernel =  $\{\alpha = 0\}$

this because they have the same action,

$$([\alpha]_E)^\sigma = [\alpha^\sigma]_{E^\sigma}$$

b)  $[\alpha] \in \text{End}(\bar{E})$ , where  $E/L$

If  $\sigma$  fixes  $L/K$ , then  $E^\sigma = \bar{E}$  and  $\alpha^\sigma = \alpha$

$$\text{so } ([\alpha]_E)^\sigma = [\alpha^\sigma]_{E^\sigma} = [\alpha]_E$$

so  $[\alpha]_E$  fixed by  $\text{Gal}(\bar{Q}/LK)$

Thm

Corollary.  $E \in \text{ell}(K)$ , then  $K(j(E), E_{\text{tors}})/K(j(E))$   
is an abelian extension

Pr. let  $M = K(j(E))$ ,  $L = M(E[m])$

Enough to show  $L/M$  is abelian. We have

$$\begin{aligned} \rho: G_{L/M} &\hookrightarrow \text{Aut}(E[m]) = \text{GL}_2(\mathbb{Z}/m\mathbb{Z}) \\ \sigma &\longmapsto (\rho \sigma \rho^{-1}) \end{aligned}$$

Notice that if  $\phi \in R \cong \text{End}(E)$ ,  $\phi^\sigma = \phi \quad \forall \sigma \in G_{L/M}$

$$\rho(G_{L/M}) \subset \text{Aut}_{R/mR}(E[m]) \cong (R/mR)^{\times 2}$$

anything acts trivially

$$E[m] = \frac{1}{m}L/L \text{ and } \rightarrow$$

$R/L = L$  because  $E[m] \cong$  free  $R/mR$  module of rank 2

$$\rho: G_{L/M} \hookrightarrow (R/MR)^{\times}$$

Thm.  $\exists C_E$  with  $[(R/MR)^{\times} : \rho(G_{L/M})] \leq C_E$

Thm (serre) - If  $\exists$  doesn't have  $\mathcal{O}_M$ ,  $\exists C_E$  with

$$[GL_2(\mathbb{Z}/M\mathbb{Z}) : \rho(G_{L/M})] \leq C_E$$

•  $G_{\bar{K}/K}$  acts on  $\mathcal{E}ll(R)$

•  $Cl(R)$  acts simply transitively on  $\mathcal{E}ll(R)$

so fix some  $E \in \mathcal{E}ll(R)$ , get a map

$$\begin{aligned} F_E: G_{\bar{K}/K} &\rightarrow Cl(R) & \bar{\alpha} + E_L &\stackrel{\text{def}}{=} E \alpha^{-1} L \\ \sigma &\mapsto F(\sigma) & \left( \begin{array}{l} \text{unique} \\ \text{such that} \\ F(\sigma) * E = E^\sigma \end{array} \right) \end{aligned}$$

Thm.  $F$  is a homomorphism,  $F$  is independent of  $E$

## Lecture 33 (2011-04-22)

$$K/\mathbb{Q}, R = \mathcal{O}_K, E \in \text{Ell}(R)$$

2011-4-22

(33)

$F: \text{Gal}(\bar{K}/K) \rightarrow \text{Cl}(R)$  defined by

$$\sigma \longmapsto F(\sigma) \text{ such that } F(\sigma) \cdot E = E^\sigma$$

Thm. a)  $F$  is a homomorphism

b)  $F$  is independent of the choice of  $E$

pf. a) easy from the def

b) see book (key: show  $(\bar{a} * E)^\sigma = \bar{a} + E^\sigma$ )

Thm.  $E \in \text{Ell}(R)$

a)  $K(j(E)) = K$  Hilbert class field of  $K$

b)  $[K(j(E)):K] = [\mathbb{Q}(j(E)):\mathbb{Q}] = h$

c)  $\{j(E)^\sigma \mid \sigma \in \text{Gal}(\bar{K}/K)\} = \{j(E') \mid E' \in \text{Ell}(R)\}$

d)  $j(E)^{(\mathbb{Q}, H/K)} = j(\bar{a} * E)$

Lemma. There is a finite set of primes  $S \subset \mathbb{Z}$  such that for all  $p \notin S$  that split as  $p = \mathfrak{p}_1 \mathfrak{p}_2$  in  $K$ ,

$$F(\sigma_{\mathfrak{p}_1}) = \bar{p} \in \text{Cl}(R)$$

↑  
Frobenius,  $(\mathfrak{p}, K^{ab}/K)$

Pf (Lemma  $\Rightarrow$  Thm)  $F: G_{\bar{K}/K} \rightarrow \text{Gal}(R)$

Let  $L =$  fixed field of  $\ker(F)$ , so

$$F: G_{L/K} \hookrightarrow \text{Gal}(R)$$

$$G_{\bar{K}/L} = \ker(F) = \{ \sigma \in G_{\bar{K}/K} \mid F(\sigma) = 1 \}$$

$$= \{ \sigma \in G_{\bar{K}/K} \mid F(\sigma) \cdot E \cong E \}$$

$$= \{ \sigma \in G_{\bar{K}/K} \mid E^\sigma = E \} = \{ \sigma \in G_{\bar{K}/K} \mid j(E^\sigma) = j(E) \}$$

$$= \{ \sigma \in G_{\bar{K}/K} \mid j(E)^\sigma = j(E) \} = G_{\bar{K}/K(j(E))}$$

$$\text{so } L = K(j(E)).$$

Conductor of  $L/K$

Claim  $I(\mathcal{O}_{L/K}) \xrightarrow{(\cdot, L/K)} G_{L/K} \xrightarrow{F} \text{Gal}(R)$

$$F((\alpha, L/K)) = \bar{\alpha}$$

Pf of Claim, use the fact that  $I(\mathcal{O}_{L/K}) / \mathfrak{p}(\mathcal{O}_{L/K})$

is finite and Dirichlet's thm (generally, Teichmüller thm)

guarantees each ideal class contains infinitely many

degree 1 prime ideals. So we can find  $\mathfrak{p}$  a prime ideal  
(i.e. norm down to  $\mathbb{Q} = \text{prime}$ )

with  $\bar{a} = \bar{p}$  in  $\mathbb{I}(C_{L/K}) / \mathcal{P}(C_{L/K})$ .

as  $N_{K/\mathbb{Q}} \beta = p \notin S$  (by lemma, infinitely many primes - finitely many = infinitely many)

$$a = \beta p \text{ for some } \beta \equiv 1 \pmod{C_{L/K}}$$

(lemma says  $F((p, L/K)) = \bar{p} \in C_{L/K}$ )

$(a, L/K) = (\beta p, L/K) = (p, L/K)$  because

$$F((a, L/K)) = \bar{a} = \bar{p} \quad \beta \equiv 1 \pmod{C_{L/K}} \quad \checkmark$$

In particular,  $F((\alpha_R, L/K)) = \bar{\alpha}_R = 1$  in  $C_{L/K}$

$F$  is injective on  $G_{L/K}$ , so  $(\alpha_R, L/K) = 1$

for all <sup>(almost)</sup> principal ideals  $\alpha_R$  with  $(\alpha_R, L/K) = 1$

this forces  $C_{L/K} = (1)$  ("too many" things going to 1)

so  $L/K$  unramified, so  $L = K$

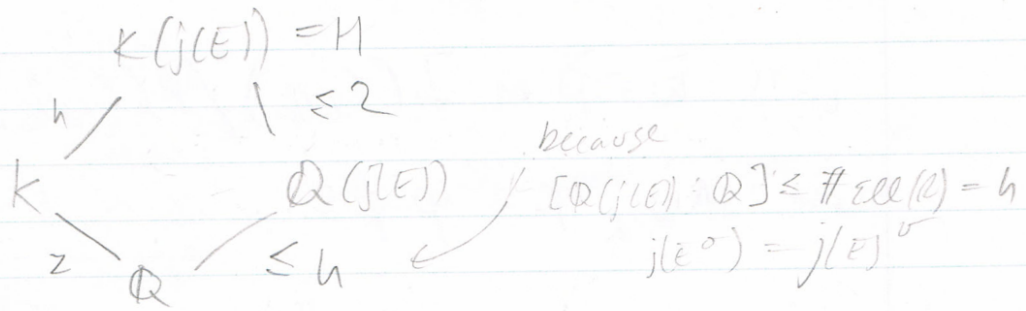
5.4  $\mathbb{I}_K \rightarrow C_{L/K}$

$$F((\bar{a}, L/K)) = \bar{a}$$

$$F: G_{L/K} \rightarrow C_{L/K}$$

so  $[L:K] \geq n$   
so  $L = K$

this is a), and half of b)



So we must actually have  $[\mathbb{Q}(j(E) : \mathbb{Q}] = h$

c) follows because we know  $\text{Cl}(R)$  acts transitively on  $\text{Ell}(R)$  and  $F = G_{L/K} \rightarrow \text{Cl}(R)$

$\Rightarrow G_{L/K}$  acts transitively on  $\text{Ell}(R)$

d) class says  $F(\mathbb{Q}, L/K) = \mathbb{Q}$

$\Rightarrow E(\mathbb{Q}, L/K) = F(\mathbb{Q}, L/K) * \bar{E} = \mathbb{Q} * E$

from glash, now take  $j$ 's

$$K = \mathbb{Q}(\sqrt{-D}), \quad R = \mathbb{Z}[\gamma], \quad \gamma = \frac{D + \sqrt{-D}}{2}$$

$$\begin{matrix} g_2(\mathbb{Z} + \gamma\mathbb{Z}) \\ g_3(\mathbb{Z} + \gamma\mathbb{Z}) \end{matrix} \quad j = 1728 \frac{g_2^3}{g_2^3 - 27g_3^2}$$

$$G_h(\mathbb{Z} + \gamma\mathbb{Z}) = \sum_{m, n \in \mathbb{Z}} q^{m + \gamma n} \quad \text{where } q = e^{-2\pi n \tau}$$

$$j(\tau) = \frac{1}{q} + \sum_{n=1}^{\infty} c_n q^n \quad c_n \in \mathbb{Z}$$

# Lecture 34 (2011-04-25)

$$\begin{aligned}
 \mathcal{I}(\mathfrak{b}) &= \text{fractional ideals prime to } \mathfrak{b} \\
 \mathcal{P}(\mathfrak{b}) &= \{(\alpha) \mid \gcd((\alpha), \mathfrak{b}) = 1\} \\
 \mathcal{P}_1(\mathfrak{b}) &= \{(\alpha) \mid \alpha \equiv 1 \pmod{\mathfrak{b}}\}
 \end{aligned}$$

2011-4-25

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lemma.  $\mathcal{I}(\mathfrak{b})/\mathcal{P}(\mathfrak{b}) \xrightarrow{\sim} \mathcal{I}^{(1)}/\mathcal{P}^{(1)} = \mathcal{O}_K^\times / \mathcal{P}^{(1)}$

Pf.  $\mathcal{I}(\mathfrak{b}) \rightarrow \mathcal{I}^{(1)}/\mathcal{P}^{(1)}$

$\ker \alpha = \mathcal{I}(\mathfrak{b}) \cap \mathcal{P}^{(1)} = \mathcal{P}(\mathfrak{b})$ , so injective

Let  $\alpha \in \mathcal{I}^{(1)}$ , look at  $\bar{\alpha} \in \mathcal{O}_K^\times / \mathcal{P}^{(1)}$ . By

Dirichlet,  $\exists$  prime  $\mathfrak{p} \in \bar{\alpha}$  with  $\mathfrak{p} \nmid \mathfrak{b}$  (only finitely many primes dividing  $\mathfrak{b}$ )

Then  $\mathfrak{p} \in \mathcal{I}(\mathfrak{b}) \mapsto \bar{\mathfrak{p}} = \bar{\alpha}$ .

Prop.  $L/K$  abelian, suppose  $\mathcal{P}(C_{L/K}) \subseteq \ker(\cdot, L/K)$

Then  $L \subset H = \text{Hilbert class field}$ .

Pf. Replace  $L$  by  $LH$ , note  $C_{LH/K} = C_{L/K}$

Know  $H \subset L$ , so now want to show  $H = L$ .

$$\begin{array}{ccc}
 \frac{\mathcal{I}(C_{L/K})}{\mathcal{P}(C_{L/K})} & \xrightarrow{(\cdot, L/K)} & G_{L/K} \\
 \text{lemma } \downarrow & & \downarrow \text{restriction} \\
 \mathcal{O}_K^\times / \mathcal{P}^{(1)} & \xrightarrow{(\cdot, H/K)} & G_{H/K}
 \end{array}$$



Thus

$$\#G_{H/K} = \# \text{cl}(R) = \# \frac{I(C_{L/K})}{p(C_{L/K})} \geq \#G_{L/K} \geq \#G_{H/K}$$

Thus  $G_{H/K} = G_{L/K}$ , so  $L=H$ .

## Topics to prove Lemma

Frobenius map and inseparability

$$E: \mathbb{A}^2 = x^3 + Ax + B \quad E^{(p)}: y^2 = x^3 + A^p x + B^p$$

The Frobenius map  $\phi_p: E \rightarrow E^{(p)}$  is  $(x, y) \mapsto (x^p, y^p)$

$\phi_p$  is purely inseparable:

Def. Let  $\phi: C_1 \rightarrow C_2$  be a non-constant morphism between nonsingular projective curves

$$\begin{aligned} \phi^*: k(C_2) &\rightarrow k(C_1) \\ f &\mapsto f \circ \phi \end{aligned}$$

$$\deg(\phi) = [k(C_1) : \phi^* k(C_2)]$$

$$\deg(\phi_p) = p$$

$\deg_i(\phi) = \text{inseparability degree of } k(C_1)/\phi^* k(C_2)$

Prop. If  $\phi: C_1 \rightarrow C_2$  is inseparable, then

$$\phi \text{ factors as } C_1 \xrightarrow{\phi_1} C_1^{(p)} \xrightarrow{\gamma} C_2$$

$\underbrace{\hspace{10em}}_{\phi}$

Pf. Given  $L/K$ ,  $\text{char} = p$ , can write

$$\begin{array}{c} L \\ \downarrow \\ L \\ \downarrow \text{purely inseparable} \\ L \\ \downarrow \\ K \end{array}$$

separable

How can we tell whether  $\phi$  is inseparable?

$$f(x) \text{ irreducible } \in K[x], \quad K(a)/K \text{ inseparable} \Leftrightarrow f'(a) = 0$$

Let  $\omega =$  differential 1-form on  $C_2$   $f'(x) = 0$

$$\omega = f dg \quad \text{where } f, g \in K(C)$$

$$\phi: C_1 \rightarrow C_2, \quad \omega \text{ on } C_2$$

$$\phi^* \omega = (\phi^* f) d(\phi^* g)$$

Prop  $\phi: C_1 \rightarrow C_2$  is inseparable iff

$\phi^* \omega = 0$ , where  $\omega \neq 0$  is a 1-form on  $C_2$

Pf.  $\phi$  inseparable  $\Leftrightarrow \phi = \gamma \circ \phi_p$  *exercise*

*exercise*

Corollary. If  $p \nmid n$  then  $n + m\phi_p$  is separable

Pf.  $(n + m\phi_p)^* \omega = n^* \omega + m\phi_p^* \omega$

$\neq 0$

$\nearrow$  used to prove  $\#E(\mathbb{F}_p) = p + 1 - a$ ,  $|a| < 2\sqrt{p}$

$(p, \mathbb{C}/K) E = (\bar{p} + \epsilon)$  Frobenius

$E \rightarrow \bar{p} \neq E$  inseparable when reduced mod  $p$

Reduction of isogenies mod  $\mathcal{P}$

good reduction:  $E/\mathbb{C}$ ,  $\mathbb{C}$  number field

$\tilde{E}$  mod  $\mathcal{P}$ :  $y^2 = x^3 + \tilde{A}x + \tilde{B}$

If  $\phi: E \rightarrow E$ , can reduce  $\tilde{\phi}: \tilde{E} \rightarrow \tilde{E}$

Thm  $\deg(\tilde{\phi}) = \deg(\phi)$

Pf idea, look at action on torsion points

because  $E[l] \rightarrow \tilde{E}[l]$  is an isomorphism if  $\phi \nmid l$ .

$$E[l] \xrightarrow{\phi} E[l]$$

$$\downarrow \phi$$

$$\downarrow \phi$$

$$\tilde{E}[l] \xrightarrow{\tilde{\phi}} \tilde{E}[l]$$

Lecture 35 (2011-05-04)

Main Thm.  $j(E)^{\mathbb{Q}, K^{\text{ab}}/K} = j(\bar{\alpha}^* E)$  2011-5-4  
 (35)

Lemma.  $\exists$  finite set of primes  $S$  such that  $\forall p \notin S$ ,  
 $p$  splits as  $pR = \mathfrak{P}\mathfrak{P}'$  in  $R$

Then  $j(E)^{\mathfrak{P}, K^{\text{ab}}/K} = j(\bar{\mathfrak{P}}^* E)$   
 $\uparrow$   
 $p$ -power Frobenius

Pf.  $\text{ell}(R) = \{E_1, \dots, E_n\}$  with  $E_i/\mathbb{Q}$   
 Take some finite Galois  $L/K$

Let  $\mathfrak{P}$  be an ideal with  $\bar{\mathfrak{P}} = \bar{\mathfrak{P}}'$  in  $\text{cl}(R)$ ,  $\mathfrak{P} \nmid b$   
 $p = \mathfrak{P}\mathfrak{P}'$

$$\begin{array}{ccccccc} \mathbb{C}/\Lambda & \xrightarrow{z \mapsto z} & \mathbb{C}/\mathfrak{P}^{-1}\Lambda & \xrightarrow{z \mapsto z} & \mathbb{C}/\bar{\mathfrak{P}}^{-1}\mathfrak{P}^{-1}\Lambda & = & \mathbb{C}/\mathfrak{P}\Lambda \xrightarrow{z \mapsto \beta^{-1}z} \mathbb{C}/\Lambda \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \mathbb{C} & \xrightarrow{\phi} & \bar{\mathfrak{P}}^* \mathbb{C} & \xrightarrow{\gamma} & \bar{\mathfrak{P}}\mathfrak{P}^* \mathbb{C} & \xrightarrow{\lambda} & \mathbb{C} \end{array}$$

$\lambda \circ \gamma \circ \phi$  is inseparable

$$(\lambda \circ \gamma \circ \phi)^* \omega_E = (\lambda \circ \gamma \circ \phi)^* dz = d((\lambda \circ \gamma \circ \phi)(z)) = d(\beta z) = \beta dz = \beta \omega_E$$

Reduce mod  $\mathcal{P}$ .

$$(\tilde{\lambda} \circ \tilde{\gamma} \circ \tilde{\phi})^* \tilde{\omega}_E = \tilde{\beta} \tilde{\omega}_E \pmod{\mathcal{P}}$$

$$= \tilde{0} \pmod{\mathcal{P}}$$

So  $\tilde{\lambda} \circ \tilde{\gamma} \circ \tilde{\phi}$  is inseparable

$$p \mid \beta, \text{ so } \mathcal{P} \mid p \mid \beta$$

$$\deg(\tilde{\lambda}) = \deg(\lambda) = 1 \text{ because isomorphism}$$

$$\deg(\tilde{\gamma}) = \deg(\gamma) = N_{K/\mathbb{Q}}(b) \leftarrow \text{prime to } p$$

$$\deg(\tilde{\phi}) = \deg(\phi) = N_{K/\mathbb{Q}}(p) = p$$

$\tilde{\phi}$  is inseparable and has deg  $p$

$$\begin{array}{ccc} \tilde{E} & \xrightarrow{\tilde{\phi}} & \tilde{p} * E \pmod{\mathcal{P}} \\ \text{Frob}_p \searrow & & \nearrow \text{deg}(L) \text{ (hence isomorphism)} \\ & \tilde{E}^{(p)} & \end{array}$$

$$j(\tilde{p} * E) = j(\tilde{E}^{(p)}) = j(\tilde{E})^p \pmod{\mathcal{P}}$$

$$j(E)^p \equiv j(E) \xrightarrow{(p, L/K)} \uparrow \text{ for convenience } \pmod{\mathcal{P}} \text{ by def of Artin map}$$

$$j(E)^p \equiv j(\bar{E}) \pmod{p} \quad (p, \chi(K) = 1) = j(E^{\sigma_p}) \pmod{p}$$

$$j(\bar{p}^* E) \equiv j(E^{\sigma_p}) \pmod{p}$$

this is one of  $j(E_1), \dots, j(E_n)$

$$j(\bar{p}^* E) = j(E^{\sigma_p}) \quad \text{since } p \text{ was assumed to be a prime of good reduction}$$

Lecture 36 (2011-05-06)

$E \in \text{Ell}(K)$     $R = \mathcal{O}_K$    Last time   2011-5-6  
↙   (36)

Thm. a)  $H = K(j(E))$

b)  $j(E)^{(\alpha, H/K)} = j(\bar{\alpha} * E)$

Goal: Generate  $K^{ab}$

Analogy:  $\mathbb{Q}^{ab} = \bigcup_{n=1}^{\infty} \mathbb{Q}(\zeta_n)$     $\left\{ (\alpha, \mathbb{Q}^{ab}/\mathbb{Q}) \right\}_n = \left\{ |\alpha| \right\}_n$  for  $(\alpha, n) = 1$

Complication: adding in torsion points is not guaranteed to create abelian extensions

$P \in E_{\text{tors}}$     $H(P)$  } not abelian  
 $\downarrow$  }  
 $K$  }  
 fix this by only adding  $x$  coordinate  
 cause: automorphisms of  $\bar{E}$

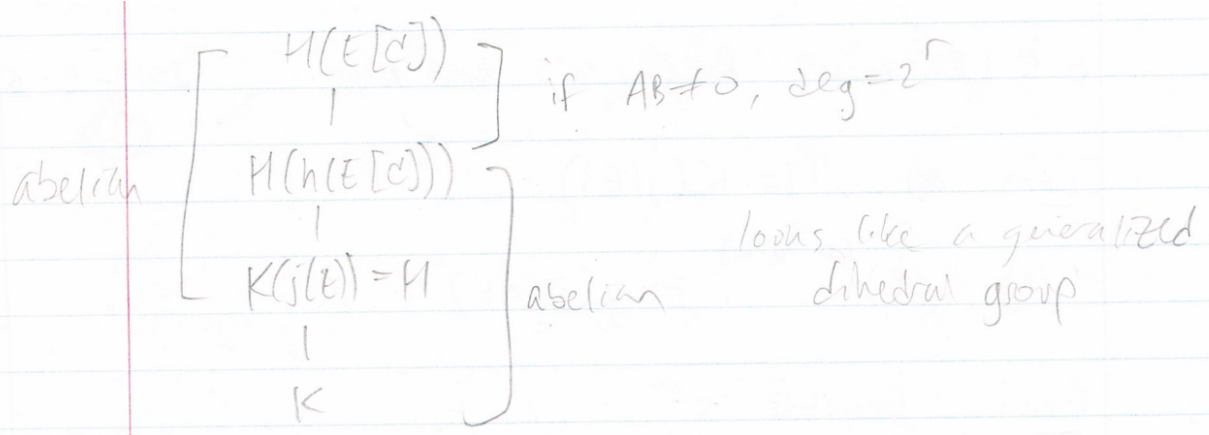
Fix  $E: y^2 = x^3 + Ax + B$     $A, B \in H = K(j(E))$

Def.  $P \in E(\bar{K})$  ,  $h(P) = \begin{cases} x(P) & \text{if } AB \neq 0 \\ x(P)^3 & \text{if } A = 0 \\ x(P)^2 & \text{if } B = 0 \end{cases}$   
 (not height)

Thm, let  $\mathfrak{d} \subset R$  be an ideal. Then  $K(j(\bar{E}), h(E[\mathfrak{d}])))$

is the ray class field of  $K$  of conductor  $\mathfrak{d}$  (or maybe dividing  $\mathfrak{d}$ )

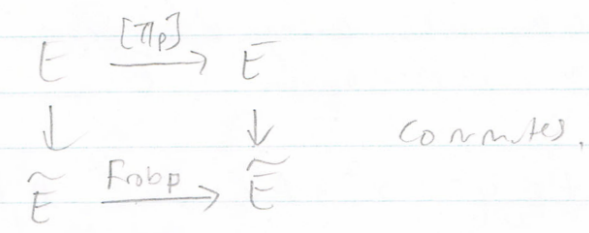




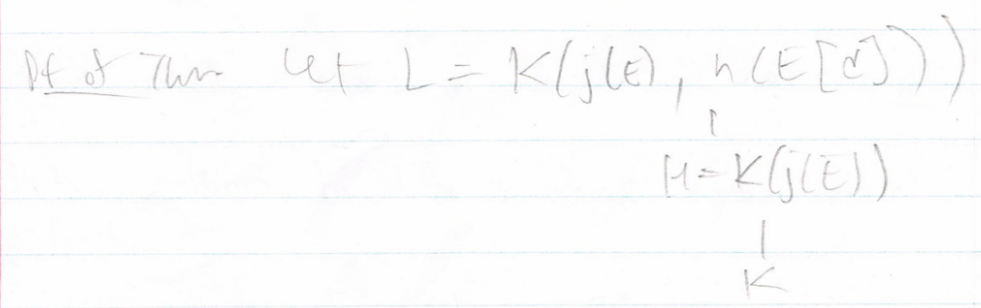
Lemma. For all but finitely many degree 1 primes  $\mathfrak{p}$  in  $K$  satisfying  $(\mathfrak{p}, H/K) = 1$ , there

ie principal, since  $\text{Cl}(K) \xrightarrow{(\cdot, H/K)} \text{Gal}(H/K)$

is a unique  $\pi_{\mathfrak{p}} \in K$  such that  $\mathfrak{p} = \pi_{\mathfrak{p}} \mathfrak{p}$



pf. See book



To show: For all but finitely many degree 1 primes  $p$ ,

$$(p, L/k) = 1 \iff p = \mu R, \quad \mu \equiv 1 \pmod{d}$$

$p \in P_1(\mathbb{C})$

First:  $p \in P_1(\mathbb{C}) \Rightarrow (p, L/k) = 1$

We're given  $p = \mu R, \mu \equiv 1 \pmod{d}$

Lemma gives  $\pi \in R, p = \pi R$  such that

$$\begin{array}{ccc} E & \xrightarrow{[\pi]} & E \\ \downarrow & & \downarrow \\ \tilde{E} & \xrightarrow{Frob_p} & \tilde{E} \end{array}$$

So  $\pi = \sum \mu$  for  $\mu \in R^\times$ . To show:  $(p, L/k)$  acts trivially on  $H^1(E[d])$

Let  $T \in E[d]$

$$\text{Frob}_p \left( \frac{T}{(p, L/k)} \right) = \tilde{T} \xrightarrow{Frob_p} \frac{[\pi](T)}{\in E_{tors}}$$

$e \in H^1$

$\sigma_{\text{Frob}_p}$

Now for other direction:

$$1 = (\rho, L/K) \Rightarrow 1 = (\rho, L/K)_{\mathfrak{m}} = (\rho, \pi/K)$$

so  $\rho$  is principal. The lemma says

$$\rho = \pi R, \quad \begin{array}{ccc} E & \xrightarrow{\pi} & E \\ \downarrow & & \downarrow \\ \tilde{E} & \xrightarrow{\Gamma_{\text{obp}}} & \tilde{E} \end{array}$$

Choose some  $\sigma \in \text{Gal}(\overline{K}/K)$  with

$$\sigma|_{K^{\text{ab}}} = (\rho, K^{\text{ab}}/K)$$

$$\sigma|_L = (\rho, L/K) = 1$$

$$\sigma|_H = 1$$

Let  $T \in E[\mathcal{O}]$ , then  $h(\overline{[\pi]T}) =$

$$h(\overline{[\pi]T}) = h(\overline{T}^{\text{Robp}}) = h(\overline{T}^{(\rho, L/K)})$$

by assumption  $(\rho, L/K) = 1$ , so this is  $h(\overline{T})$

so  $\overline{[\pi]T} = \overline{[s_T]T}$  for some  $\{s_T\} \in R^{\times}$

$$\widetilde{[\pi - \xi_T] T} = \tilde{0} \Rightarrow [\pi - \xi_T] T = 0$$

$E[d]$  is a cyclic  $R/d$ -module, so because

so  $[\pi - \xi_T]$  kills  $E[d]$ , we have

$\pi - \xi \in d$ , so  $\pi \equiv \xi \pmod{d}$ , so

$$p = \pi R = \xi R$$

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# Lecture 37 (2011-05-09)

$$x \in \mathbb{A}_K^*, \quad \alpha \in K^*$$

2011-5-9

(37)

want to define  $x\alpha \in K^*$  (can't actually do this)

Lemma.  $\mathfrak{a}$  a fractional ideal, can look at quotient group  $K/\mathfrak{a}$

$$K/\mathfrak{a} \cong \bigoplus_{\mathfrak{p}} K_{\mathfrak{p}}/\mathfrak{a}_{\mathfrak{p}}$$

(simplest case:  $\mathbb{Q}/\mathbb{Z} \cong \bigoplus_{\mathfrak{p}} \mathbb{Q}_{\mathfrak{p}}/\mathbb{Z}_{\mathfrak{p}}$ )

Recall that we defined  $(x) = \prod_{\mathfrak{p}} \mathfrak{p}^{\text{ord}_{\mathfrak{p}}(x)}$ ,

ideal corresponding to the adèle  $x$

$$\text{Define } K/\mathfrak{a} \xrightarrow{\cdot x} K/(x)\mathfrak{a}$$

$$\bigoplus K_{\mathfrak{p}}/\mathfrak{a}_{\mathfrak{p}} \longrightarrow \bigoplus K_{\mathfrak{p}}/((x)\mathfrak{a})_{\mathfrak{p}}$$

$$(\alpha_{\mathfrak{p}} + \mathfrak{a}_{\mathfrak{p}}) \mapsto (x_{\mathfrak{p}}\alpha_{\mathfrak{p}} + x\mathfrak{a}_{\mathfrak{p}})$$

Recall  $[, K]: \mathbb{A}_K^* \rightarrow \text{Gal}(K^{ab}/K)$

surjective; continuous;  $K^*$  is in the kernel

## Main Theorem of Complex Multiplication (MTCM)

$K/\mathbb{Q}$  imaginary quadratic field,  $R = \mathcal{O}_K$

$E \in \text{Ell}(R)$ , for an arbitrary  $\sigma \in \text{Aut}(\mathbb{C}/K)$

choose  $s \in A_K^*$  such that  $\sigma|_{K^{\text{ab}}} = [s, K]$ .

Fix  $f: \mathbb{C}/\mathfrak{a} \xrightarrow{\sim} E(\mathbb{C})$  with  $\mathfrak{a} \subset K$ .

Then  $\exists f': \mathbb{C}/(s^{-1}\mathfrak{a}) \xrightarrow{\sim} E^\sigma(\mathbb{C})$  such

that this commutes

$$\begin{array}{ccc} K/\mathfrak{a} & \xrightarrow{\cdot s^{-1}} & K/s^{-1}\mathfrak{a} \\ f \downarrow & & \downarrow f' \\ E(\mathbb{C}) & \xrightarrow{\sigma} & E^\sigma(\mathbb{C}) \end{array}$$

Note: 1)  $f(K/\mathfrak{a}) = E(\mathbb{C})_{\text{tors}}$

2)  $\sigma$  is not a  $\mathbb{C}$ -continuous map

$$3) \underbrace{f(t)^\sigma}_{\text{Galois action}} = \underbrace{f'(s^{-1} \cdot t)}_{\text{analytic action}}$$

want to study how much  $\sigma$  moves  $\sigma$ -conjugate points

$E/L$ , not necessarily with CM, consider  $E[M]$

$$\rho_M: G_{E/L} \rightarrow \text{Aut}(E[M])$$

If choose a basis

$$GL_2(\mathbb{Z}/M\mathbb{Z})$$

Thm (Serre) There is a constant  $C_{E/L}$  such

$$\text{that } \frac{\text{Aut}(E[M])}{\rho(G_{E/L})} \leq C_{E/L}$$

just cosets, since not necessarily normal

actually only true if  $E$  does not have CM

(Serre curve: one with  $C_{E/L} = 1$ )

Conjecture: fix  $L$ . Then  $\sup_{E/L} (C_{E/L}) < \infty$ .

If  $E$  has CM, then (assuming  $K \subset L$ )

$G_{E/L}$  commutes with  $\text{End}(E)$

so  $\rho_m(\text{Gal}(L)) \subset \text{Aut}_R(E[m]) \cong (R/mR)^{\times}$   
 ( $E[m]$  is a free rank 1  $R/mR$ -module)

Thm. With  $E$  has CM,  $\exists C \subseteq L$  such that

$$\# \frac{(R/mR)^{\times}}{\rho_m(\text{Gal}(L))} \in C \subseteq L \quad \text{for all } m \geq 1$$

PF. Case 1. Assume  $L = K(j(E)) = H$

Choose some  $a \in \text{Aut}_R(E[m]) = (R/mR)^{\times}$

Choose an  $\alpha \in R$  with  $\alpha \equiv a \pmod{mR}$

Choose  $s \in A_K^*$  with  $(s) = \alpha^{-1}R$

$s$  acts on  $E[m]$  via  $[\alpha]$

"  
 $[s, K]$

but only defined up to unit,  
 i.e. up to some automorphism of  $E$

$$\text{Gal}(L) \xrightarrow{\rho_m} (R/mR)^{\times} \rightarrow (R/mR)^{\times} / R^{\times}$$

surjective

needed  $L \supset H$  so that

$$E(0) \rightarrow E^{\sigma}(0) \quad \leftarrow = E$$

in both from non-idealism



for arbitrary  $L/K$

$$[(R/mR)^{\times} : \rho_m(G_{\bar{c}/L})]$$

$$= [(R/mR)^{\times} : \rho_m(G_{\bar{H}/M})][\rho_m(G_{\bar{H}/M}) : \rho_m(G_{\bar{c}/L})]$$

$$\leq \#R^{\times} \cdot [G_{\bar{H}/M} : G_{\bar{c}/L}]$$

$$= \#R^{\times} \cdot [L:M]$$